

# Particle physics

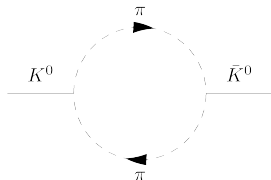
Matteo Giordano

Eötvös Loránd University (ELTE)  
Budapest

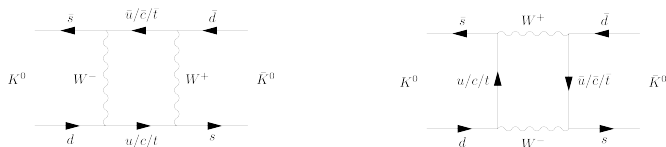
ELTE  
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# $K^0-\bar{K}^0$ system

$K^0, \bar{K}^0$ : same quantum numbers except strangeness,  $S$  is not a conserved quantity  $\Rightarrow K^0, \bar{K}^0$  can mix



$K^0, \bar{K}^0 \rightarrow 2\pi \Rightarrow$  oscillation through virtual pion loop,  $K^0 \rightarrow 2\pi \rightarrow \bar{K}^0$



In modern terms: second-order process via  $W$ -exchange

## $K^0-\bar{K}^0$ system (contd.)

$K^0, \bar{K}^0$ : flavour eigenstates of the *strong interactions*, produced in the lab by strong processes, but decays governed by *weak interactions*

Oscillations in  $K^0-\bar{K}^0$  system  $\Leftrightarrow \langle \bar{K}^0 | H_{\text{weak}} | K^0 \rangle \neq 0$

If kaons could mix but were stable, unitary temporal evolution of kaon state  $|\psi(t)\rangle$  limited to kaon subspace  $\Rightarrow$  unitary within this subspace

$$|\psi(t)\rangle = U(t)|\psi(0)\rangle = c_1(t)|K^0\rangle + c_2(t)|\bar{K}^0\rangle$$

Kaons do decay, temporal evolution leads state outside of kaon subspace

$$|\psi(t)\rangle = U(t)|\psi(0)\rangle = c_1(t)|K^0\rangle + c_2(t)|\bar{K}^0\rangle + |R(t)\rangle$$

$|R(t)\rangle$  orthogonal to the kaon states

Projection of state at time  $t$  back on kaon subspace compared to state at time 0  $\Rightarrow$  evolution not unitary

## $K^0-\bar{K}^0$ system (contd.)

$$\begin{aligned} |\psi_K(t)\rangle &\equiv \Pi_K |\psi(t)\rangle = \Pi_K U(t) |\psi(0)\rangle = \Pi_K U(t) \Pi_K |\psi(0)\rangle \\ &= c_1(t) |K^0\rangle + c_2(t) |\bar{K}^0\rangle \end{aligned}$$

$\Pi_K$ : projector on kaon subspace

$$\Pi_K U(t) \Pi_K = \Pi_K e^{-iHt} \Pi_K \text{ not unitary, } H = H_{\text{strong}} + H_{\text{weak}}$$

*Weisskopf-Wigner approximation:*

$$\Pi_K e^{-iHt} \Pi_K \simeq e^{-iH_{\text{eff}}t}$$

$H_{\text{eff}} \neq H_{\text{eff}}^\dagger$ : effective non-Hermitian Hamiltonian

non-Hermiticity reflects the fact that kaons can decay

Temporal evolution:

$$i \frac{\partial}{\partial t} |\psi_K(t)\rangle = H_{\text{eff}} |\psi_K(t)\rangle$$

Solved by finding eigenvalues/eigenvectors of  $H_{\text{eff}}$ :

- eigenvalues are generally complex
- eigenvectors are generally non-orthogonal

## $K^0-\bar{K}^0$ system (contd.)

$$H_{\text{eff}}|K_{S,L}\rangle = \lambda_{S,L}|K_{S,L}\rangle = (m_{S,L} - i\frac{\Gamma_{S,L}}{2})|K_{S,L}\rangle$$

$$\langle K_S|K_S\rangle = \langle K_L|K_L\rangle = 1, \text{ but } \langle K_S|K_L\rangle \neq 0 \text{ in general}$$

$m_{S,L}, \Gamma_{S,L} \in \mathbb{R}, \Gamma_S \geq \Gamma_L$  by convention

$$\text{Let } |\psi(0)\rangle = |\psi_K(0)\rangle = c_S|K_S\rangle + c_L|K_L\rangle$$

$$|\psi_K(t)\rangle = c_S e^{-i(m_S - i\frac{\Gamma_S}{2})t} |K_S\rangle + c_L e^{-i(m_L - i\frac{\Gamma_L}{2})t} |K_L\rangle$$

$m_{S,L}$  = mass,  $\Gamma_{S,L}$  = decay width

$$|\langle \psi_K(t) | \psi_K(t) \rangle|^2 = |c_S|^2 e^{-\Gamma_S t} + |c_L|^2 e^{-\Gamma_L t} + 2\text{Re} \left\{ c_S^* c_L e^{i(m_S - m_L)t} e^{-\frac{\Gamma_S + \Gamma_L}{2} t} \langle K_S | K_L \rangle \right\}$$

- $|K_S\rangle$ : “K-short”,  $|K_L\rangle$ : “K-long”: definite masses  $m_{S,L}$  and decay times  $\Gamma_{S,L}^{-1}$  ( $|K_S\rangle$  shorter-lived than  $|K_L\rangle$ )  $\Rightarrow$  definite decay properties
- $K_S, K_L \neq K^0, \bar{K}^0 \Rightarrow$  a  $K^0$  beam develops a  $\bar{K}^0$  component in time

## $K^0 - \bar{K}^0$ system and $CP$ symmetry

If  $CP$  is a symmetry of weak interactions  $\Rightarrow [CP, H] = [CP, \Pi_K] = 0$

$CP$  is a symmetry of strong interactions, kaon subspace is a  $CP$  eigenspace  $\Rightarrow [CP, \Pi_K] = 0$

$$0 = [CP, \Pi_K e^{-iHt} \Pi_K] = [CP, e^{-iH_{\text{eff}}t}] \Rightarrow [CP, H_{\text{eff}}] = 0$$

Common basis exists,  $H_{\text{eff}}$  non degenerate

$$\Rightarrow CP|K_{S,L}\rangle = \eta_{S,L}|K_{S,L}\rangle \quad |\eta_{S,L}| = 1$$

$K^0, \bar{K}^0$  pseudoscalars, choosing phases  $C|K^0\rangle = |\bar{K}^0\rangle \Rightarrow CP$  eigenstates

QFT requires  $C^2 = 1$

$$\begin{aligned} |K_1\rangle &= \frac{1}{\sqrt{2}} (|K^0\rangle - |\bar{K}^0\rangle) & |K_2\rangle &= \frac{1}{\sqrt{2}} (|K^0\rangle + |\bar{K}^0\rangle) \\ CP|K_1\rangle &= |K_1\rangle & CP|K_2\rangle &= -|K_2\rangle \end{aligned}$$

$K_{S,L}$  can be identified with  $K_{1,2} \Rightarrow \eta_{S,L} = \pm 1, \langle K_S|K_L\rangle = 0$

# $K^0-\bar{K}^0$ system and $CP$ symmetry (contd.)

Before 1956:  $K^0 \rightarrow 2\pi$  in  $\tau_S \simeq 0.89 \cdot 10^{-10}s$

- final state has  $P = 1$ : since  $\ell = 0 \Rightarrow (-1)^\ell \eta_\pi^2 = 1$

Notice  $P_i \neq P_f$

- if  $2\pi = \pi^0\pi^0$ : automatically  $C = 1$  state ( $\xi_{\pi^0} = 1$ )  $\Rightarrow CP = 1$
- if  $2\pi = \pi^+\pi^-$ :  $C|\pi^+\pi^-\rangle = |\pi^-\pi^+\rangle = (-1)^\ell |\pi^+\pi^-\rangle \Rightarrow CP = 1$

- $CP = -1$  state cannot decay into  $2\pi$ , but can decay into  $3\pi$   
 $\ell, L$ : relative angular momentum of first pair, and of third particle  
wrt CM of the pair;  $J = 0 \Rightarrow \ell = L$

Under  $P$ :  $3\pi$  state gets phase  $\eta_P = (-1)^{3+\ell+L} = -1$ ;  
under  $C$ :  $3\pi^0$  gets  $\eta_C = 1^3 = 1$ ;  $\pi^+\pi^-\pi^0$  gets  $(-1)^\ell \cdot 1$   
 $CP = (-1)^{\ell+1}$  for  $\pi^+\pi^-\pi^0$ ,  $CP = -1$  for  $\pi^0\pi^0\pi^0$

Identification:

- $CP = 1$  state  $K_1$  identified with  $K_S$
- $CP = -1$  state  $K_2$  identified with  $K_L$

1956: Lederman and collaborators observed  $K^0 \rightarrow 3\pi$  further down the beam = “long” component of  $K^0$ ,  $\tau_L = 5.2 \cdot 10^{-8}s$

# $K^0-\bar{K}^0$ system and $CP$ symmetry (contd.)

Summary (so far):

- neutral  $K$  produced via strong interactions as strangeness eigenstates,  $K^0, \bar{K}^0$
- in terms of  $CP$  eigenstates,  $K_{1,2}$

$$|K^0\rangle = \frac{1}{\sqrt{2}} (|K_1\rangle + |K_2\rangle) \quad |\bar{K}^0\rangle = -\frac{1}{\sqrt{2}} (|K_1\rangle - |K_2\rangle)$$

- $K$  decay process governed by weak interactions,  $S$  not conserved
- if  $CP$  conserved  $K_1, K_2$  are states with definite lifetime, and a beam of kaons will see
  - ▶  $K_1 = K_S$  component ( $CP = 1$ ) decay first mostly into  $2\pi$   
 $K_1 \rightarrow \pi^+\pi^-\pi^0$  is possible if final angular momentum chosen properly
  - ▶  $K_2 = K_L$  component ( $CP = -1$ ) decay later mostly into  $3\pi$ , *never* into  $2\pi$
- in passing: tiny mass difference  $m_L - m_S \simeq 3.5 \cdot 10^{-6}$  eV



## $K^0-\bar{K}^0$ system and $CP$ symmetry (contd.)

But what if  $CP$  is not a symmetry of weak interactions?

- no reason for the physical state  $K_L$  to be a pure  $CP = -1$  state

$$|K_L\rangle = \frac{1}{\sqrt{1+|\varepsilon|^2}} (|K_2\rangle + \varepsilon|K_1\rangle)$$

- down the beam where  $K_S$  has already decayed, some  $CP$ -forbidden decays in  $2\pi$  should be observed
- 1964: Cronin, Fitch and collaborators, using a very long kaon beam, observed  $2\pi$  decays of  $K_L \Rightarrow CP$  violated by weak interactions
- rather small violation: exp.  $|\varepsilon| = 2.2 \cdot 10^{-3}$
- *indirect*  $CP$  violation, due to physical states not being  $CP$  eigenstates
- possible to have *direct*  $CP$  violation:  $CP$  is not anymore a symmetry  $\Rightarrow CP = -1$  eigenstate (e.g.,  $K_2$ ) can decay in  $2\pi$  (observed expt.lly)
- for explicit  $CP$  breaking in the SM least  $3 \times 3$  CKM matrix:
  - ▶ need at least three families of quarks
  - ▶  $CP$ -violating phase remains in CKM matrix after all irrelevant phases are reabsorbed in a redefinition of fermion states
  - ▶ suggested by Kobayashi and Maskawa before 2nd family complete

# Scattering theory

Scattering experiment:

- throw bunch of particles against fixed target/other bunch of particles
- study what comes out of the collision

**Empirical fact #1:** particles far enough from each other do not interact appreciably, travelling essentially undisturbed on straight-line trajectories

*A posteriori* explanation: interactions are typically short-ranged (even long-ranged EM interactions are effectively short-ranged in most cases due to screening effects)

Experimenters can prepare states of spatially separated, non-interacting, freely evolving particles, used as *initial states* of scattering experiments

**Empirical fact #2:** after a sufficiently long time has elapsed after the collision, the state of the system looks again like a state of freely-evolving, spatially well-separated particles

“Sufficiently long” depends on the type of interaction, but anyway a very short time on human scales: upper bound estimated as  $10^{-10}$ s

Experimenters, by making measurements, can characterise these states – *final states* of scattering experiments

# Scattering theory (contd.)

For all practical purposes we can treat the system as follows:

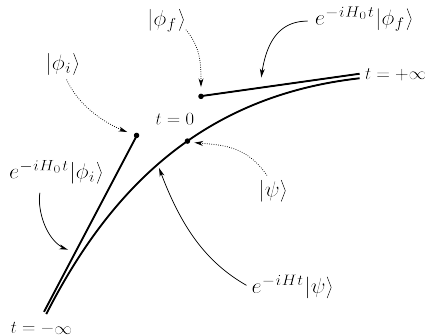
- system prepared in initial state in distant past (formally:  $t = -\infty$ ), with particles far away (formally: infinitely far away) from each other
- observations on the system made in distant future (formally:  $t = +\infty$ ), with particles again far away (formally: infinitely far away) from each other, after interaction is over
- measuring energy, momentum, electric charge, etc., of the final particles projects the state of the system on a particle state with definite particle content and particle momenta

In summary: in the far past and far future the state of the system looks like a freely-evolving particle state

Free particles = localised objects travelling on straight lines

# Formal theory of scattering

Mathematically: as  $t \rightarrow -\infty$ ,  $t \rightarrow +\infty$ , exact temporal evolution  $e^{-iHt}|\psi\rangle$  of the system state ( $H$ : full Hamiltonian) practically indistinguishable from freely evolving states  $e^{-iH_0t}|\phi_i\rangle$ ,  $e^{-iH_0t}|\phi_f\rangle$  ( $H_0$ : free Hamiltonian)



Formally: given  $|\psi\rangle$ ,  $\exists|\phi_{i,f}\rangle$

$$\lim_{t \rightarrow -\infty} \|e^{-iHt}|\psi\rangle - e^{-iH_0t}|\phi_i\rangle\| = 0$$

$$\lim_{t \rightarrow +\infty} \|e^{-iHt}|\psi\rangle - e^{-iH_0t}|\phi_f\rangle\| = 0$$

# Formal theory of scattering (contd.)

Turn the argument around:

if system prepared in the distant past looking like  $e^{-iH_0t}|\phi_i\rangle$ , then state vector describing exact temporal evolution of the system with the full Hamiltonian is

$$|\psi_+\rangle = \lim_{t \rightarrow -\infty} e^{iHt} e^{-iH_0t} |\phi_i\rangle$$

$|\phi_i\rangle$  encodes particle type, momenta, spin, . . . , of the experimental setup

if the state observed in the distant future looks like  $e^{-iH_0t}|\phi_f\rangle$ , then state vector describing exact temporal evolution is

$$|\psi_-\rangle = \lim_{t \rightarrow +\infty} e^{iHt} e^{-iH_0t} |\phi_f\rangle$$

$|\phi_f\rangle$  encodes particle type, momenta, spin, . . . , seen by the detectors

States  $|\psi_+\rangle$  and  $|\psi_-\rangle$  are the *in* and *out* states corresponding to the *asymptotic states*  $|\phi_i\rangle$  (initial) and  $|\phi_f\rangle$  (final)

# Formal theory of scattering (contd.)

$|\psi_{\pm}\rangle = \lim_{t \rightarrow \mp\infty} e^{iHt} e^{-iH_0 t} |\phi_{i,f}\rangle$  define *scattering* (or *Møller*) operators

$$\Omega_{\pm} \equiv \lim_{t \rightarrow \mp\infty} e^{iHt} e^{-iH_0 t}$$

- $\Omega_{\pm}$  limit of unitary operators, conserve the norm  $\|\Omega_{\pm}|\phi\rangle\| = \|\phi\rangle\|$   
Initial/final state arbitrary (prepare/detect what we want)  
 $\Rightarrow |\phi_{i,f}\rangle$  range over a complete set of states  
 $\Rightarrow \Omega_{\pm}^{\dagger} \Omega_{\pm} = \mathbf{1}$
- Assume all states of the system accessible in a scattering experiment  
 $\Leftrightarrow$  all states look like freely-evolving states as  $t \rightarrow \mp\infty$

Not always true, e.g., bound states in non-relativistic QM

$$\Rightarrow \forall |\psi\rangle \exists |\phi_{\pm}\rangle \text{ s.t. } |\psi\rangle = \Omega_{\pm} |\phi_{\pm}\rangle \Rightarrow \exists \lim_{t \rightarrow \mp\infty} e^{iH_0 t} e^{-iHt} = \Omega_{\pm}^{\dagger}$$

$$|\phi_{\pm}\rangle = \Omega_{\pm}^{\dagger} \Omega_{\pm} |\phi_{\pm}\rangle = \Omega_{\pm}^{\dagger} |\psi\rangle \Rightarrow \Omega_{\pm} \Omega_{\pm}^{\dagger} |\psi\rangle = \Omega_{\pm} |\phi_{\pm}\rangle = |\psi\rangle$$

$$\Rightarrow \Omega_{\pm} \Omega_{\pm}^{\dagger} = \mathbf{1}$$

$\Omega_{\pm}$  are unitary operators

# The S-matrix

Exact temporal evolution of the system is experimentally inaccessible  
What is measured are transition probabilities for a system in the given initial state to be observed in some prescribed final state

$$\text{Initial state: } |\psi_+(t)\rangle = e^{-iHt}|\psi_+\rangle \xrightarrow{t \rightarrow -\infty} e^{-iH_0 t}|\phi_i\rangle$$

$$\text{Final state: } |\psi_-(t)\rangle = e^{-iHt}|\psi_-\rangle \xrightarrow{t \rightarrow +\infty} e^{-iH_0 t}|\phi_f\rangle$$

Project on (= detect) final state at  $t = T_f$  is  $t$ -indep.):

$$\langle \psi_-(T_f) | \psi_+(T_f) \rangle = \langle \psi_- | e^{iHT_f} e^{-iHT_f} | \psi_+ \rangle = \langle \psi_- | \psi_+ \rangle \quad (t\text{-independent})$$

$$\begin{aligned} \langle \psi_- | \psi_+ \rangle &= \lim_{T_f \rightarrow +\infty} \langle \psi_- | e^{iHT_f} e^{-iHT_f} | \psi_+ \rangle \\ &= \lim_{T_f \rightarrow +\infty, T_i \rightarrow -\infty} \langle \psi_- | e^{iHT_f} e^{-iHT_f} e^{iHT_i} e^{-iHT_i} | \psi_+ \rangle \\ &= \lim_{T_f \rightarrow +\infty, T_i \rightarrow -\infty} \langle \phi_f | e^{iH_0 T_f} e^{-iHT_f} e^{iHT_i} e^{-iH_0 T_i} | \phi_i \rangle \\ &= \langle \phi_f | \Omega_-^\dagger \Omega_+ | \phi_i \rangle \equiv \langle \phi_f | S | \phi_i \rangle = S_{fi} \end{aligned}$$

$$S = \Omega_-^\dagger \Omega_+: S\text{-operator, matrix elements } S_{fi}: S\text{-matrix}$$

# The $S$ -matrix (contd.)

$S$ -matrix encodes all relevant information about scattering processes:  
from transition amplitudes  $S_{fi}$  one gets transition *probabilities*  $P_{fi} = |S_{fi}|^2$   
measured (up to factors) in experiments

1.  $S$  is unitary:  $S^\dagger S = SS^\dagger = \mathbf{1}$

Expresses conservation of probabilities:  $\sum_f P_{fi} = \sum_f |S_{fi}|^2 = 1$  Also when  $\Omega_\pm \Omega_\pm^\dagger \neq \mathbf{1}$

$P(i \rightarrow \text{anything}) = \sum_f P(i \rightarrow f) = 1$

$$\sum_f |S_{fi}|^2 = \sum_{\phi_f} \langle \phi_i | S^\dagger | \phi_f \rangle \langle \phi_f | S | \phi_i \rangle = \langle \phi_i | S^\dagger S | \phi_i \rangle = \langle \phi_i | \phi_i \rangle = 1$$

$P(f \leftarrow \text{something}) = \sum_i P(i \rightarrow f) = 1$

$$\sum_i |S_{fi}|^2 = \sum_{\phi_i} \langle \phi_f | S | \phi_i \rangle \langle \phi_i | S^\dagger | \phi_f \rangle = \langle \phi_f | S S^\dagger | \phi_f \rangle = \langle \phi_f | \phi_f \rangle = 1$$



# The $S$ -matrix (contd.)

2. Since  $\forall s$

$$\begin{aligned} e^{iHs}\Omega_{\pm}e^{-iH_0s} &= \lim_{t \rightarrow \mp\infty} e^{iHs}e^{iHt}e^{-iH_0t}e^{-iH_0s} = \lim_{t \rightarrow \mp\infty} e^{iH(t+s)}e^{-iH_0(t+s)} \\ &= \lim_{t \rightarrow \mp\infty} e^{iHt}e^{-iH_0t} = \Omega_{\pm} \end{aligned}$$

Taking  $\partial/\partial s|_{s=0} \Rightarrow$  intertwining relations

$$H\Omega_{\pm} = \Omega_{\pm}H_0 \quad H_0\Omega_{\pm}^{\dagger} = \Omega_{\pm}^{\dagger}H$$

Energy conservation in a scattering process:

$$H_0S = H_0\Omega_{-}^{\dagger}\Omega_{+} = \Omega_{-}^{\dagger}H\Omega_{+} = \Omega_{-}^{\dagger}\Omega_{+}H_0 = SH_0 \Rightarrow [H_0, S] = 0$$

3. If symmetry generator  $G$  commutes with both free and full Hamiltonians

$$[G, H_0] = [G, H] = 0 \Rightarrow [G, \Omega_{\pm}] = 0 \Rightarrow [G, S] = 0$$

Interactions  $V = H - H_0$  usually translationally and rotationally invariant  
 $\Rightarrow$  generators  $\vec{P}$  (momentum) and  $\vec{J}$  (angular momentum)

Conservation of momentum and angular momentum in scattering processes

$$[\vec{P}, S] = [\vec{J}, S] = 0$$