

LECTURE 9:

GAUGE THEORY ON
THE LATTICE

CONTINUUM GAUGE THY (SU(N))

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$\phi^i(x)$ $i=1, \dots, N$ complex scalar field

$$S = \int d^4x \left\{ \phi(x) (\square + m^2) \phi(x) + U(\phi(x) \cdot \phi(x)) \right\} \quad \text{where} \quad \phi \cdot \psi = \phi^\dagger \psi = \sum_{i=1}^N \phi^* i \psi_i$$

$\exists$ global symmetry $\phi(x) \rightarrow \phi'(x) = \Lambda^{-1} \phi(x)$ $\Lambda \in SU(N) \dots$

$$\Lambda^\dagger \Lambda = 1$$
$$\det \Lambda = 1$$

we would like to make the symm. local: $\Lambda \rightarrow \Lambda(x)$

$$\text{i.e. } \phi(x) \rightarrow \phi'(x) = \Lambda^{-1}(x) \phi(x)$$

$\phi \cdot \phi$ invariant, but $\phi \square \phi$ is not

Goal: a kind of derivative, s.t. $D_\mu \phi(x) \rightarrow D'_\mu \phi'(x) = \Lambda^{-1}(x) D_\mu \phi(x) \Rightarrow D_\mu \phi$ $D_\mu \phi$ inv.

Similarly, if we had fermions $S'_F = \int d^4x \bar{\Psi}_a (\not{\partial} + m) \Psi_a$ and we did

$\bar{\Psi}_a \Psi_a$ invariant

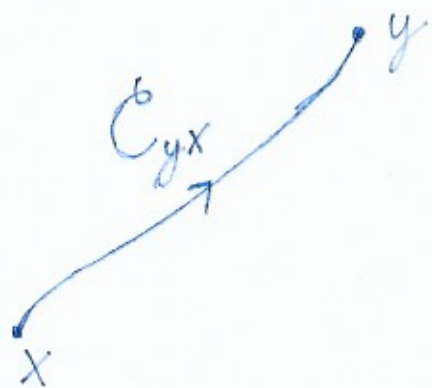
$\hat{L}_{\text{new}} SU(N)$ index

$$\Psi(x) \rightarrow \Lambda^{-1}(x) \Psi(x)$$

$\bar{\Psi}_a \not{\partial} \Psi_a$ not $\Rightarrow$ same goal

CONTINUUM GAUGE THY (SU(N))

PARALLEL TRANSPORTER



$$\phi(x) \in V_x \quad \phi(y) \in V_y$$

def. a parallel transporter

C_{yx} = a curve from x to y

$$U(C_{yx}) : V_x \rightarrow V_y \quad \text{linear map (SU(N) matrix)}$$

$$U(C_{yx})\phi(x) \in V_y$$

Properties:

1) $U(\overset{\text{empty curve}}{\phi}) = \mathbb{1}$

2) $U(C_2 \circ C_1) = U(C_2)U(C_1)$



3) $U(-C) = U(C)^{-1}$ $C \nearrow \swarrow -C$

Furthermore, we want $U(C_{yx})\phi(x) \in V_y$ to transform with $\Lambda^{-1}(y)$

$\Rightarrow$

$$U(C_{yx}) \rightarrow U'(C_{yx}) = \Lambda^{-1}(y) U(C_{yx}) \Lambda(x)$$

INFINITESIMAL PARALLEL TRANSPORTER

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$$U(\mathcal{C}_{x+dx, x}) = \mathbb{1} - A_m(x) dx^m \quad (*)$$

$$U \text{ unitary} \Rightarrow UU^\dagger = \mathbb{1} - (A_m + A_m^\dagger) dx^m + O(dx^2) = \mathbb{1} \Rightarrow A_m \text{ antihermitian}$$

$$\det U = 1 \Rightarrow 0 = \ln \det U = \text{tr} \ln(1 - A_m dx^m) = \text{Tr}(-A_m dx^m + O(dx^2)) \Rightarrow \text{Tr} A_m = 0$$

$$U \in SU(N) \leftarrow \text{Lie group}$$

$$A_m \in \mathfrak{su}(N) \leftarrow \text{Lie algebra}$$

- In continuum field theory, one usually works with fields that are elements of the Lie algebra. In lattice field theory the fields will be elements of the Lie group.
- Most textbooks on cont. field thry use ~~anti~~ hermitian $A_m(x)$ (they have an extra i in eq. $(*)$)

COVARIANT DERIVATIVE

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$$D\phi := \lim_{dx \rightarrow 0} (U^{-1}(\mathcal{E}_{x+dx, x}) \phi(x+dx) - \phi(x)) = D_M \phi(x) dx^M \quad (*)$$

$$D_M \phi = \lim_{dx \rightarrow 0} \frac{\phi(x+dx^M) - \phi(x)}{dx} + A_M(x) \phi(x) = [\partial_M + A_M(x)] \phi(x)$$

(*) guarantees that $D_M \phi(x) \rightarrow \Lambda^{-1}(x) D_M \phi(x)$ like we wanted

$A_M(x)$ is a new field, the gauge field

How does it transform?

$$\begin{aligned} U(\mathcal{E}_{x+dx, x}) &\rightarrow \Lambda^{-1}(x+dx) U(\mathcal{E}_{x+dx, x}) \Lambda(x) = [\Lambda^{-1}(x) + \partial_M \Lambda^{-1}(x) dx^M] [1 - A_M(x) dx^M] \Lambda(x) \\ &= 1 - [\Lambda^{-1}(x) A_M(x) \Lambda(x) - (\partial_M \Lambda^{-1}(x)) \Lambda(x)] dx^M + O(dx^2) \end{aligned}$$

$$\Rightarrow A'_M(x) = \Lambda^{-1}(x) A_M(x) \Lambda(x) - (\partial_M \Lambda^{-1}(x)) \Lambda(x) \stackrel{\nearrow}{=} \Lambda^{-1}(x) [\partial_M + A_M(x)] \Lambda(x)$$

$$\begin{aligned} \partial_M (\Lambda \Lambda^{-1}) &= 0 \\ (\partial_M \Lambda^{-1}) \Lambda &= -\Lambda^{-1} \partial_M \Lambda \end{aligned}$$

THE FIELD STRENGTH TENSOR

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$$F_{\mu\nu}(x) = [D_\mu, D_\nu] = \partial_\mu A_\nu - \partial_\nu A_\mu + [A_\mu(x), A_\nu(x)]$$

$$F_{\mu\nu}(x) \rightarrow F'_{\mu\nu}(x) = \Lambda^{-1}(x) F_{\mu\nu}(x) \Lambda(x) \quad \leftarrow \text{EXERCISE (use } A'_\mu \text{ formula from prev. slide)}$$

$$\text{Tr}(F'_{\mu\nu} F'_{\mu\nu}) = \text{Tr}(\Lambda^{-1} F_{\mu\nu} \Lambda F_{\mu\nu}) = \text{Tr}(\Lambda \Lambda^{-1} F_{\mu\nu} F_{\mu\nu}) = \text{Tr}(F_{\mu\nu} F_{\mu\nu})$$

YANG-MILLS ACTION

 $\Rightarrow$

$$S_{\text{YM}} = \pm \frac{1}{2g^2} \int d^4x \text{Tr}(F_{\mu\nu} F_{\mu\nu})$$

$\leftarrow$ describes dynamics of pure gauge theory

with matter fields included, add:

$$S_{\text{SCALAR}} = \int d^4x [(D_\mu \phi)^\dagger D_\mu \phi + m^2 \phi^\dagger \phi + U(\phi^\dagger \phi)]$$

$$S_{\text{FERMION}} = \int d^4x \bar{\Psi} [\gamma_\mu D_\mu + m] \Psi$$

conventions:

+ if you defined the $F_{\mu\nu}$ and A_μ to be hermitian

- if you def. it to be anti-hermitian (this is us)

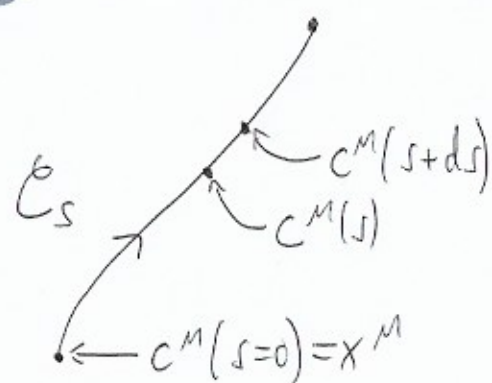
COMMENTS

L6

- ① general gauge invariant quantities constructed from matter fields and parallel transporters

i) $\phi(x) \cdot U(\mathcal{C}_{xy}) \phi(y)$ or $\bar{\psi}(x) U(\mathcal{C}_{xy}) \psi(y)$
 ii) $\text{Tr}(U(\mathcal{C}_{xx}))$ 

- ② path ordered exponential form of parallel transporters



$$U(\mathcal{C}_{s+d_s}) = [1 - A_M(x) dx^M] U(\mathcal{C}_s) \frac{dc^M}{ds} ds$$

$$\Rightarrow \boxed{\frac{d}{ds} U(\mathcal{C}_s) = - A_M(x) \frac{dc^M}{ds} U(\mathcal{C}_s)}$$

initial cond.s
 $U(\mathcal{C}_0) = 1$

this is the same eq. as the eq. satisfied by the time evolution operator in interaction picture
 (Matteo's particle phys lecture notes L10, page 2) $\left(\begin{matrix} s \rightarrow t \\ H_0 \rightarrow H_I \end{matrix} \right)$

Solution:

path order, i.e. ordered in s

$$U(\mathcal{C}_s) = \mathcal{P} \exp \left[- \int_0^s A_M(x) \frac{dc^M}{ds} ds \right] = \mathcal{P} \exp \left[- \int_{\mathcal{C}_s} A_M dx^M \right]$$

GAUGE THY ON THE LATTICE

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hopping term: $-2\kappa \sum_{\langle xy \rangle} \phi(x) \cdot \phi(y)$ not invariant

scalar thy:
 $S = \sum_x U(\phi, \phi) - 2\kappa \sum_{\langle xy \rangle} \phi(x) \cdot \phi(y)$

$\Rightarrow$ parallel transporters for the elementary lattice vectors

$$e_{x+a\hat{\mu}, x} = \overset{\bullet}{x} \xrightarrow{\quad} \overset{\bullet}{x+a\hat{\mu}}$$

$$\Rightarrow U(e_{x+a\hat{\mu}, x}) \equiv U_{x\mu} = U(x+a\hat{\mu}, x)$$

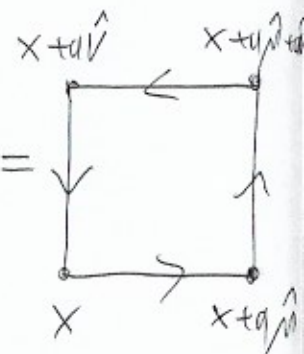
link variables

$$\sum_{\langle xy \rangle} \phi(x) \phi(y) \rightarrow \sum_{\langle xy \rangle} \phi(x) U(x, y) \phi(y) \quad \text{and similarly for fermions}$$

dynamics of gauge fields

simplest gauge invariant loop: plaquette

$$U_P = U_{x;\mu\nu} = U(x, x+a\hat{\nu}) U(x+a\hat{\nu}, x+a\hat{\mu}+a\hat{\nu}) U(x+a\hat{\mu}+a\hat{\nu}, x+a\hat{\mu}) U(x+a\hat{\mu}, x) =$$



$\text{Tr}(U_P) \leftarrow$ gauge inv.

Wilson/plaquette action: $S = \sum_P S_P(U_P)$

$$\sum_P = \sum_x \sum_{1 \leq \mu < \nu \leq 4}$$

$$S_P = -\beta \left(1 - \frac{1}{N} \text{Re Tr } U \right)$$

COMMENTS

(a) $\text{Re Tr } U = \cos \theta_1 + \dots + \cos \theta_N \leq N \Rightarrow S_P(U) \geq 0$

U unitary $\Rightarrow \lambda = e^{i\theta}$

This is how we fixed the const. β in S_P , but anyway it is unimportant

(b) The trace of an arbitrary closed loop is gauge invariant, e.g. for 6 links we have



2x1 rectangle



chair



twisted

we could have added these to the action, we just choose the simplest possibility

PLAQUETTE $\Rightarrow$ CONTINUUM YM

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$$U(x+a\hat{\mu}, x) = e^{-aA_\mu(x)} = 1 - aA_\mu(x) + \frac{a^2}{2}A_\mu(x)^2 + \dots$$

$$\Delta_\mu^f f(x) := \frac{1}{a} [f(x+a\hat{\mu}) - f(x)]$$

$$F_{\mu\nu}^f(x) := \Delta_\mu^f A_\nu(x) - \Delta_\nu^f A_\mu(x) + [A_\mu(x), A_\nu(x)]$$

Claim: $U_P = U_{x, \mu\nu} = \exp[-a^2 F_{\mu\nu}^f(x) + O(a^3)]$

suppose it is true, then

$$\text{Tr}(U_P + U_P^\dagger) = 2 \text{Tr} \left[1 + \frac{a^4}{2} F_{\mu\nu}^f \overset{\text{no sum}}{F_{\mu\nu}^f} \right] + O(a^5)$$

$$\sum_P = \sum_x \sum_{\mu < \nu} = \frac{1}{2} \sum_x \sum_{\mu \neq \nu} \quad (\mu = \nu \text{ doesn't count, as } F_{\mu\mu} = -F_{\mu\mu})$$

$$\Rightarrow S_P = \frac{1}{2} \sum_x \sum_{\mu \neq \nu} \beta \left(1 - \frac{1}{N} \text{Re tr } U_P \right) = \frac{1}{2} \sum_x \sum_{\mu \neq \nu} \left(\frac{1}{2N} \text{tr}(U_P + U_P^\dagger) - 1 \right) (-\beta) = \frac{-\beta}{4N} \sum_x \sum_{\mu \neq \nu} a^4 \text{Tr}[F_{\mu\nu} F_{\mu\nu}] + O(a^5)$$

$\Rightarrow$ the prefactor $\frac{\beta}{4N} = \frac{1}{2g^2} \Rightarrow \boxed{\beta = \frac{2N}{g^2}}$ inverse coupling bare

PROOF OF THE CLAIM

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Baker-Campbell-Hausdorff: $e^A e^B = e^{A+B + \frac{1}{2}[A,B] + \dots}$ $\xrightarrow{\text{exercise}} e^{B_1} e^{B_2} e^{B_3} e^{B_4} = e^{\sum B_i + \frac{1}{2} \sum_{i < j} [B_i, B_j] + \dots}$

$$U_{x, \mu\nu} = \underbrace{U(x, x+a\hat{\nu})}_{U^+(x+a\hat{\nu}, x)} \underbrace{U(x+a\hat{\nu}, x+aq\hat{\mu}+a\hat{\nu})}_{U^+(x+a\hat{\mu}+a\hat{\nu}, x+a\hat{\nu})} U(x+a\hat{\mu}+a\hat{\nu}, x+aq\hat{\mu}) U(x+aq\hat{\mu}, x) = e^{\underset{B_1}{aA_V(x)}} e^{\underset{B_2}{aA_M(x+a\hat{\nu})}} e^{-\underset{B_3}{aA_V(x+a\hat{\mu})}} e^{-\underset{B_4}{aA_M(x)}} \\ \equiv \exp(\phi_{\mu\nu})$$

$$A_V(x+aq\hat{\mu}) = A_V(x) + a \frac{A_V(x+q\hat{\mu}) - A_V(x)}{a} = A_V(x) + a \Delta_M^f A_V(x)$$

$$\begin{aligned} \phi_{\mu\nu} &= aA_V(x) + aA_M(x+a\hat{\nu}) - aA_V(x+a\hat{\mu}) - aA_M(x) + \frac{1}{2} \sum_{i < j} [B_i, B_j] \\ &= \cancel{aA_V(x)} + \cancel{aA_M(x)} + a^2 \Delta_V^f A_M(x) - \cancel{aA_V(x)} - a^2 \Delta_M^f A_V(x) - \cancel{aA_M(x)} + \frac{1}{2} \sum_{i < j} [B_i, B_j] \\ &= a^2 [\Delta_V^f A_M(x) - \Delta_M^f A_V(x)] + \frac{a^2}{2} (\cancel{[A_V, A_M]} - \cancel{[A_M, A_V]} - \cancel{[A_V, A_M]} - [A_M, A_V] - \cancel{[A_M, A_M]} + [A_V, A_M]) \\ &\quad + O(a^3) \\ &= a^2 (\Delta_V^f A_M(x) - \Delta_M^f A_V(x) - [A_M, A_V]) + O(a^3) = -a^2 F_{\mu\nu}^f(x) + O(a^3) \quad \square \end{aligned}$$

PATH INTEGRAL MEASURE?

$$Z = \int \mathcal{D}U e^{-S[U]}$$

$$\prod_{\text{link}} dU_{\text{link}} \leftarrow \textcircled{?}$$

integral over links, but how to integrate over a single link?

$U \in SU(N)$ can e.g. be parametrized

like this

$$U = \exp \left[i \sum_{a=1}^{N^2-1} w^a T^a \right]$$

real parameters
generators

1st guess: $\int dU \sim \int \prod_{a=1}^{N^2-1} dw^a$

WRONG

we want the measure to be

(I) PARAMETRIZATION

INDEPENDENT $\leadsto$

we need a metric on the group manifold

(II) GROUP INVARIANT

$\leadsto$ tells us what the metric can be

PARAMETRIZATION INDEPENDENCE

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usual construction in differential geometry (like in GR)

$\Rightarrow$ def. a metric tensor

$$g'_{a'b'} = g_{ab} \frac{\partial w^a}{\partial w'^{a'}} \frac{\partial w^b}{\partial w'^{b'}}$$

g pos. definite

$\Rightarrow \sqrt{\det g'} \prod_a dw^a$ is invariant, as

$$\sqrt{\det g'} = \sqrt{\det g \left(\det \frac{\partial w}{\partial w'} \right)^2} = \sqrt{\det g} \left| \det \frac{\partial w}{\partial w'} \right|$$

$$\prod_a dw'^a = \left| \det \frac{\partial w}{\partial w'} \right| \prod_a dw^a = \left| \det \frac{\partial w}{\partial w'} \right|^{-1} \prod_a dw^a$$

$$\Rightarrow \sqrt{\det g'} \prod_a w'^a = \sqrt{\det g} \prod_a dw^a$$

BUT:

$\boxed{g_{ab} = ?}$ $\leftarrow$ fixed by the group invariant property

GROUP INVARIANCE

WHY?

gauge transform $U_{x\mu} \rightarrow \Lambda^{-1}(x+a\hat{\mu}) U_{x\mu} \Lambda(x)$

leaves action invariant $S[U] = S[U']$

we want: $\int DU e^{-S[U]} = \int DU' e^{-S[U']}$

$$\Rightarrow dU_{x\mu} = d[\Lambda^{-1}(x+a\hat{\mu}) U_{x\mu} \Lambda(x)]$$

↑ can be chosen independently

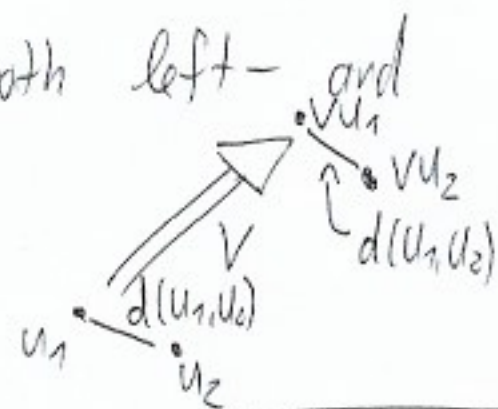
$$\Rightarrow \boxed{\text{GOAL } dU = d(UV) = d(VU)} \quad V \in SU(N)$$

GROUP INV. DISTANCE

$$d(U_1, U_2) := \text{Tr}[(U_1^\dagger - U_2^\dagger)(U_1 - U_2)]$$

$$d(U_1, U_2) = d(U_1 V, U_2 V) = d(V U_1, V U_2) \quad \text{invariant under both left- and right-mult.}$$

$$\begin{aligned} & \text{Tr}[V^\dagger (U_1^\dagger - U_2^\dagger)(U_1 - U_2) V] \\ & \text{Tr}[(U_1^\dagger - U_2^\dagger) V^\dagger V (U_1 - U_2)] \end{aligned}$$



INFINITESIMAL VERSION: $ds^2 = \text{Tr}[dU^\dagger dU] = \sum_{ab} \text{Tr}\left[\frac{\partial U^\dagger}{\partial w^a} \frac{\partial U}{\partial w^b}\right] dw^a dw^b \Rightarrow \boxed{g_{ab}(w) = \text{Tr}\left[\frac{\partial U^\dagger}{\partial w^a} \frac{\partial U}{\partial w^b}\right]}$

$$dU = \mathcal{N} \sqrt{\det g(w)} \prod_a dW^a$$

$$g_{ab}(w) = \text{Tr} \left[\frac{\partial U^+}{\partial w^a} \frac{\partial U}{\partial w^b} \right]$$

normalization: $\int dU = 1 \Rightarrow \mathcal{N}$

$\Rightarrow$ parametrization invariant

$\Rightarrow$ group invariant $\int_{SU(N)} dU f(U) = \int_{SU(N)} dU f(UV) = \int_{SU(N)} dU f(VU)$

COMMENTS

① For any compact group there exists a unique measure s.t. we only looked at $SU(N)$

1) $\int_G f(U) dU = \int_G f(UV) dU = \int_G f(VU) dU$ and 2) $\int_G dU = 1$

HAAR MEASURE

② Also works for discrete groups

e.g. $\mathbb{Z}_2$ gauge thry $\sigma(b) = \pm 1$

$S = -\beta \sum_p (\sigma_p - 1)$ gauge tr. $\sigma(x+\hat{\mu}, x) \rightarrow \lambda^{-1}(x+\hat{\mu}) \sigma(x+\hat{\mu}, x) \lambda(x)$

$\sigma_p = \sigma(b_1) \sigma(b_2) \sigma(b_3) \sigma(b_4) = \sigma(x, x+\hat{\nu}) \sigma(x+\hat{\nu}, x+\hat{\mu}+\hat{\nu}) \sigma(x+\hat{\mu}+\hat{\nu}, x+\hat{\mu}) \sigma(x+\hat{\mu}, x)$

$\int_{\mathbb{Z}_2} f(\sigma) d\sigma = \frac{1}{2} [f(+1) + f(-1)]$

③ For $U(1)$ the $S = -\beta \sum (\cos \psi - 1)$ Haar-measure $\frac{1}{2\pi} \int_{-\pi}^{\pi} d\psi$

④ To define the PI we didn't need gauge fixing

EXAMPLE: SU(2)

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$$U = x^0 \mathbb{1} + i \vec{x} \cdot \vec{\sigma} = \begin{pmatrix} x^0 + ix^3 & x^2 + ix^1 \\ -x^2 + ix^1 & x^0 - ix^3 \end{pmatrix}$$

$$\det U = 1 \Rightarrow (x^0)^2 + (x^1)^2 + (x^2)^2 + (x^3)^2 = 1$$

4D spherical coord-s

$$x^0 = r \cos \theta$$

$$x^1 = r \sin \theta \cos \psi$$

$$x^2 = r \sin \theta \sin \psi \cos \phi$$

$$x^3 = r \sin \theta \sin \psi \sin \phi$$

$$x^0 = \cos \theta$$

$$\xrightarrow{r=1} x^1 = \sin \theta \cos \psi$$

$$x^2 = \sin \theta \sin \psi \cos \phi$$

$$x^3 = \sin \theta \sin \psi \sin \phi$$

$$w^1 = \phi \in [0, 2\pi)$$

$$w^2 = \theta \in [0, \pi)$$

$$w^3 = \psi \in [0, \pi)$$

EXERCISE: $g_{ab} = \text{Tr} \left[\frac{\partial U}{\partial w^a} \frac{\partial U}{\partial w^b} \right] = \begin{bmatrix} 2 & 0 & 0 \\ 0 & 2 \sin^2 \theta & 0 \\ 0 & 0 & 2 \sin^2 \theta \sin^2 \psi \end{bmatrix} \Rightarrow dU = \mathcal{N} \sin^2 \theta \sin \psi d\theta d\psi d\phi$

$$\int_0^\pi \sin^2 \theta d\theta \int_0^\pi \sin \psi d\psi \int_0^{2\pi} d\phi = \frac{\pi}{2} 2 (2\pi) = 2\pi^2$$

$$\Rightarrow \boxed{dU = \frac{1}{2\pi^2} \sin^2 \theta \sin \psi d\theta d\psi d\phi}$$

EXERCISE: $\left| \det \frac{\partial (x_1, x_2, x_3, x_4)}{\partial (r, \theta, \psi, \phi)} \right| = r^3 \sin^2 \theta \sin \psi \Rightarrow \frac{1}{\pi^2} \delta(r^2 - 1) \overbrace{r^3 dr \sin^2 \theta d\theta \sin \psi d\psi d\phi}^{d^4 x}$

$$\xrightarrow{\int dr} \frac{1}{2\pi^2} \sin^2 \theta d\theta \sin \psi d\psi d\phi$$

$$\Rightarrow \boxed{dU = \frac{1}{\pi^2} \delta(x^2 - 1) d^4 x}$$

COMMENT:

Explicit form of the Haar measure is often not needed

- certain algorithms automatically generate matrices w. the correct measure ("uniform" measure on the matrix group)
- analytical integrals can often be calculated via symmetry considerations

PATH INTEGRAL FOR LATTICE QCD

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1 FLAVOR

$$Z = \int \underbrace{DU}_{\text{Haar}} \underbrace{\overline{\Psi} \Psi}_{\text{Berezin/Graßmann}} e^{-S_{\text{GAUGE}}} - \underbrace{\overline{\Psi} M \Psi}_{\text{e.g. Wilson}} = \int DU \det M[U] e^{-S_{\text{GAUGE}}}$$

2+1 FLAVOR

$$\det M \rightarrow \underbrace{\det M_u \det M_d}_{\text{assume } m_u = m_d} \det M_s = (\det M_{ud})^2 \det M_s$$

STAGGERED?

staggered $\det M$ describes 4 fermions

rooting $\det M_{\text{staggered}} \rightarrow (\det M_{\text{staggered}})^{N_f/4}$

Has conceptual issues.

90% consensus: OK

PURE GAUGE

No $(\det M)$. Also called quenched QCD.