

# LEPTO-BARYOGENESIS

based on

arXiv:1812.11189 (*Symmetry*), 2301.07961 (*JHEP*), 2409.07180 (*JHEP*), 2509.nnnn  
with K. Seller, Zs. Szép and also 1812.07180 by B. Garbrecht

ELTE seminar, 21 October, 2025

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# OUTLINE

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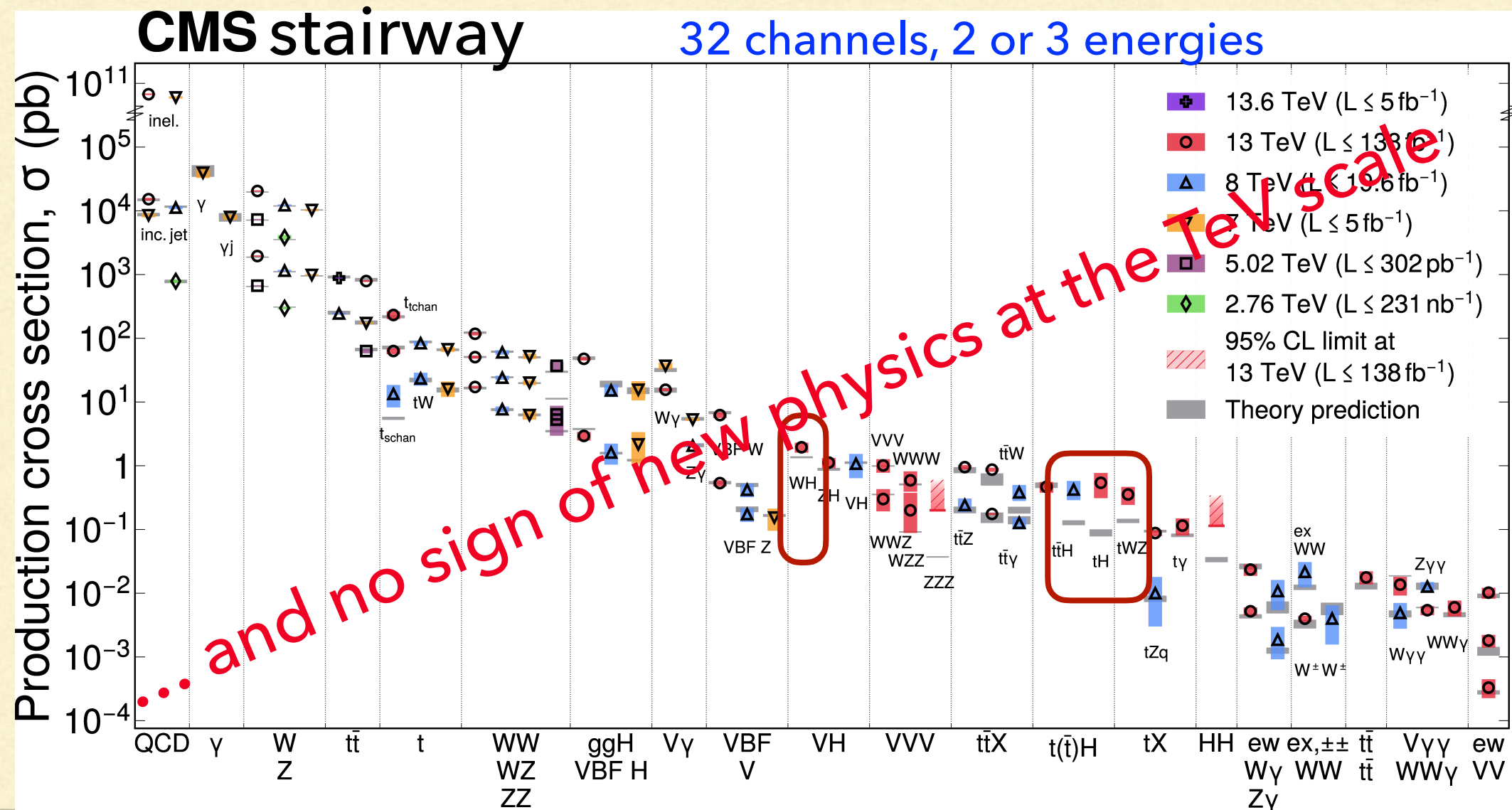
*Rough estimates of BSM effects  
can easily be deceptive*

1. **Motivation**: status of particle physics
  - Colliders
  - Cosmology
2. Sakharov's conditions
3. Elements of **lepto-baryogenesis**
4. Superweak  $U(1)_Z$  extension of SM (**SWSM**)
5. Outlook



# Status of particle physics: energy frontier

- Colliders: SM describes final states of particle collisions precisely



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# Status of particle physics: cosmic and intensity frontiers

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Established observations  
require physics beyond SM,  
but  
do not suggest rich BSM physics



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# What is not explained or weird in the standard model?

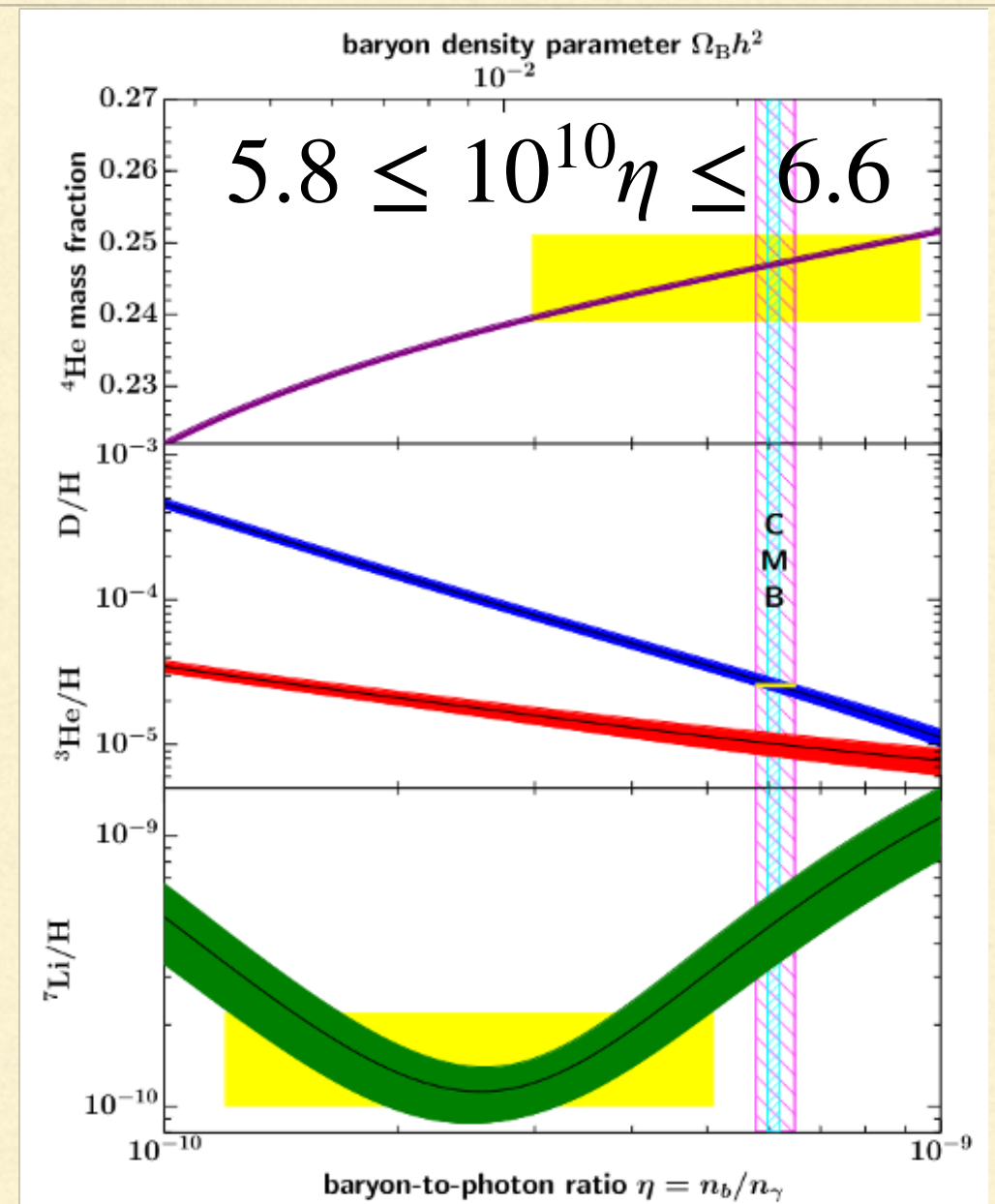
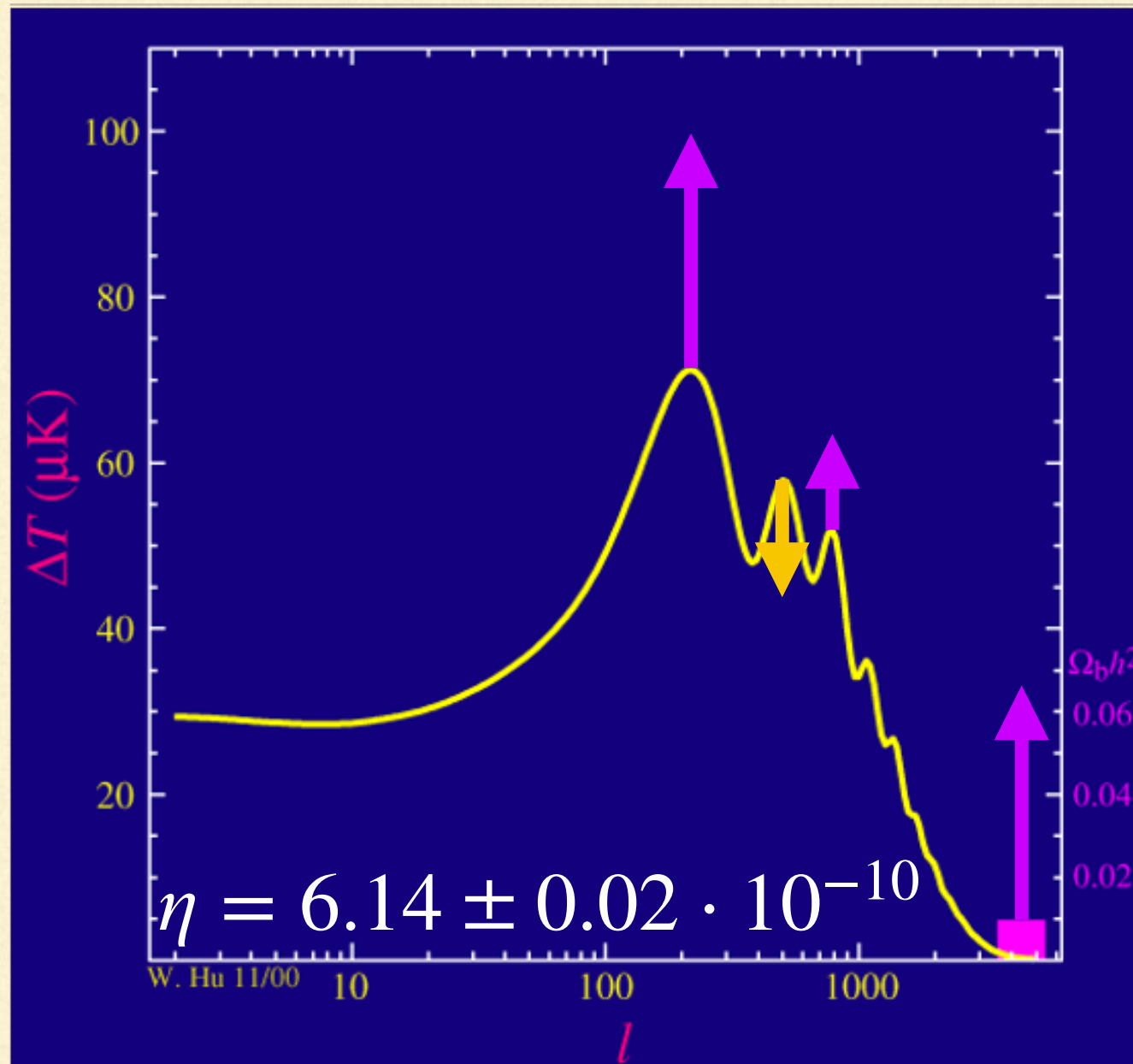
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## Does not fit:

- Neutrino masses
- Dark matter and energy
- Baryon asymmetry

1. Neutrino flavours oscillate
2. Universe at large scale described precisely by cosmological SM:  $\Lambda$ CDM ( $\Omega_m = 0.3$ ) ; inflation of the early, accelerated expansion of the present Universe
3. Existing baryon asymmetry cannot be explained by CP asymmetry in SM, baryon to photon ratio at large scales:  
 $\eta \simeq 6.0 \cdot 10^{-10}$

# Baryon asymmetry in the Universe from CMB power spectrum & BBN nucleosynthesis



ratio of the odd and even  
peaks strongly depends on  $\eta$

abundances of light elements  
strongly depend on  $\eta$



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## Puzzles in the scalar sector:

- Lagrangian and its parameters
- Yukawa couplings
- Connection to inflation
- Vacuum stability ( $\lambda$  too small)
- Naturalness ( $\mu$  is dimensional)

$$\mathcal{L} \supset \mathcal{L}_S = \mu^2 |\phi|^2 + \lambda |\phi|^4 + ?$$

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## Hidden new particles:

- Too heavy
- Interact too weakly

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- Muon anomalous magnetic moment
- 2-3 $\sigma$  excesses at LHC experiments
- X17 and E38 anomalies
- CDF II result for  $M_W$

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## Anomalies:

- Not addressed in this talk, they seem to fade away or not related fundamental physics



# What is not explained or weird in the standard model?

## Does not fit:

- Neutrino masses
- Dark matter and energy
- **Baryon asymmetry is our focus today**

## Hidden new particles:

- Too heavy
- Interact too weakly

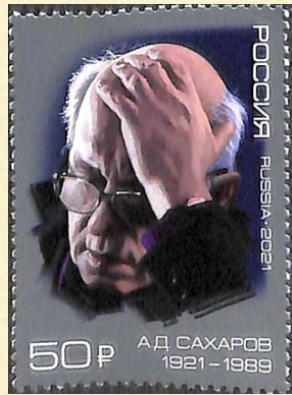
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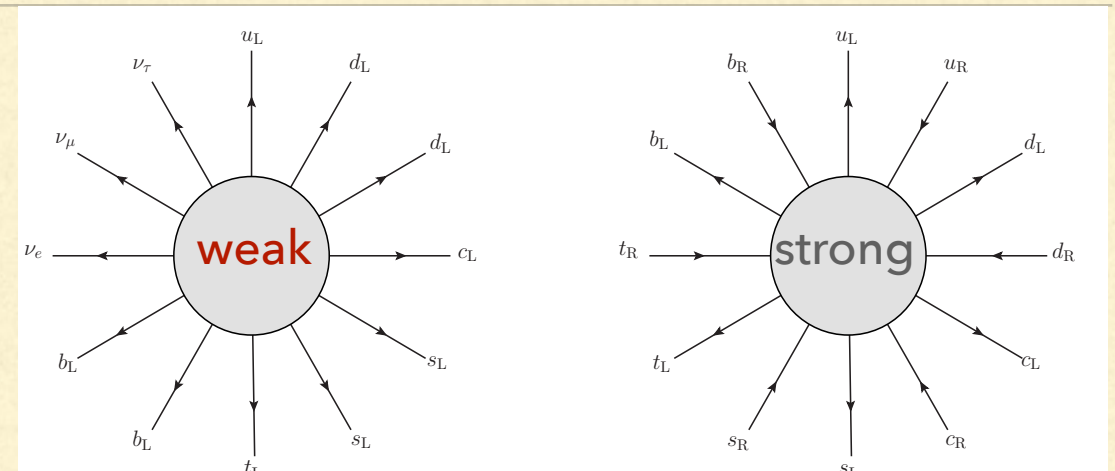
# Sakharov's conditions for baryogenesis are fulfilled in the SM, but not enough

## 1. Baryon number violation

✓ exists in the SM

weak sphaleron process:

$$\Delta(B + L) = 6, \Delta(B - L) = 0$$



't Hooft vertices

## 2. C & CP violation

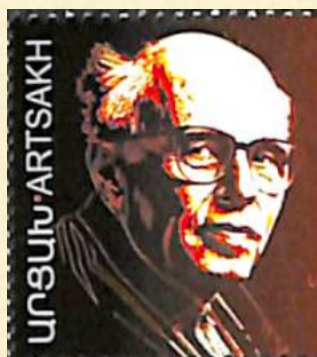
✓ C violation exists in the SM in weak interactions

- CP violation exists in the SM in the quark sector (CKM), but falls short by almost 10 orders of magnitude

## 3. Deviation from equilibrium at phase boundaries in phase transitions

- phase transition exists in the SM scalar sector, but only cross-over instead of strong 1st order





# Sakharov's conditions for baryogenesis may be enough in extensions of SM



## 1. Baryon number violation

✓ exists in the SM

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$$\Delta(B + L) = 6, \Delta(B - L) = 0$$

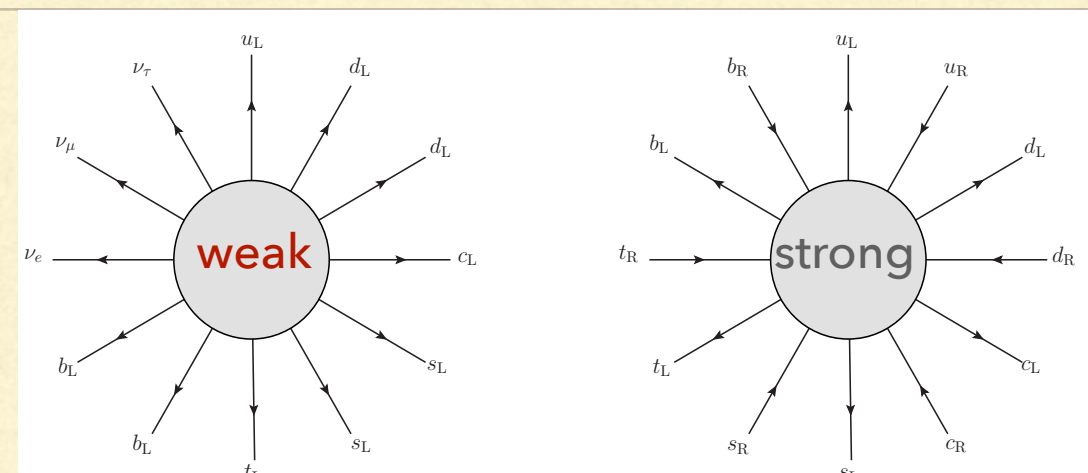
## 2. C & CP violation

✓ C violation exists in the SM in weak interactions

✓ CP violation in decays and oscillations of right-handed neutrinos

## 3. Deviation from equilibrium

✓ in production and decay of RHNs



't Hooft vertices



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# Estimate of lepto-baryogenesis has two steps

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1. **Leptogenesis** in a beyond the standard model (BSM)

followed by computing the effect of

2. **sphaleron processes** in the SM:  
**violates  $B + L$** , but **conserves  $B - L$** 
  - Suppressed exponentially with decreasing temperature, but  
**unsuppressed above  $T_{\text{sph}} \simeq 132 \text{ GeV}$**



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# Neutrino masses and leptogenesis

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...can naturally be explained by adding right-handed neutrinos (RHNs) to the particle spectrum with Majorana mass terms:

$$\mathcal{L} \supset \frac{1}{2} \begin{pmatrix} \bar{\nu}_L & \bar{\nu}_R^c \end{pmatrix} \begin{pmatrix} \mathbf{0}_3 & \mathbf{M}_D \\ \mathbf{M}_D^T & \mathbf{M}_M \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix},$$

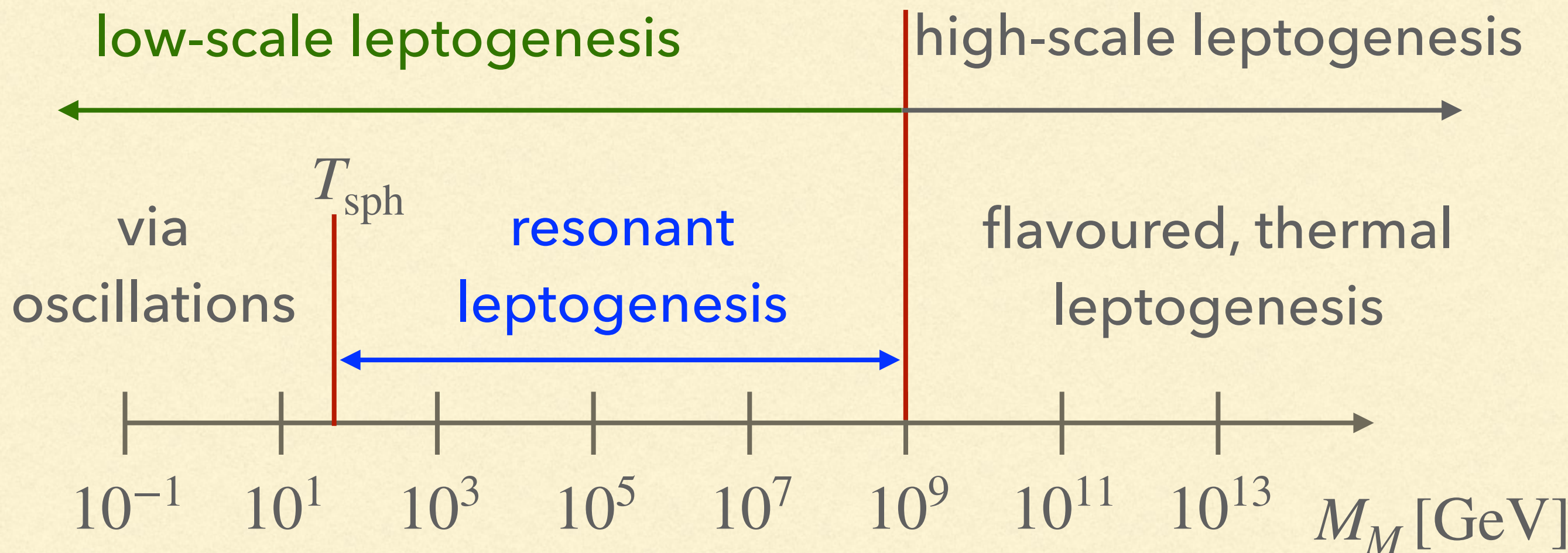
which gives active neutrino masses with the see-saw formula  $\mathbf{M}_\nu = \mathbf{M}_D \mathbf{M}_M^{-1} \mathbf{M}_D^T$

=>  $\mathbf{M}_M$  sets the scale of leptogenesis



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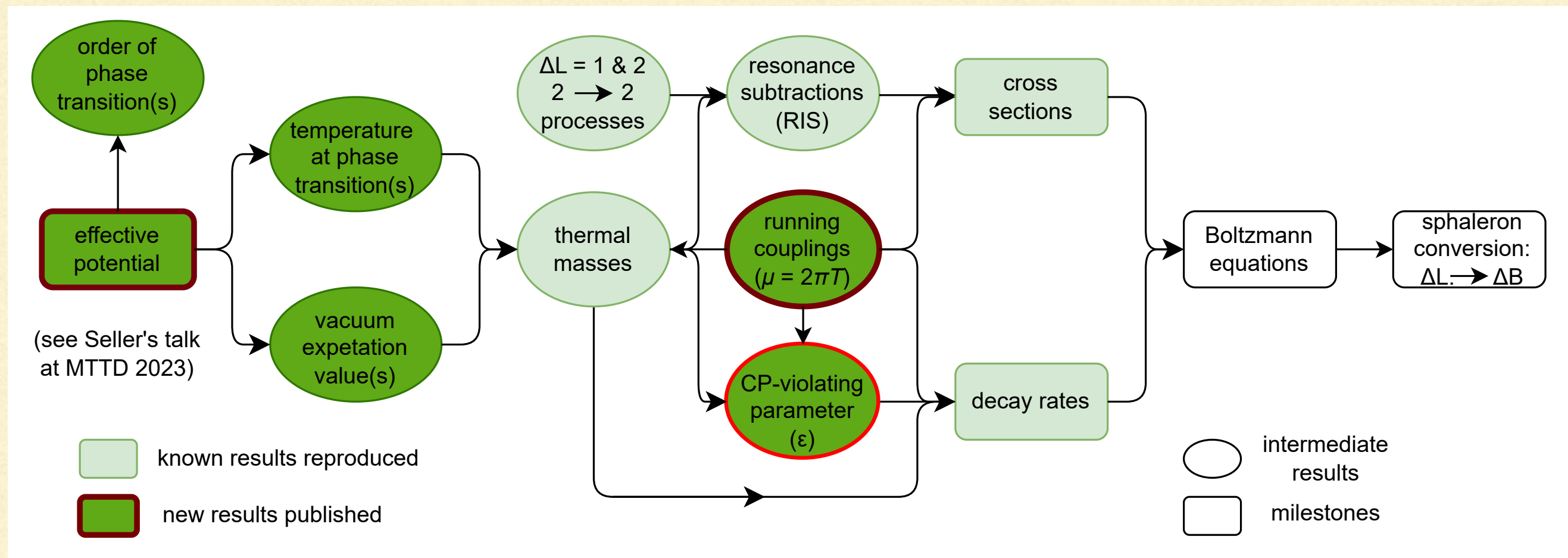
Decays of such RHNs lead to a non-vanishing  $\Delta L$  that can be estimated either by

- Kadanoff-Baym eqs. of non-equilibrium QFT, or
- semiclassical Boltzmann eqs.

(can be obtained from KB employing quasi-particle approximation, valid "near" equilibrium)

Boltzmann approach is much simpler technically:  
easier to apply in specific models

...which does not mean it is simple  
– relies on several (?) ingredients:





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Boltzmann eq. for comoving lepton density

asymmetry  $\mathcal{Y}_{\Delta L} = \mathcal{Y}_\ell - \mathcal{Y}_{\bar{\ell}}$

---

$$\frac{d\mathcal{Y}_{\Delta L}}{dz} = \frac{1}{sHz} \left[ \left( \epsilon\gamma_D - \gamma_{ab \rightarrow N\ell} \frac{\mathcal{Y}_{\Delta L}}{\mathcal{Y}_\ell^{\text{eq}}} \right) \left( \frac{\mathcal{Y}_N}{\mathcal{Y}_N^{\text{eq}}} - 1 \right) - W\mathcal{Y}_{\Delta L} \right]$$



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-  $z = \Lambda/T$  inverse temperature



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- $s(z)$  entropy density when  $T = \Lambda/z$



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- $\gamma_{ab \rightarrow \text{leptons}}(T)$  thermal rate for  $ab \rightarrow \text{leptons}$



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- $\mathcal{Y}_\ell^{\text{eq}}$  equilibrium value of the lepton abundance



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- $\mathcal{Y}_\ell^{\text{eq}}$  equilibrium value of the lepton abundance
- $W$  collection of terms emerging from the scattering processes, leads to equilibration (**washout** of asymmetry)



# CP asymmetry factor

$$\frac{d\mathcal{Y}_{\Delta L}}{dz} = \frac{1}{sHz} \left[ \left( \epsilon\gamma_D - \gamma_{ab \rightarrow N\ell} \frac{\mathcal{Y}_{\Delta L}}{\mathcal{Y}_{\ell}^{\text{eq}}} \right) \left( \frac{\mathcal{Y}_N}{\mathcal{Y}_N^{\text{eq}}} - 1 \right) - W\mathcal{Y}_{\Delta L} \right]$$

asymmetry is generated by CP-violating decays of the sterile neutrinos, given by  $\gamma_D$ , and proportional to the CP asymmetry factor from

the decay  $a \rightarrow b + c$  and CP-conjugate decay  $\bar{a} \rightarrow \bar{b} + \bar{c}$

$$\epsilon_{a \rightarrow b+c} = \frac{\gamma_{a \rightarrow b+c} - \gamma_{\bar{a} \rightarrow \bar{b}+\bar{c}}}{\gamma_{a \rightarrow b+c} + \gamma_{\bar{a} \rightarrow \bar{b}+\bar{c}}}$$

(other terms decrease  $\mathcal{Y}_{\Delta L}$ , i.e. lead to washout)



# CP asymmetry factor

Often used as constant coming from  $T = 0$  QFT:

$$\epsilon_{N_i \rightarrow \phi + L}^{(0)}(x) = \frac{G}{8\pi} \sqrt{x} \left\{ \frac{1}{1-x} + \left[ 1 + (1+x) \log\left(\frac{x}{1+x}\right) \right] \right\}$$

→  $G = \text{Im}[(K_{ij})^2] / K_{ii} \neq 0$  with  $K = Y^\dagger Y$

coupling factor



# CP asymmetry factor at zero density

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→  $x = m_{N_j}^2 / m_{N_i}^2 > 1$ : ratio of the squared masses of the Majorana neutrinos



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→ for  $x \gg 1$ : 1st term  $\approx$  twice the 2nd one

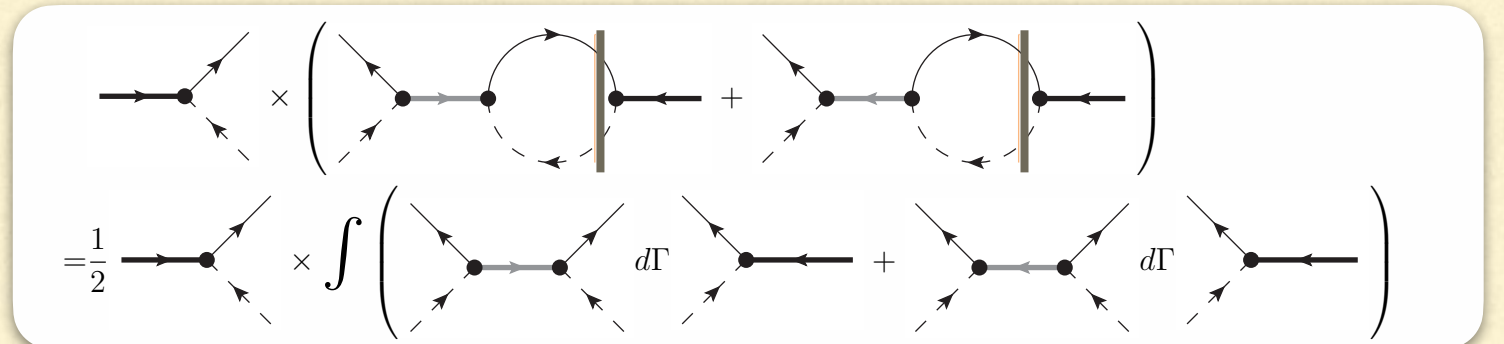


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**1st term:** contribution of the imaginary part of self energy



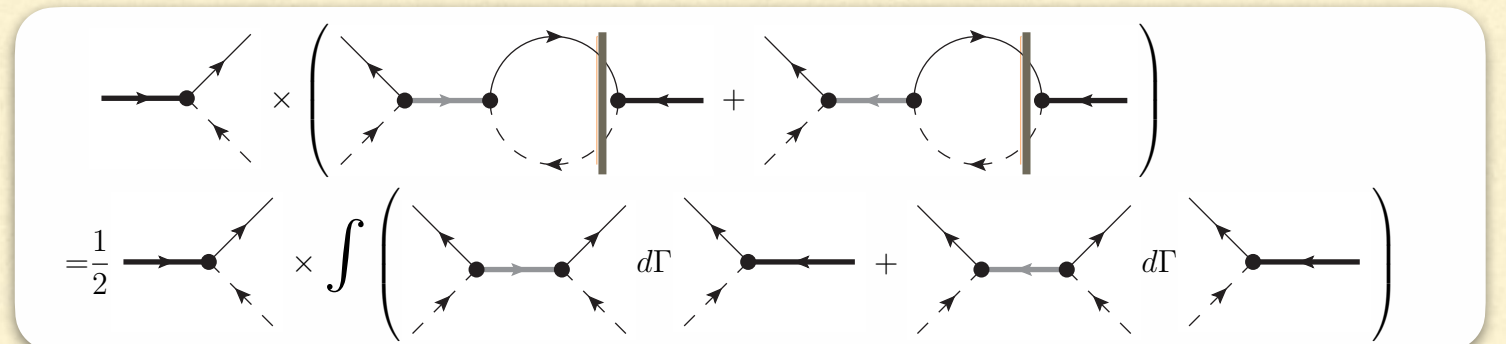


# CP asymmetry factor at zero density

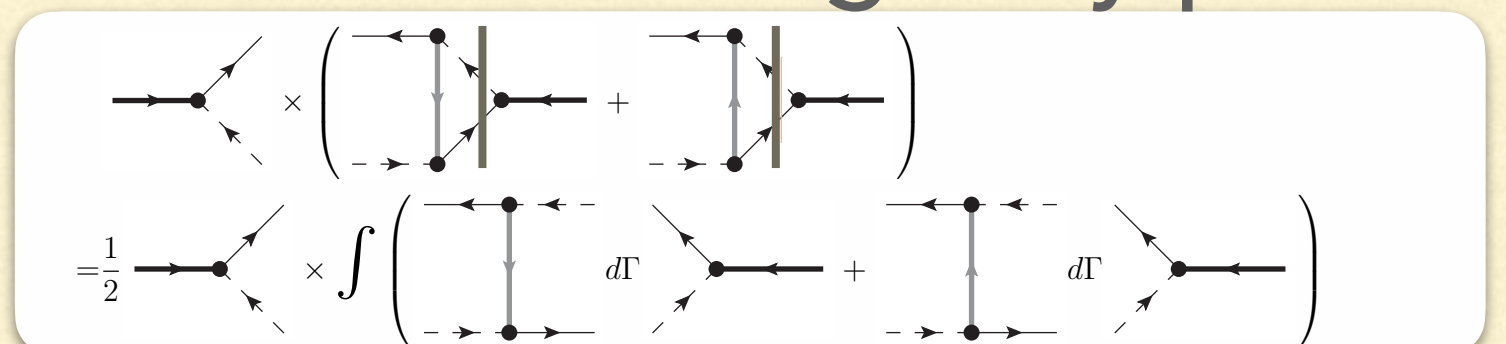
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1st term: contribution of the imaginary part of self energy



2nd term: contribution of the imaginary part of vertex correction

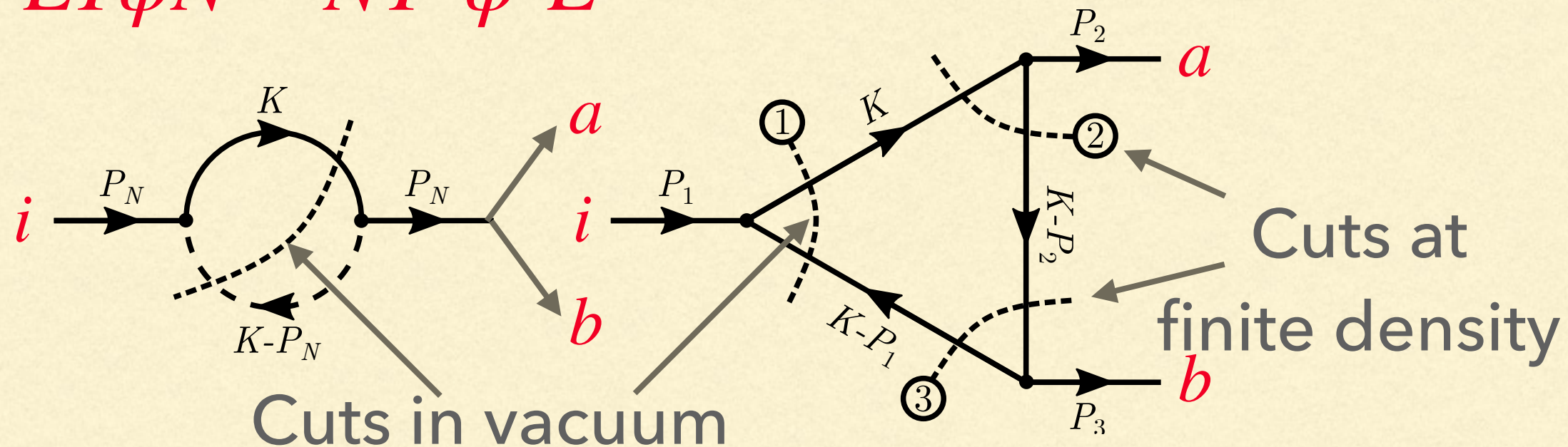




# CP asymmetry factor at finite density

- Two cuts (2 and 3 in the vertex correction)

$$\mathcal{L} \supset \bar{L}Y\tilde{\phi}N - \bar{N}Y^\dagger\tilde{\phi}^\dagger L$$



are neglected in standard literature, but may be relevant for low-scale leptogenesis when  $m_N \approx T$



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# Computation of CP asymmetry factor

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Detailed computation with explicit integral representations, ready for numerical evaluation are presented in

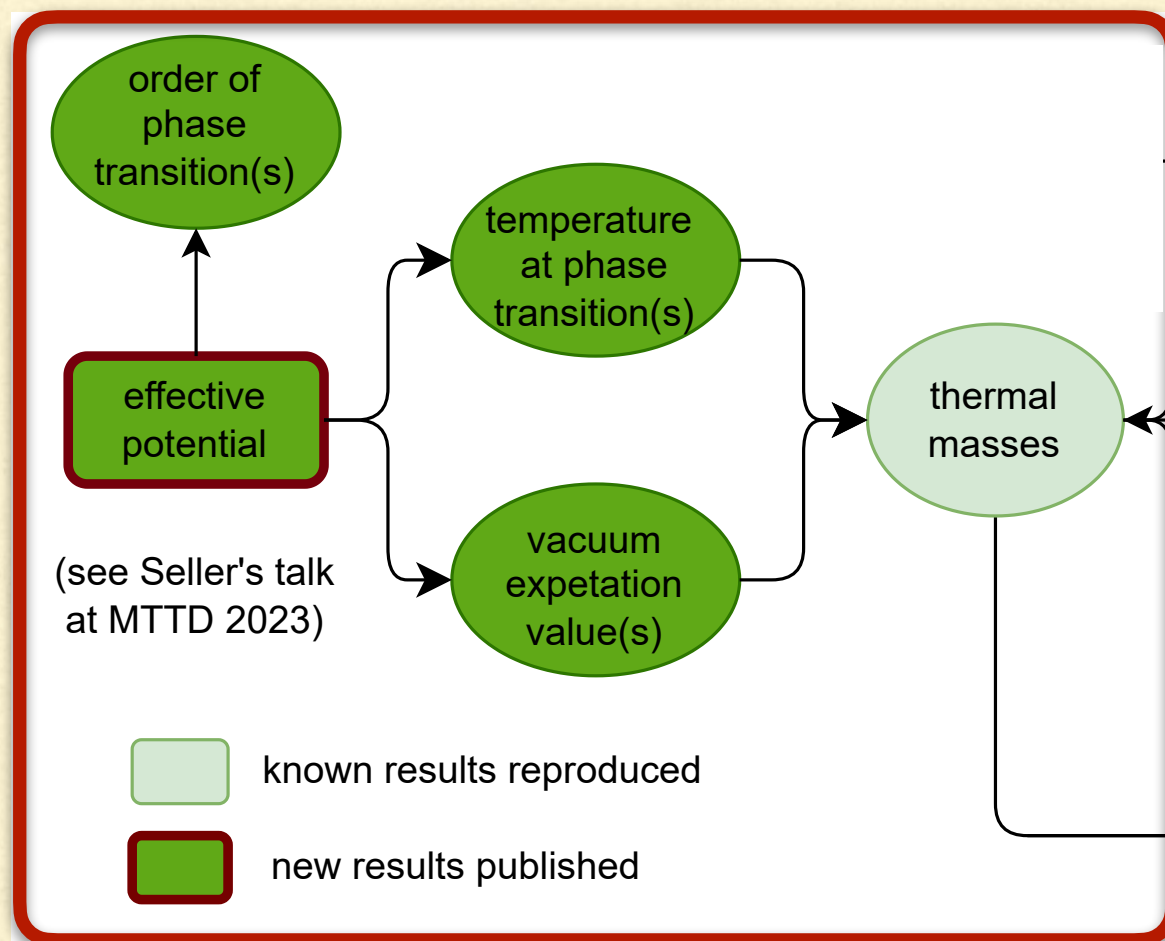
K. Seller, Z. Szép, Z.T., *CP violation at finite temperature*,  
JHEP **09** (2025) 034 [[arXiv:2409.07180](#) [hep-ph]]

and

*CP asymmetry factor at finite temperature*, to appear soon  
EPJC **11** (2025) [[arXiv:2511.nnnn](#) [hep-ph]]

but too technical to repeat here

# Step 1: find thermal masses



model dependent input  $\Rightarrow$  choose a model



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# SuperWeak extension of the Standard Model

## SWSM

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- designed to
  - explain the origin of neutrino masses and oscillations through Dirac and Majorana neutrino mass terms generated by the SSB of two scalar fields,

[Iwamoto, Kärkkäinen, Péli, ZT, arXiv:[2104.14571](#); Kärkkäinen and ZT, arXiv:[2105.13360](#)]

- Provide a candidate for WIMP dark matter

[Seller, Iwamoto and ZT, arXiv:[2104.11248](#)]

- Provide a viable source of lepto-baryogenesis

[Seller, Szép, ZT, arXiv:[2301.07961](#), [2409.07180](#)]

Parameter space is already partly explored

[Péli and ZT, arXiv:[2204.07100](#), [2305.11931](#), [2402.14786](#), [2501.04388](#)]

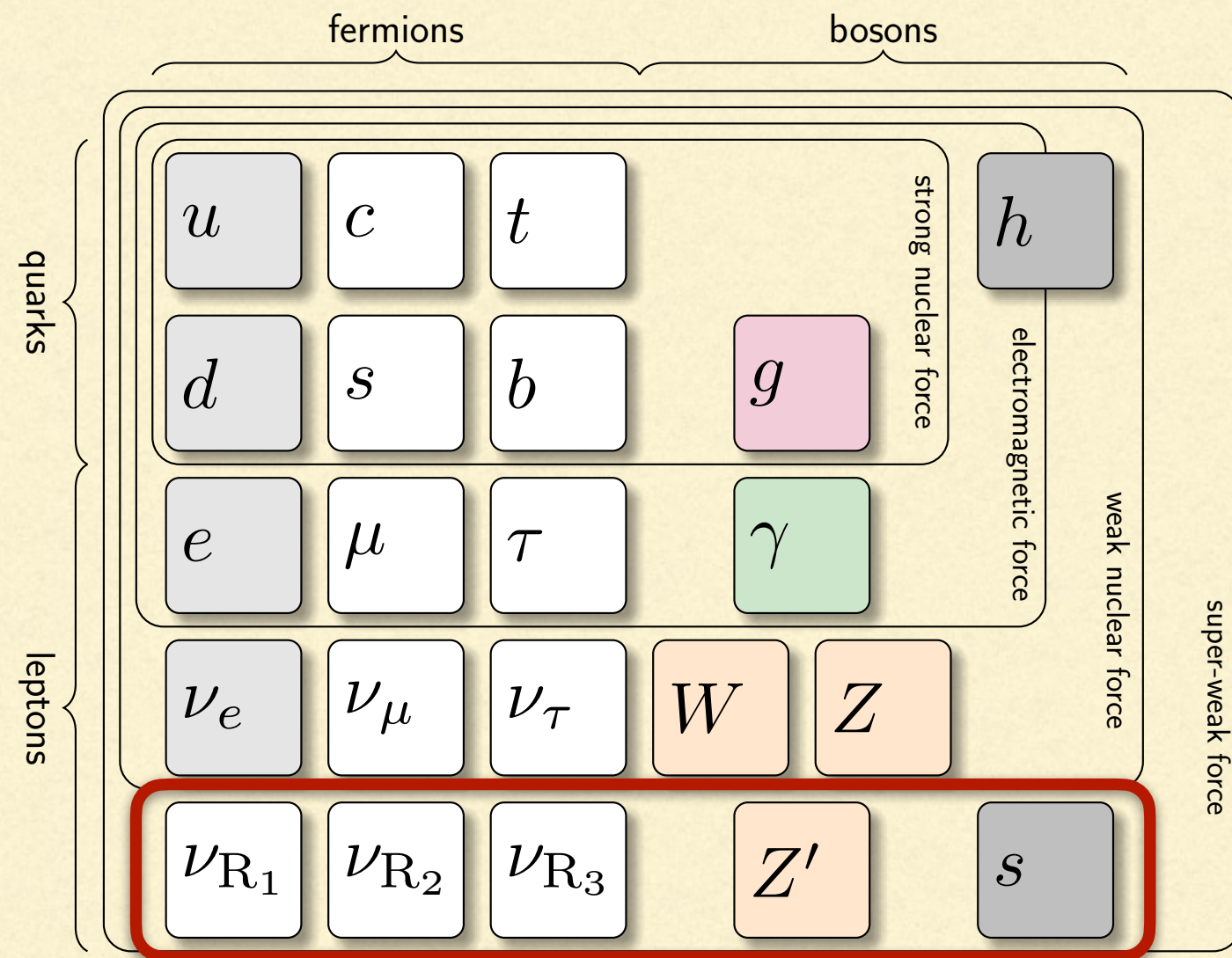


# Superweak extension of SM (SWSM)

- **Symmetry of the Lagrangian:** local  $G = G_{\text{SM}} \times U(1)_z$   
with  $G_{\text{SM}} = SU(3)_c \times SU(2)_L \times U(1)_Y$   
renormalizable gauge theory, including all dim 4  
operators allowed by  $G$  (except  $F_{\mu\nu} \tilde{F}^{\mu\nu}$ )
- $U(1)_z$  gauge field must be massive, which requires a  
second scalar with a non-zero VEV, allowing for  
Majorana masses for right-handed neutrinos if exist
- z-charges fixed by requirement of
  - gauge and gravity **anomaly cancellation** and
  - **gauge invariant Yukawa terms for neutrino** mass generation



# Particle content of SWSM (a take-home picture)



new fields in SWSM



# Charge assignment from gauge invariant neutrino interactions

field	$SU(3)_c$	$SU(2)_L$	$y_j$	$z_j^{(a)}$	$z_j^{(b)}$	$r_j = z_j/z_\phi - y_j^{(c)}$
$U_L, D_L$	3	2	$\frac{1}{6}$	$Z_1$	$\frac{1}{6}$	0
$U_R$	3	1	$\frac{2}{3}$	$Z_2$	$\frac{7}{6}$	$\frac{1}{2}$
$D_R$	3	1	$-\frac{1}{3}$	$2Z_1 - Z_2$	$-\frac{5}{6}$	$-\frac{1}{2}$
$\nu_L, \ell_L$	1	2	$-\frac{1}{2}$	$-3Z_1$	$-\frac{1}{2}$	0
$\nu_R$	1	1	0	$Z_2 - 4Z_1$	$\frac{1}{2}$	$\frac{1}{2}$
$\ell_R$	1	1	-1	$-2Z_1 - Z_2$	$-\frac{3}{2}$	$-\frac{1}{2}$
$\phi$	1	2	$\frac{1}{2}$	$z_\phi$	1	$\frac{1}{2}$
$\chi$	1	1	0	$z_\chi$	-1	-1



# Kinetic mixing

- kinetic mixing:

$$\mathcal{L} \supset -\frac{1}{4}F^{\mu\nu}F_{\mu\nu} - \frac{1}{4}F'^{\mu\nu}F'_{\mu\nu} - \frac{\epsilon}{2}F^{\mu\nu}F'_{\mu\nu}$$

- covariant derivative:

$$\mathcal{D}_\mu^{\text{U}(1)} = -i(yg_y B_\mu + zg_z B'_\mu)$$

- or equivalently can choose basis s. t.:

$$D_\mu^{\text{U}(1)} = -i \begin{pmatrix} y & z \end{pmatrix} \begin{pmatrix} \hat{g}_{yy} & \hat{g}_{yz} \\ \hat{g}_{zy} & \hat{g}_{zz} \end{pmatrix} \begin{pmatrix} \hat{B}_\mu \\ \hat{B}'_\mu \end{pmatrix}$$

and can parametrize the coupling matrix s.t.:

$$\hat{\mathbf{g}} = \begin{pmatrix} \hat{g}_{yy} & \hat{g}_{yz} \\ \hat{g}_{zy} & \hat{g}_{zz} \end{pmatrix} = \begin{pmatrix} g_y & -\eta g'_z \\ 0 & g'_z \end{pmatrix} \begin{pmatrix} \cos \epsilon' & \sin \epsilon' \\ -\sin \epsilon' & \cos \epsilon' \end{pmatrix} \text{ with } \begin{aligned} g'_z &= g_z / \sqrt{1 - \epsilon^2} \\ \eta &= \epsilon g_y / g_z. \end{aligned}$$



# Mixing in the neutral gauge sector

$$\begin{pmatrix} B_\mu \\ W_\mu^3 \\ B'_\mu \end{pmatrix} = \begin{pmatrix} c_W & -s_W & 0 \\ s_W & c_W & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_Z & -s_Z \\ 0 & s_Z & c_Z \end{pmatrix} \begin{pmatrix} A_\mu \\ Z_\mu \\ Z'_\mu \end{pmatrix} \quad \begin{aligned} c_X &= \cos \theta_X \\ s_X &= \sin \theta_X \end{aligned}$$

where  $\theta_W$  is the weak mixing angle &  $\theta_Z$  is the  $Z - Z'$  mixing, implicitly:

$\tan(2\theta_Z) = -2\kappa / (1 - \kappa^2 - \tau^2)$ , with  $\kappa$  and  $\tau$  effective couplings,  
functions of the Lagrangian couplings

The expressions for the neutral gauge boson masses are somewhat cumbersome, but exists a nice, **compact generalization** of the **SM**

mass-relation formula:  $\frac{M_W^2}{c_W^2} = c_Z^2 M_Z^2 + s_Z^2 M_{Z'}^2$   $\left( M_W = \frac{1}{2} g_L v \right)$



## Scalars in the SWSM

- Standard  $\phi$  complex  $SU(2)_L$  doublet and new  $\chi$  complex singlet:

$$\mathcal{L}_{\phi,\chi} = [D_{\mu}^{(\phi)} \phi]^* D^{(\phi)\mu} \phi + [D_{\mu}^{(\chi)} \chi]^* D^{(\chi)\mu} \chi - V(\phi, \chi)$$

- with scalar potential

$$V(\phi, \chi) = V_0 - \mu_{\phi}^2 |\phi|^2 - \mu_{\chi}^2 |\chi|^2 + (|\phi|^2, |\chi|^2) \begin{pmatrix} \lambda_{\phi} & \frac{\lambda}{2} \\ \frac{\lambda}{2} & \lambda_{\chi} \end{pmatrix} \begin{pmatrix} |\phi|^2 \\ |\chi|^2 \end{pmatrix}$$

- After SSB,  $G \rightarrow SU(3)_c \times U(1)_{QED}$  in  $R_{\xi}$  gauge

$$\phi = \frac{1}{\sqrt{2}} \begin{pmatrix} -i\sqrt{2}\sigma^+ \\ v + h' + i\sigma_{\phi} \end{pmatrix} \quad \& \quad \chi = \frac{1}{\sqrt{2}} (w + s' + i\sigma_{\chi})$$



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# Mixing in the scalar sector

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$$\begin{pmatrix} h' \\ s' \end{pmatrix} = \begin{pmatrix} c_S & s_S \\ -s_S & c_S \end{pmatrix} \begin{pmatrix} h \\ s \end{pmatrix}$$

where  $\theta_S$  is the scalar mixing angle implicitly:

$$\tan(2\theta_S) = \lambda v w / \left( \lambda_\chi w^2 - \lambda_\phi v^2 \right), \text{ with } v \text{ and } w \text{ VEVs}$$

**5 new parameters:**

- in **gauge** sector:  $\{g_z \text{ and } g_{yz}\}$  or  $\{\kappa \text{ and } \tau\}$  or  $\{\theta_Z \text{ and } M_Z\}$
- in **scalar** sector:  $\{\mu_\chi^2, \lambda_\chi \text{ and } \lambda\}$  or  $\{w, \lambda_\chi \text{ and } \lambda\}$  or  $\{M_S, \theta_S \text{ and } \lambda\}$



## After SSB neutrino mass terms appear

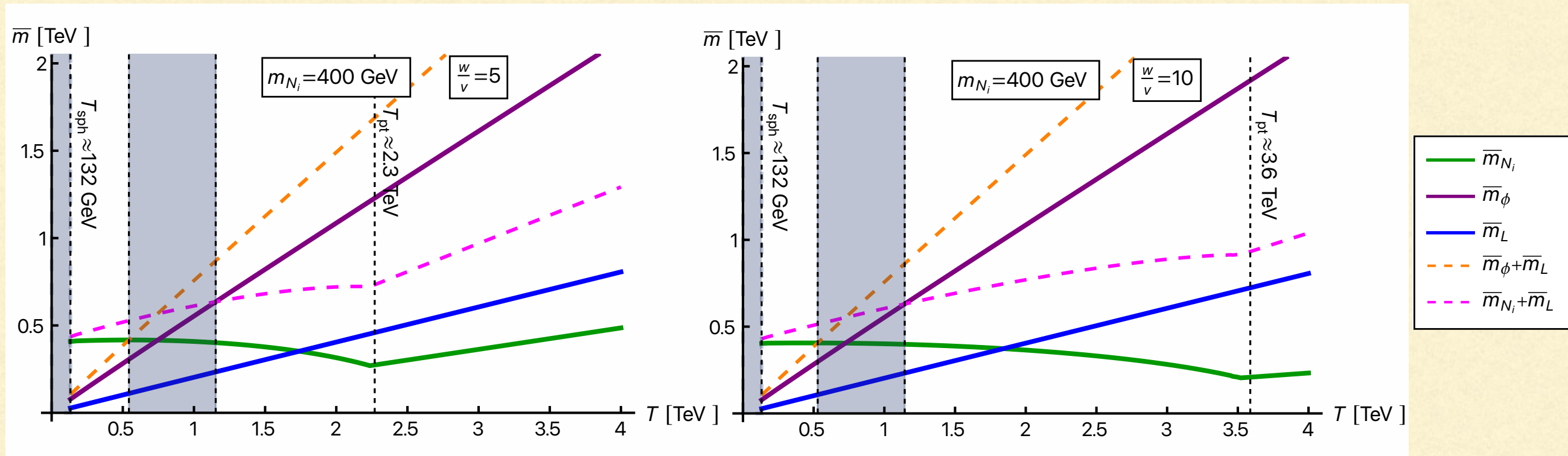
$$-\mathcal{L}_Y^\ell = \frac{w + s' + i\sigma_\chi}{2\sqrt{2}} \bar{\nu}_R^c \mathbf{Y}_N \nu_R + \frac{v + h' - i\sigma_\phi}{\sqrt{2}} \bar{\nu}_L \mathbf{Y}_\nu \nu_R + \text{h.c.}$$

$$\mathbf{M}_M = \frac{w}{\sqrt{2}} \mathbf{Y}_N$$

$$\mathbf{M}_D = \frac{v}{\sqrt{2}} \mathbf{Y}_\nu$$

- In flavour basis the full  $6 \times 6$  mass matrix reads  $\mathbf{M}_{6 \times 6} = \begin{pmatrix} \mathbf{0}_3 & \mathbf{M}_D \\ \mathbf{M}_D^T & \mathbf{M}_M \end{pmatrix}$
- $\nu_L$  and  $\nu_R$  have the same q-numbers, can mix, leading to type-I low-scale see-saw
- Dirac and Majorana mass terms appear already at tree level by SSB (not generated radiatively)
- Quantum corrections to active neutrinos are not dangerous [Iwamoto et al, [arXiv:2104.14571](https://arxiv.org/abs/2104.14571)]

# Leptogenesis step 1: find thermal masses



Thermal masses for the lighter ones of the heavy RHNs ( $\bar{m}_{N_i}$ ), the leptons ( $\bar{m}_L$ ) and the Brout-Englert-Higgs field ( $\bar{m}_\phi$ ) in the SWSM at two specific values of the VEV ratio. Vacuum masses are  $m_{N_j} = 1.1$   $m_{N_i} = 440$  GeV for the neutrinos, and  $m_\chi = 650$  GeV for the singlet scalar with the singlet VEV being  $w = 5v$  (left) or  $w = 10v$  (right)



## Leptogenesis step 2: compute CP $\epsilon$

Given by the thermal average of the amplitude level asymmetry factor  $\epsilon_{\mathcal{M}}$  (also model dependent):

$$\epsilon_{a \rightarrow b+c} = \frac{\int_{z_a}^{\infty} dy_a f_{t(a)}(-y_a) \sqrt{y_a^2 - z_a^2} \int_{-1}^1 dx \epsilon_{\mathcal{M}}(y_a, x) f_{t(b)}(y_b) f_{t(c)}(y_c)}{\int_{z_a}^{\infty} dy_a f_{t(a)}(-y_a) \sqrt{y_a^2 - z_a^2} \int_{-1}^1 dx f_{t(b)}(y_b) f_{t(c)}(y_c)}$$
$$\epsilon_{\mathcal{M}} = \frac{|M_{i,-}^{[1]}|^2}{|M_{i,+}^{(0)}|^2}, \quad |M_{i,\pm}^{[n]}|^2 = \sum_{a,b,\alpha} \left[ \langle |\mathcal{M}_{\alpha i}^{ab[n]}|^2 \rangle \pm \langle |\overline{\mathcal{M}}_{\alpha i}^{ab[n]}|^2 \rangle \right]$$

$n = \# \text{ of loops}$



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-  $z_a = m_a/T,$



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- $z_a = m_a/T$ ,
- $f_{B/F}(y) = [\exp(y) \mp 1]^{-1}$  statistical factors,
-



## Leptogenesis step 2: compute CP $\epsilon$

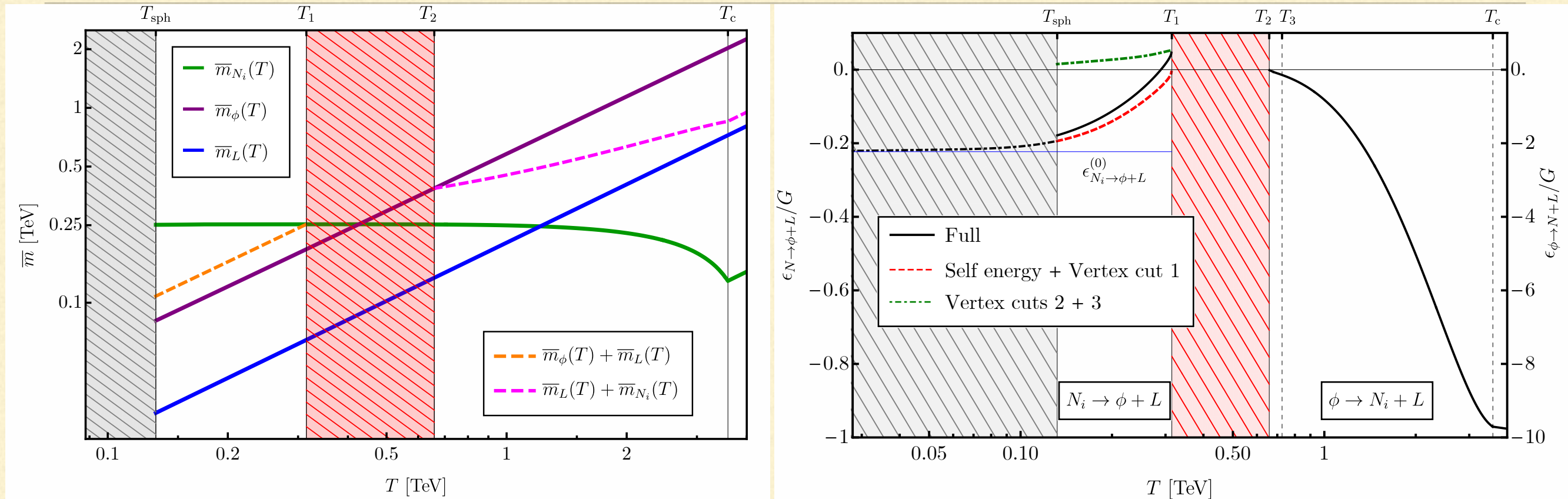
Given by the thermal average of the amplitude level asymmetry factor  $\epsilon_{\mathcal{M}}$  (also model dependent):

$$\epsilon_{a \rightarrow b+c} = \frac{\int_{z_a}^{\infty} dy_a f_{\mathbf{t}(a)}(-y_a) \sqrt{y_a^2 - z_a^2} \int_{-1}^1 dx \epsilon_{\mathcal{M}}(y_a, x) f_{\mathbf{t}(b)}(y_b) f_{\mathbf{t}(c)}(y_c)}{\int_{z_a}^{\infty} dy_a f_{\mathbf{t}(a)}(-y_a) \sqrt{y_a^2 - z_a^2} \int_{-1}^1 dx f_{\mathbf{t}(b)}(y_b) f_{\mathbf{t}(c)}(y_c)}$$

- $z_a = m_a/T$ ,
- $f_{B/F}(y) = [\exp(y) \mp 1]^{-1}$  statistical factors,
- $\mathbf{t}(p) = \text{B(ose) or F(ermi)}$  giving the statistics type of  $p$



# Leptogenesis step 2: compute CP $\epsilon$



Left: thermal masses when vacuum masses are

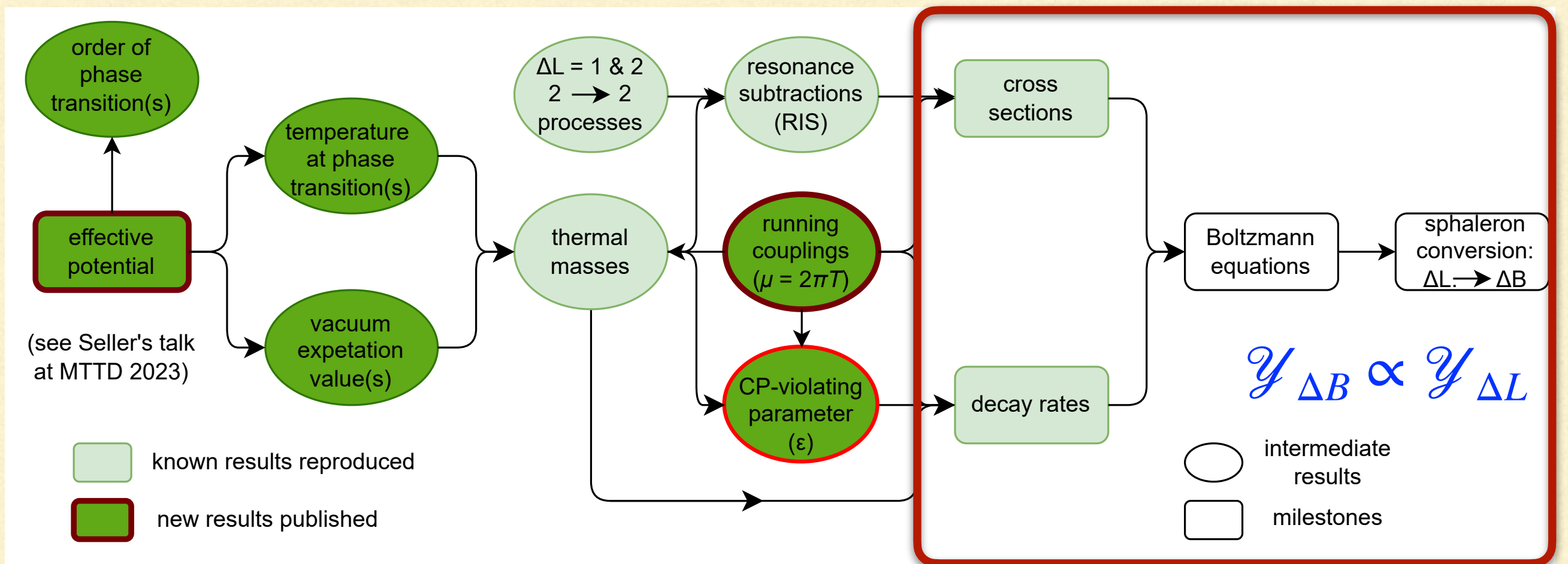
$$m_{N_j} = 1.1 \text{ } m_{N_i} = 275 \text{ GeV}, m_\chi = 650 \text{ GeV and } w = 10v.$$

Right: thermal CP asymmetry factor normalized to couplings

$T_i$  ( $i = 1, 2, 3$ ) correspond to the kinematic thresholds:

$$m_{N_i}(T_1) = m_\phi(T_1) + m_L(T_1), m_\phi(T_2) = m_{N_i}(T_2) + m_L(T_2), m_\phi(T_3) = m_{N_j}(T_3) + m_L(T_3)$$

# Coming soon: leptogenesis in the SWSM (step 3: solving the Boltzmann eqs.)





## Outlook:

Constrain the parameter space of SWSM by checking validity of the expected consequences

### Does not fit:

- Neutrino masses
- Dark matter and energy
- Baryon asymmetry

### Puzzles in the scalar sector:

- Lagrangian and its parameters
- Yukawa couplings
- Connection to inflation
- Vacuum stability ( $\lambda$  too small)
- Naturalness ( $\mu$  is dimensional)

### Hidden new particles:

- Too heavy
- Interact too weakly

### Anomalies:

- Muon anomalous magnetic moment
- $2-3\sigma$  excesses at LHC experiments
- X17 and E38 anomalies
- CDF II result for  $M_W$

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the end