

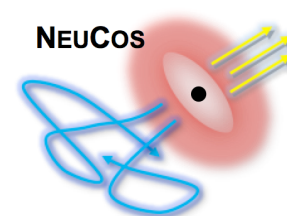
# High-energetic cosmic neutrinos, and the test of fundamental physics

Walter Winter

DESY, Zeuthen, Germany

Department of theoretical physics, seminar  
Eötvös-Lorand University, Budapest, Hungary

May 25, 2016



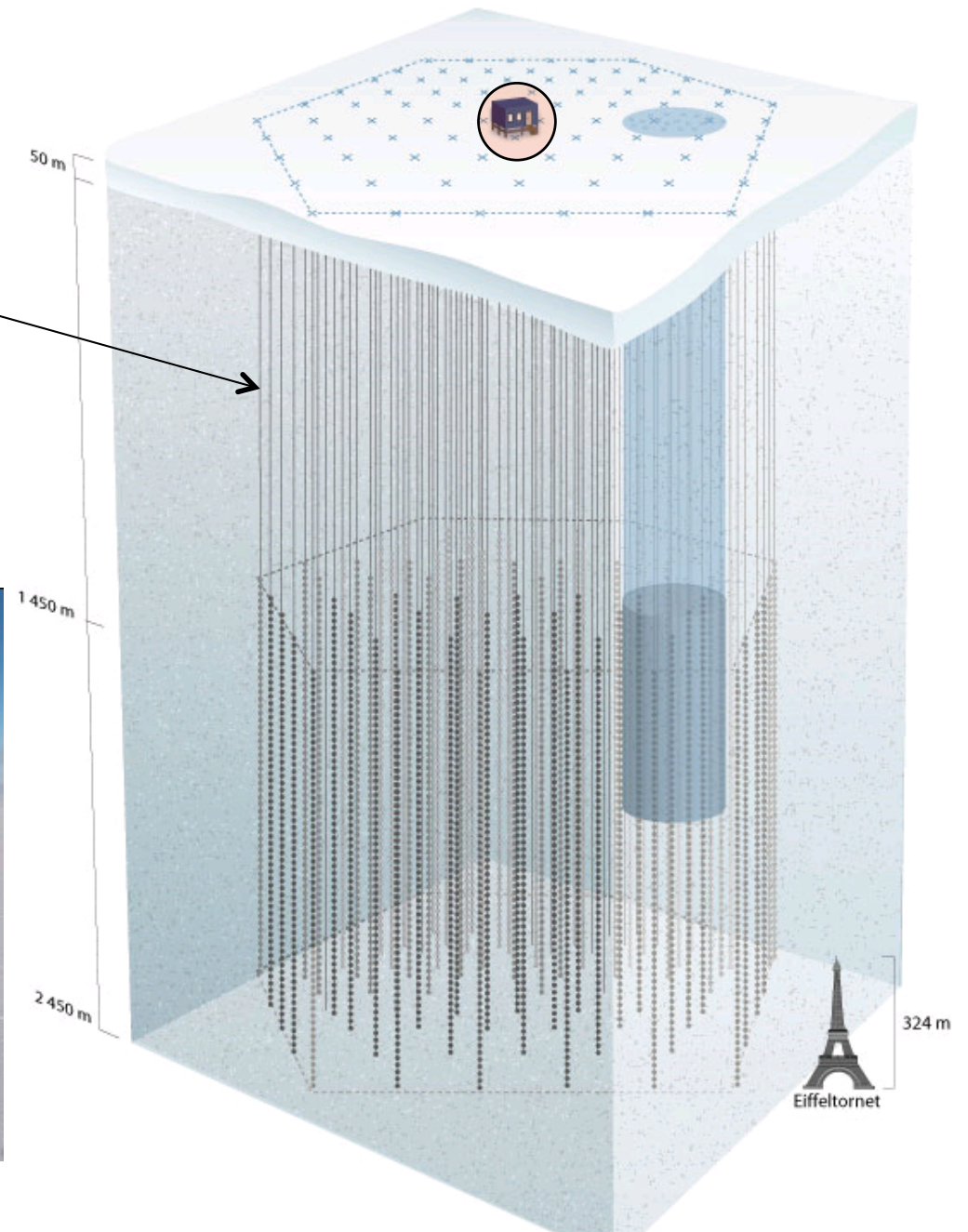
# Contents

- > Introduction
- > Particle astrophysics of neutrino sources
- > Where do the observed cosmic neutrinos come from?
- > Fundamental physics tests with cosmic neutrinos:
  - Flavor composition
  - Propagation effects over cosmological distances
- > Conclusions



# IceCube neutrino observatory at the South Pole

- > Target material:  $\sim 1 \text{ km}^3$  of Antarctic ice

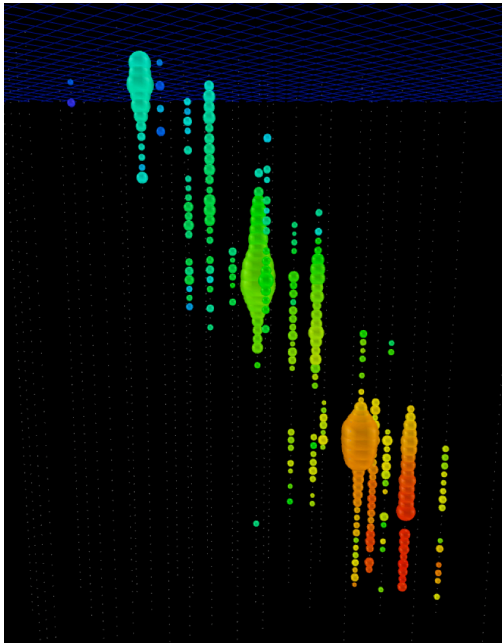


Source: IceCube

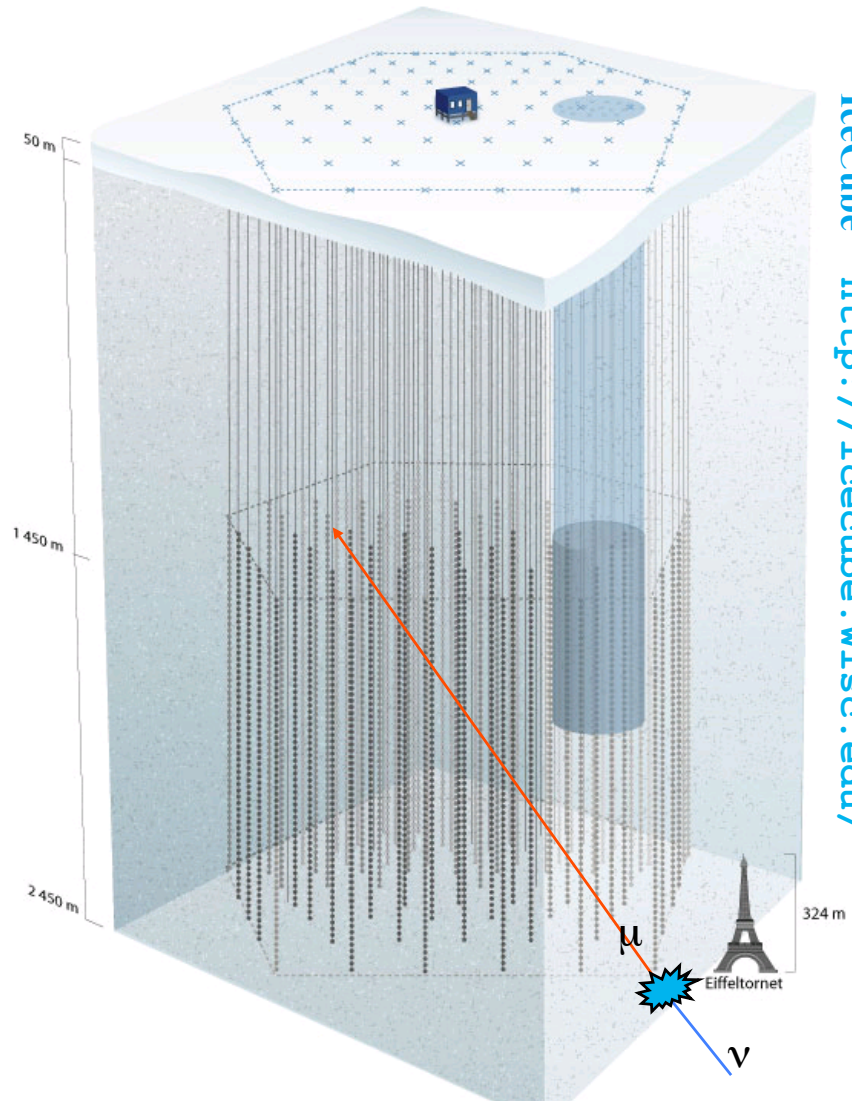
# IceCube: Event topologies?

Muon track:

- From  $\nu_\mu$  (mostly)



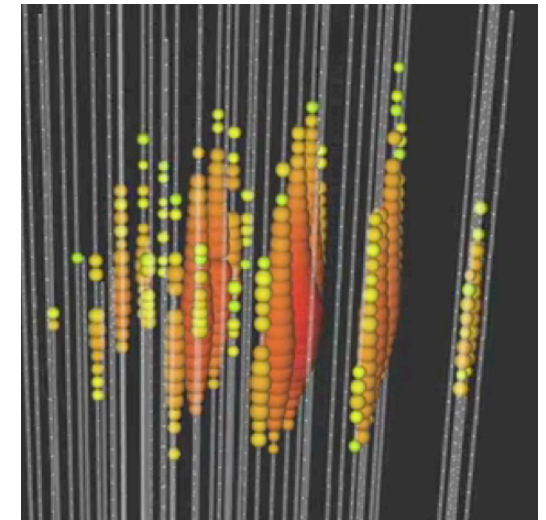
Better directional info



IceCube <http://icecube.wisc.edu/>

Cascade (shower):

- From  $\nu_e$
- From  $\nu_\tau$
- [From  $\nu_e, \nu_\mu, \nu_\tau$  neutral current interactions]



Better energy info

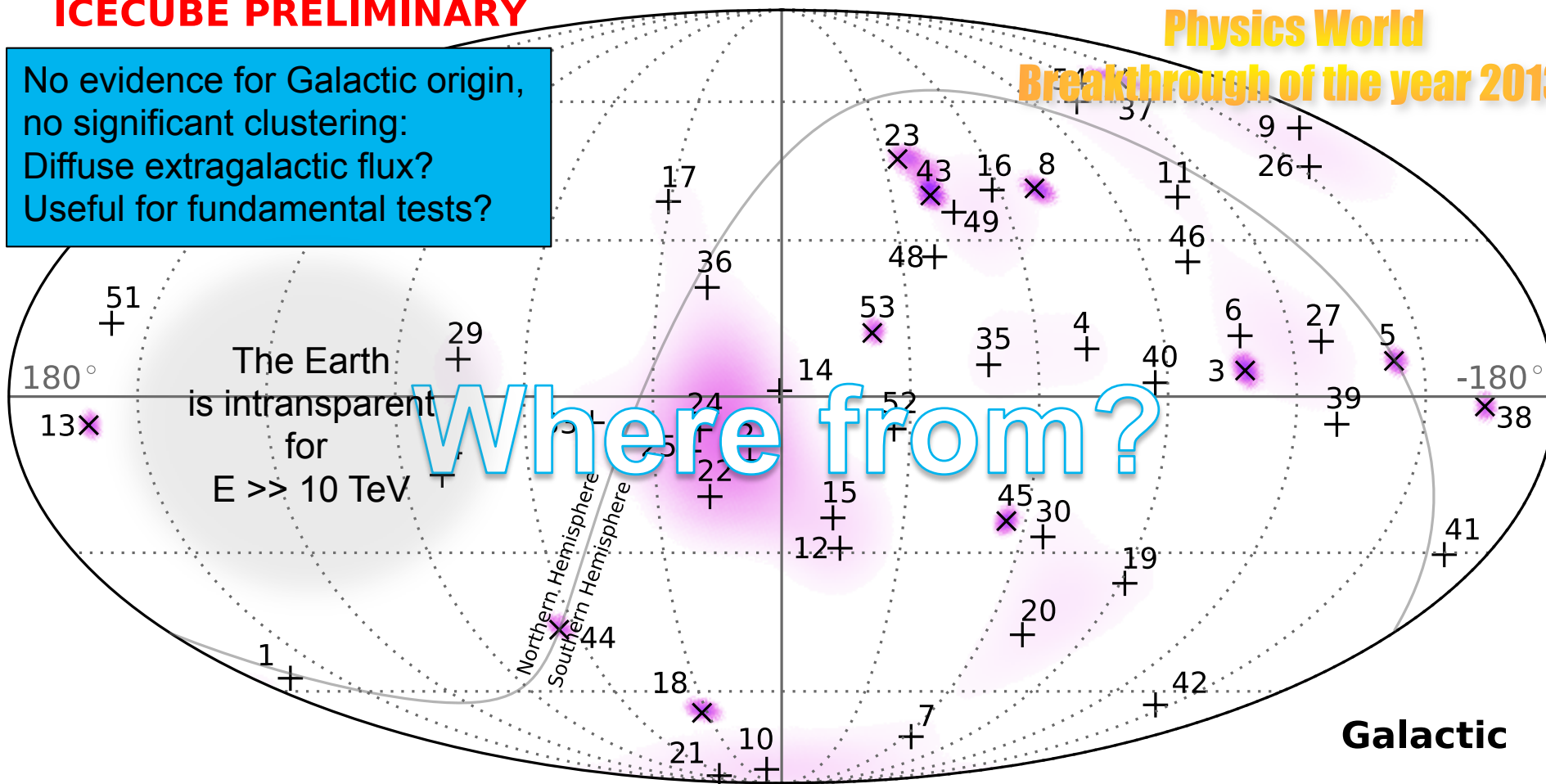
The ratio between muon tracks and showers  $\sim \nu_\mu / (\nu_e + \nu_\tau)$ , roughly

# 2015: 54 high energy cosmic neutrinos

**ICECUBE PRELIMINARY**

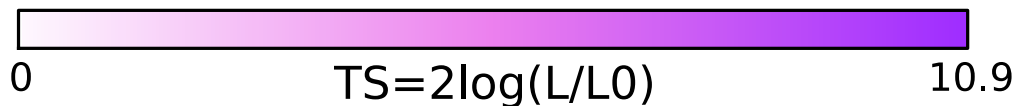
No evidence for Galactic origin,  
no significant clustering:  
Diffuse extragalactic flux?  
Useful for fundamental tests?

**Physics World  
Breakthrough of the year 2013**



+ Cascades

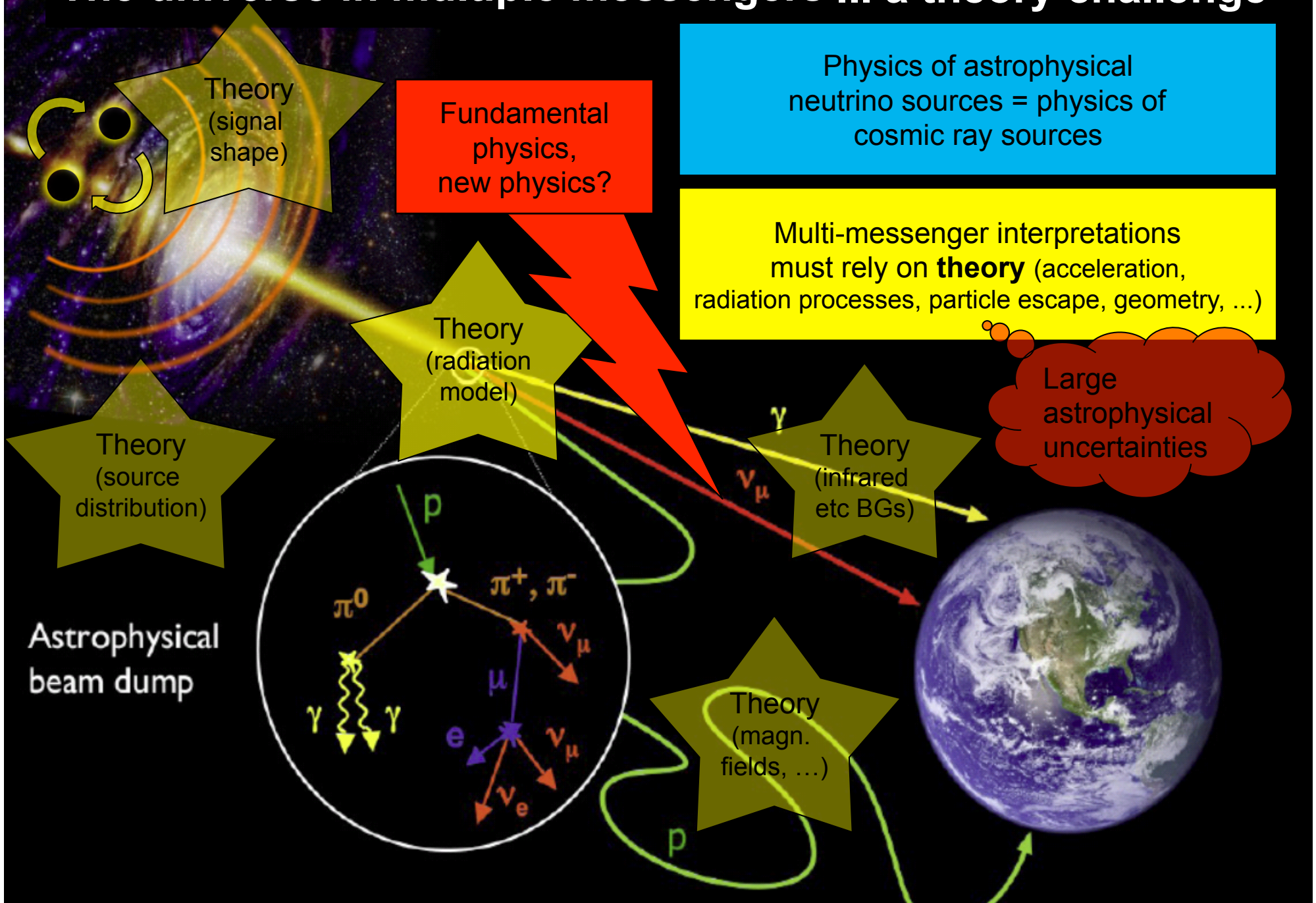
x Muon tracks



IceCube: Science 342 (2013) 1242856; Phys. Rev. Lett. 113, 101101 (2014); Halzen at WIN 2015

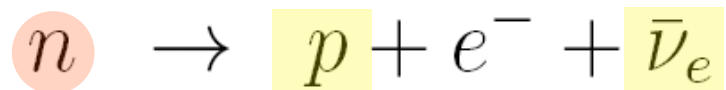


# The universe in multiple messengers ... a theory challenge

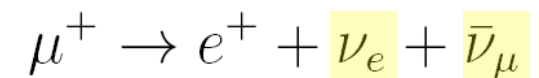
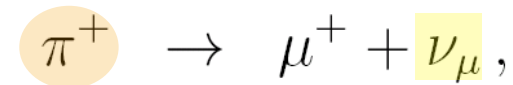


# A simple toy model for the source

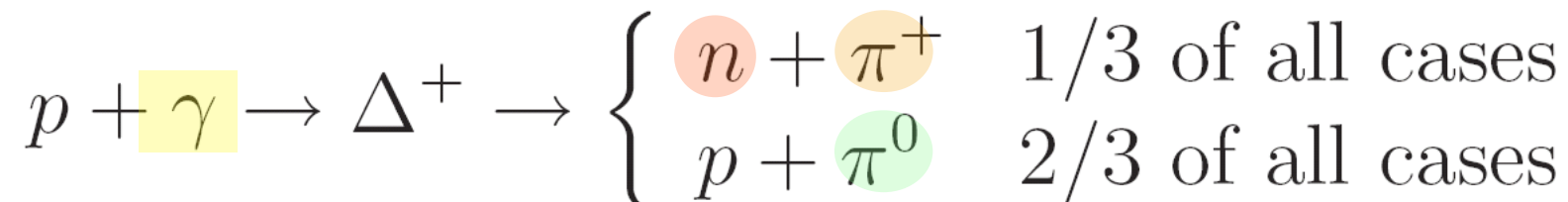
If neutrons can escape:  
Source of cosmic rays



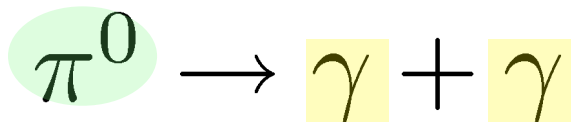
Neutrinos produced in  
ratio  $(\nu_e:\nu_\mu:\nu_\tau)=(1:2:0)$



Delta resonance approximation:



Cosmic messengers



High energetic gamma-rays;  
typically cascade down to lower E  
Additional constraints!

(Same process during propagation of cosmic rays in CMB: “cosmogenic neutrinos”)

# Particle astrophysics of neutrino sources





# Cosmic vs. terrestrial particle accelerators

Lorentz force = centrifugal force  $\rightarrow E_{\max} \sim q B R$

>  $E_{\max} \sim 300,000,000 \text{ TeV}$

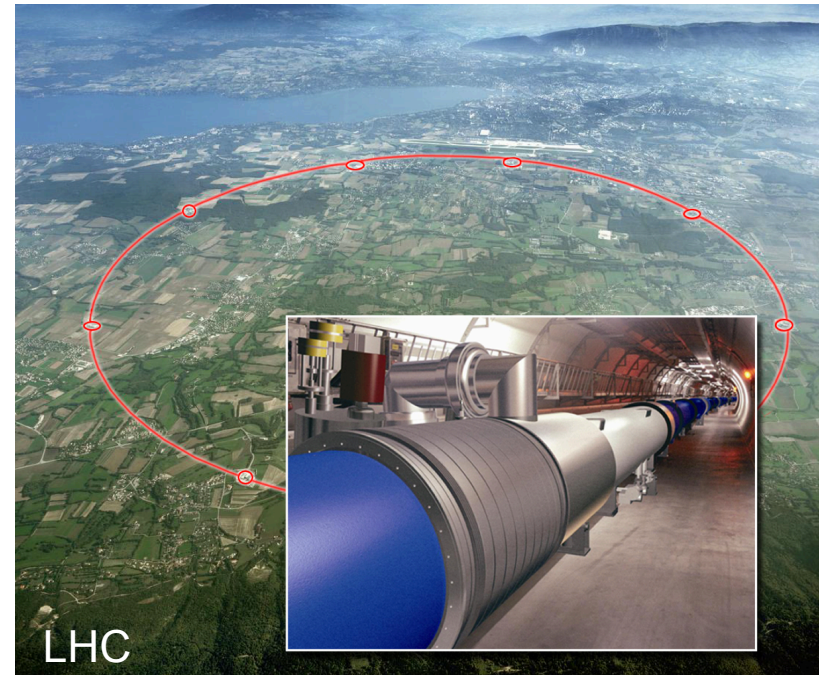
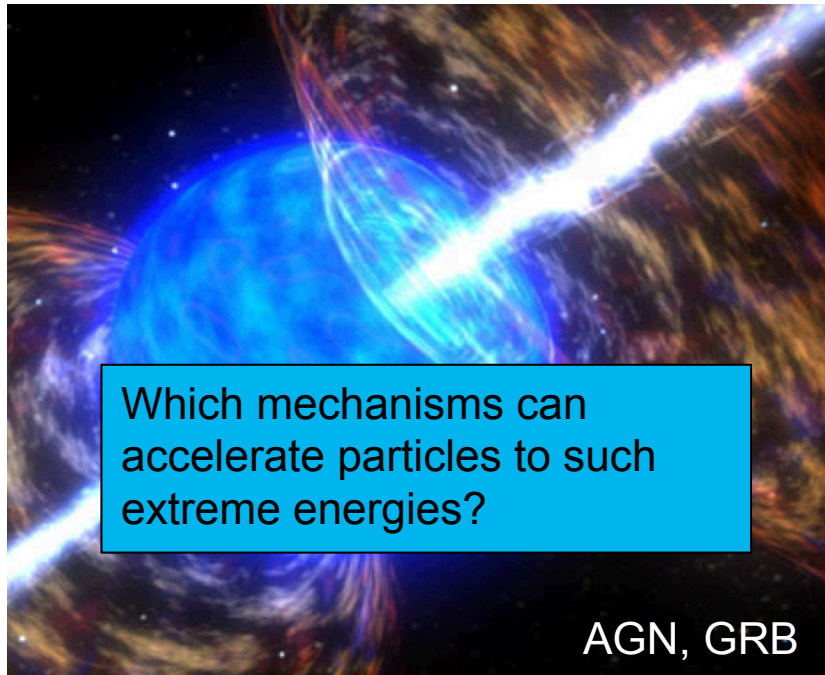
>  $B \sim 1 \text{ mT} - 1 \text{ T}$

>  $R \sim 100,000 - 10,000,000,000 \text{ km}$

>  $E_{\max} \sim 7 \text{ TeV}$

>  $B \sim 8 \text{ T}$

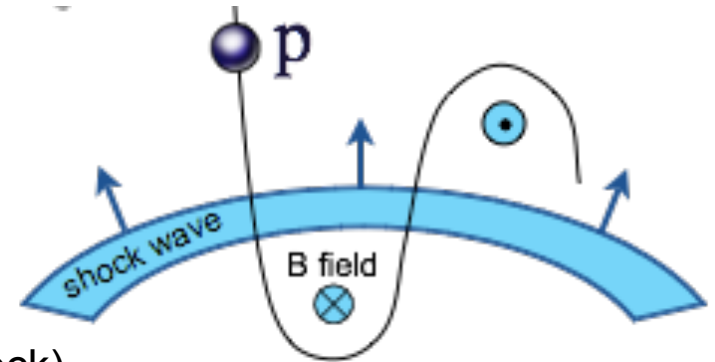
>  $R \sim 4.3 \text{ km}$



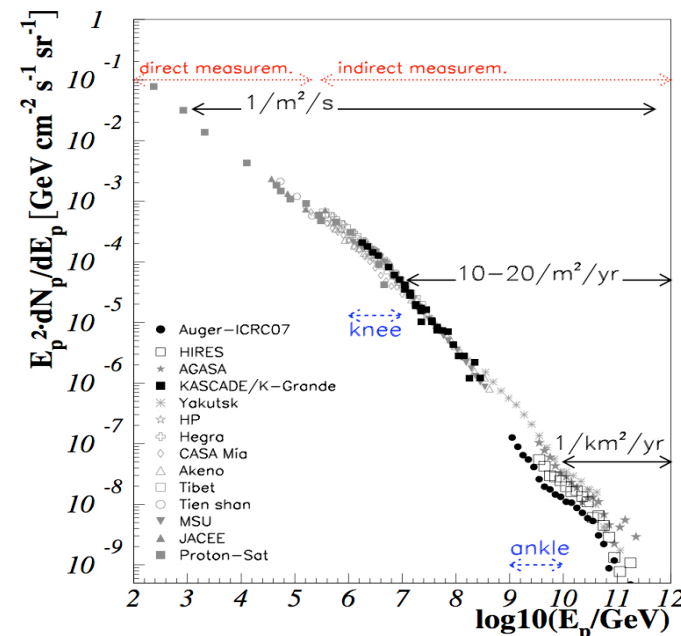
# Acceleration of primaries (protons, nuclei)

Example: Fermi shock acceleration

- Fractional energy gain per cycle:  $\eta$
- Escape probability per cycle:  $P_{\text{esc}}$
- Yields a **power law** spectrum  $\sim E^{\frac{\ln P_{\text{esc}}}{\ln \eta} - 1}$
- In  $P_{\text{esc}}/\ln \eta \sim -1$  (from compression ratio of a strong shock), and  $E^{-2}$  is the typical “textbook” spectrum

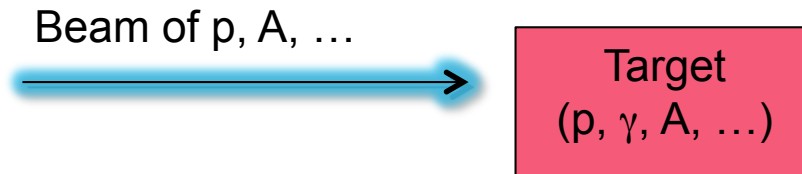


- Although theory of acceleration at relativistic shocks challenging, we **do observe** power law spectra in Nature
- For neutrino production: adopt pragmatic point of view! (*we know that it works, somehow ...*)



# Secondary production: Particle physics 101

## > Beam dump picture (particle physics)

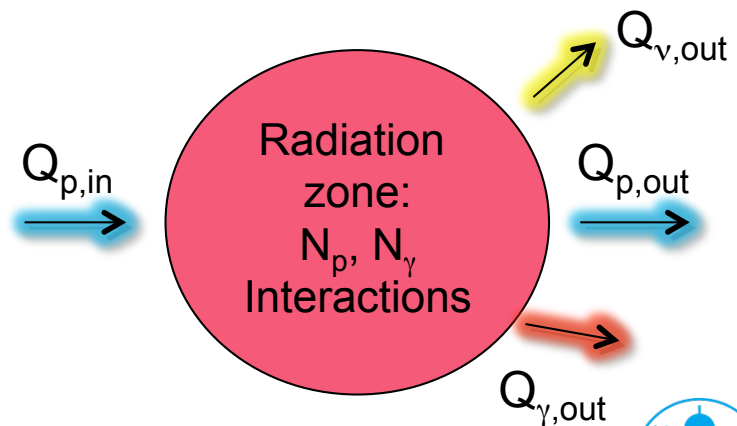
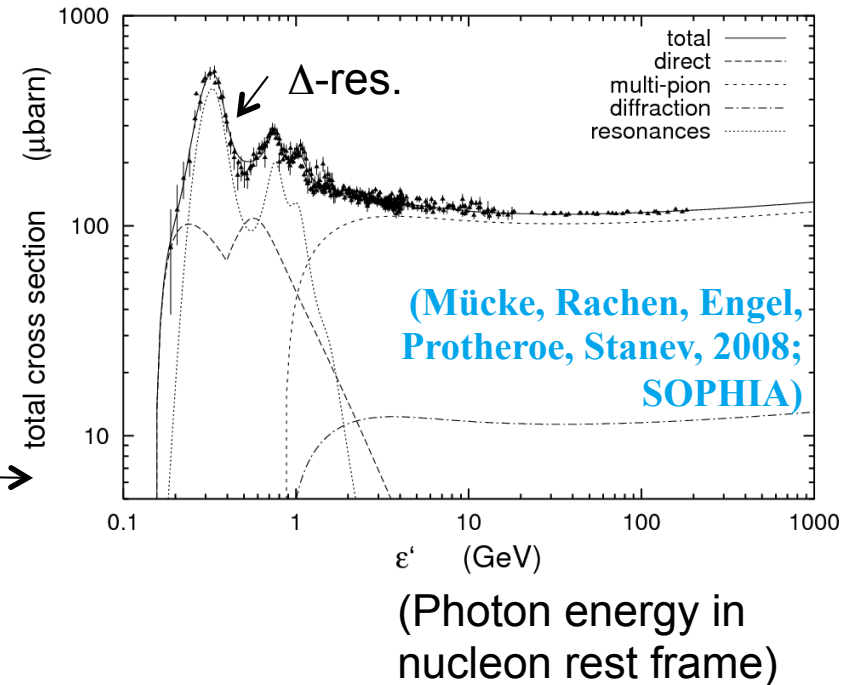


## > Interaction rate $\Gamma \sim c N [\text{cm}^{-3}] \sigma [\text{cm}^2]$

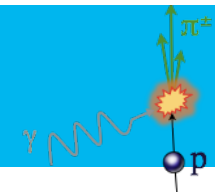
**Target density (e.g.  $N_\gamma$ ) critical for  $\nu$  production!**

## > Astrophysical challenges:

- Feedback between beam and target (e.g. photons from  $\pi^0$  decays); need self-consistent description called **radiation model**
- *What you see is, in general, not what you get in the source*



# Neutrino production (example: $p\gamma$ interactions)



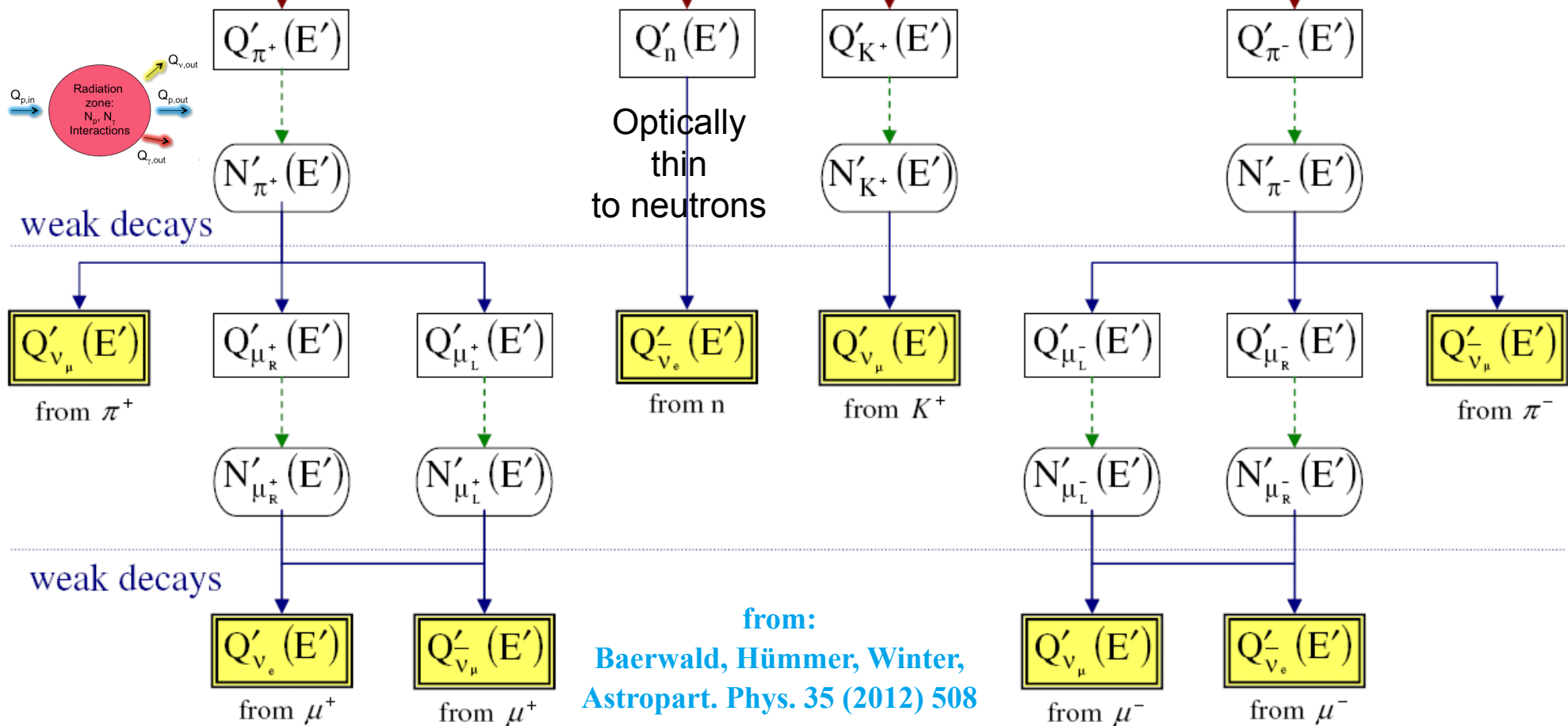
Dashed arrows: kinetic equations include cooling and escape

$Q(E)$  [ $\text{GeV}^{-1} \text{cm}^{-3} \text{s}^{-1}$ ]  
per time frame  
 $N(E)$  [ $\text{GeV}^{-1} \text{cm}^{-3}$ ]  
density in source

**Input  $\Rightarrow$  Object-dependent  
 $\Rightarrow$  Astrophysics!**

$$N'_\gamma(E') \quad N'_p(E') \quad B'$$

photohadronics



from:  
**Baerwald, Hümmer, Winter,  
Astropart. Phys. 35 (2012) 508**

# Kinetic equations for self-consistent treatment (steady state)

- > Treat energy losses/escape in continuous limit in radiation zone:

$$Q(E) = \frac{\partial}{\partial E} (b(E) N(E)) + \frac{N(E)}{t_{\text{esc}}}$$

One equation  
for each  
particle  
species!

Injection

Energy losses

Escape

$$b(E) = -E t_{\text{loss}}^{-1}$$

$Q(E,t)$  [ $\text{GeV}^{-1} \text{cm}^{-3} \text{s}^{-1}$ ] injection per time frame (e. g. from acc. zone)

$N(E,t)$  [ $\text{GeV}^{-1} \text{cm}^{-3}$ ] particle spectrum including spectral effects

**Need  $N(E)$  to compute particle interactions**

- > Simple case: No energy losses  $b=0$ :  $N(E) = Q(E) t_{\text{esc}}$

- > Special cases:

- $t_{\text{esc}} \sim R/c$  (free-streaming, aka “leaky box”)
- $t_{\text{esc}} \sim E^{-\alpha}$ . Consequence:  $N(E) \sim Q_{\text{inj}}(E) E^{-\alpha}$ , Escape:  $Q_{\text{esc}}(E) = N(E)/t_{\text{esc}} \sim Q_{\text{inj}}$

(Neutrino spectrum from  $N(E)$  can have a break which is not present in escaping primaries  $Q_{\text{esc}}(E)$ )



# In the presence of strong B: Secondary cooling

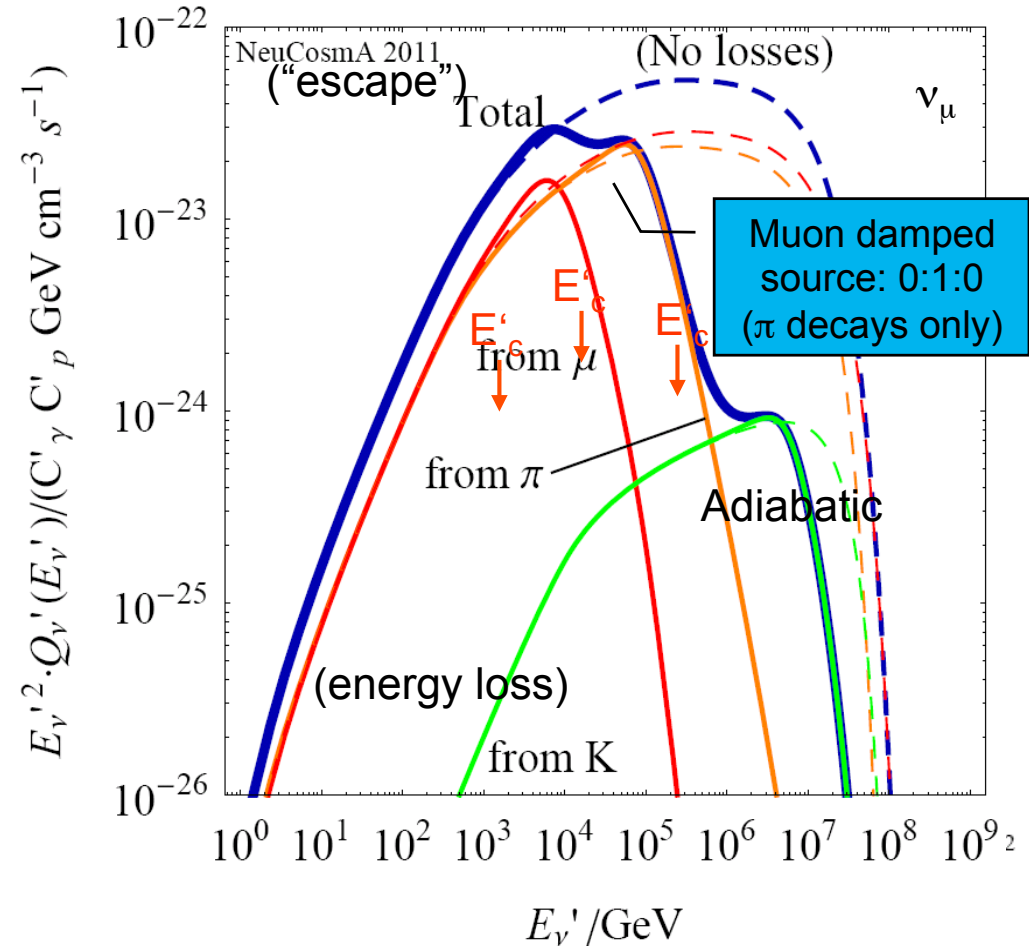
Example: GRB

Secondary spectra ( $\mu$ ,  $\pi$ , K) loss-steepend above critical energy

$$E'_c = \sqrt{\frac{9\pi\epsilon_0 m^5 c^7}{\tau_0 e^4 B'^2}}$$

- $E'_c$  depends on particle physics only ( $m$ ,  $\tau_0$ ), and  $B'$
- Leads to characteristic flavor composition and shape

Decay/cooling: charged  $\mu$ ,  $\pi$ , K



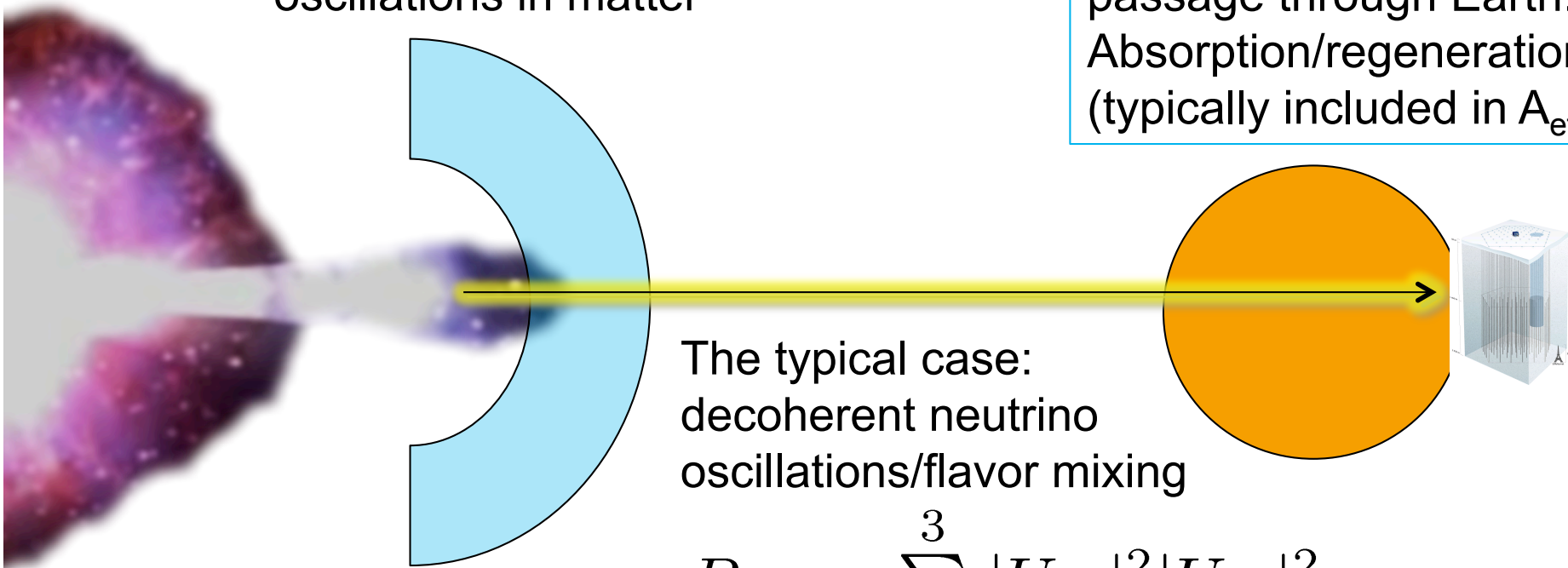
Baerwald, Hümmel, Winter, *Astropart. Phys.* **35** (2012) 508;  
 also: Kashti, Waxman, 2005; Lipari et al, 2007; ...



# Neutrino propagation: From source to detector

In environments with high densities (e.g. jets choked in envelope): neutrino oscillations in matter

If  $E \gg 10$  TeV and passage through Earth: Absorption/regeneration (typically included in  $A_{\text{eff}}$ )



The typical case: decoherent neutrino oscillations/flavor mixing

$$P_{\alpha\beta} = \sum_{i=1}^3 |U_{\alpha i}|^2 |U_{\beta i}|^2$$

Source  $\nu_e:\nu_\mu:\nu_\tau = 1:2:0 \rightarrow$  Detector 1:1:1  
+ redshift of energy if cosmological distance

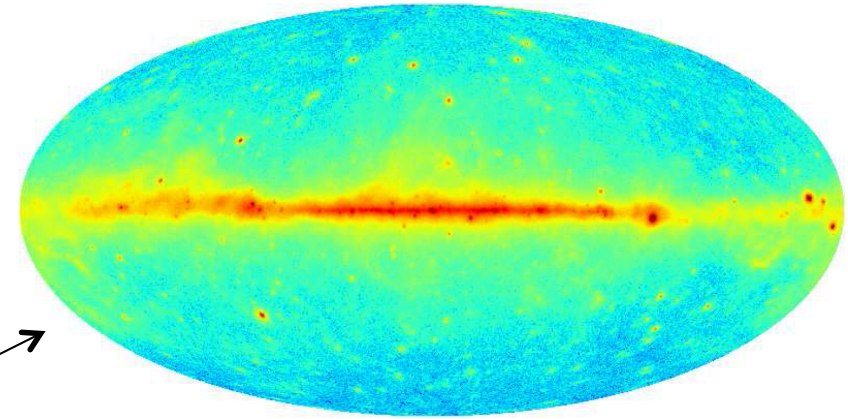
# On the signal interpretation





# Guaranteed contribution (one example): Neutrinos from cosmic ray interactions in the Milky Way?

- > Cosmic rays interact with hydrogen in our Galaxy (pp)
- > Production region can be inferred from diffuse gamma-ray observations (very narrow around Galactic plane)



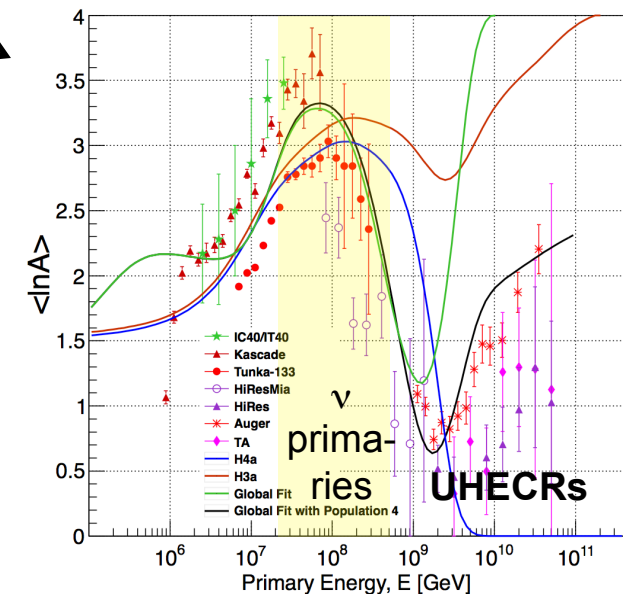
Fermi-LAT, ApJ 750 (2012) 3  
(see also arXiv:1410.3696)

- > Complications:
  - CR composition changes in relevant range
  - Neutrino flux dominated by components sub-leading in cosmic rays
  - CR distribution and hydrogen halo extension in Milky Way disputed (may lead to higher rates)

- > From local cosmic ray density and observed cosmic ray flux: about 0.6 events expected

Joshi, Winter, Gupta, MNRAS, 2014

(see discussions in Evoli, Grasso, Maccione, 2007; Ahlers, Murase, 2014; Joshi, Winter, Gupta, 2014; Kachelrieß, Ostapchenko, 2014; Neronov, Semikoz, Tchernin, 2014; Ahlers, Bai, Barger, Lu, 2015; ...)



Gaisser, Stanev, Tilav, 2013

# Source candidates: Starburst galaxies?

arXiv.org > astro-ph > arXiv:1511.00815

Astrophysics > High Energy Astrophysical Phenomena

## The origin of IceCube's neutrinos: Cosmic ray accelerators embedded in star forming calorimeters

E. Waxman

(Submitted on 3 Nov 2015)

arXiv.org > astro-ph > arXiv:1511.00688

Astrophysics > High Energy Astrophysical Phenomena

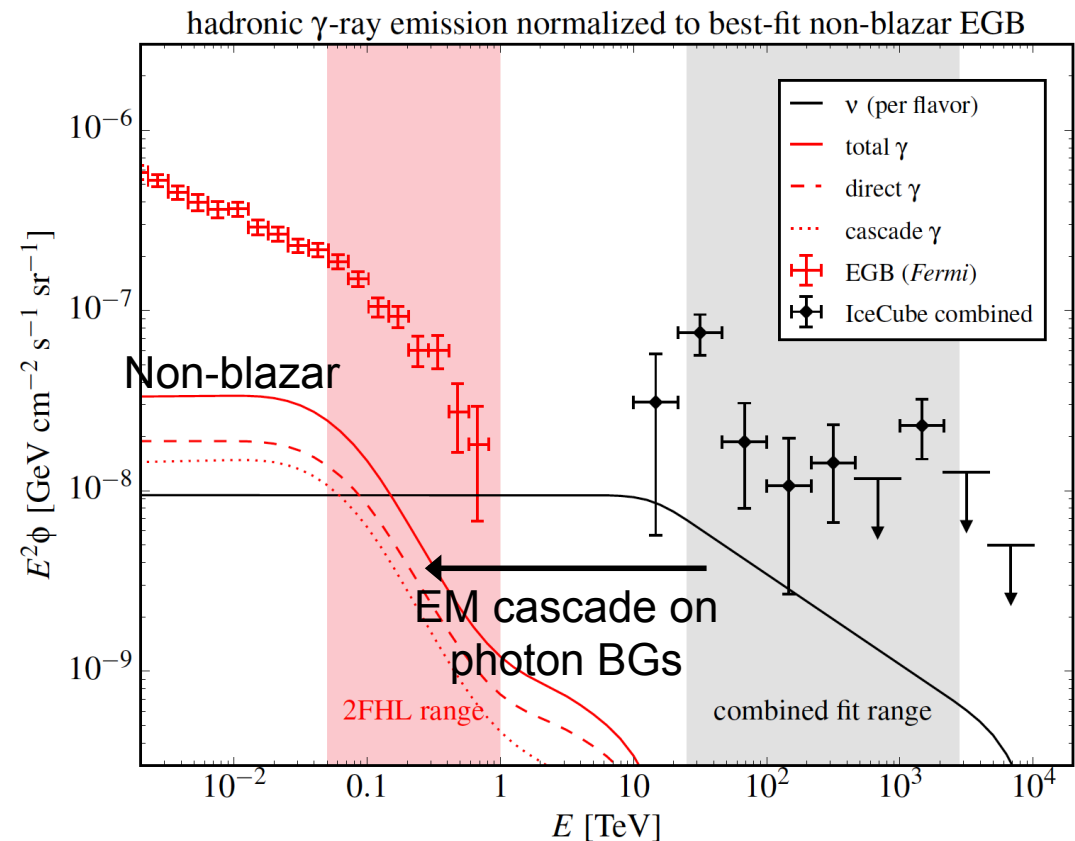
VS.

## Evidence against star-forming galaxies as the dominant source of IceCube neutrinos

Keith Bechtol, Markus Ahlers, Mattia Di Mauro, Marco Ajello, Justin Vandenbroucke

(Submitted on 2 Nov 2015)

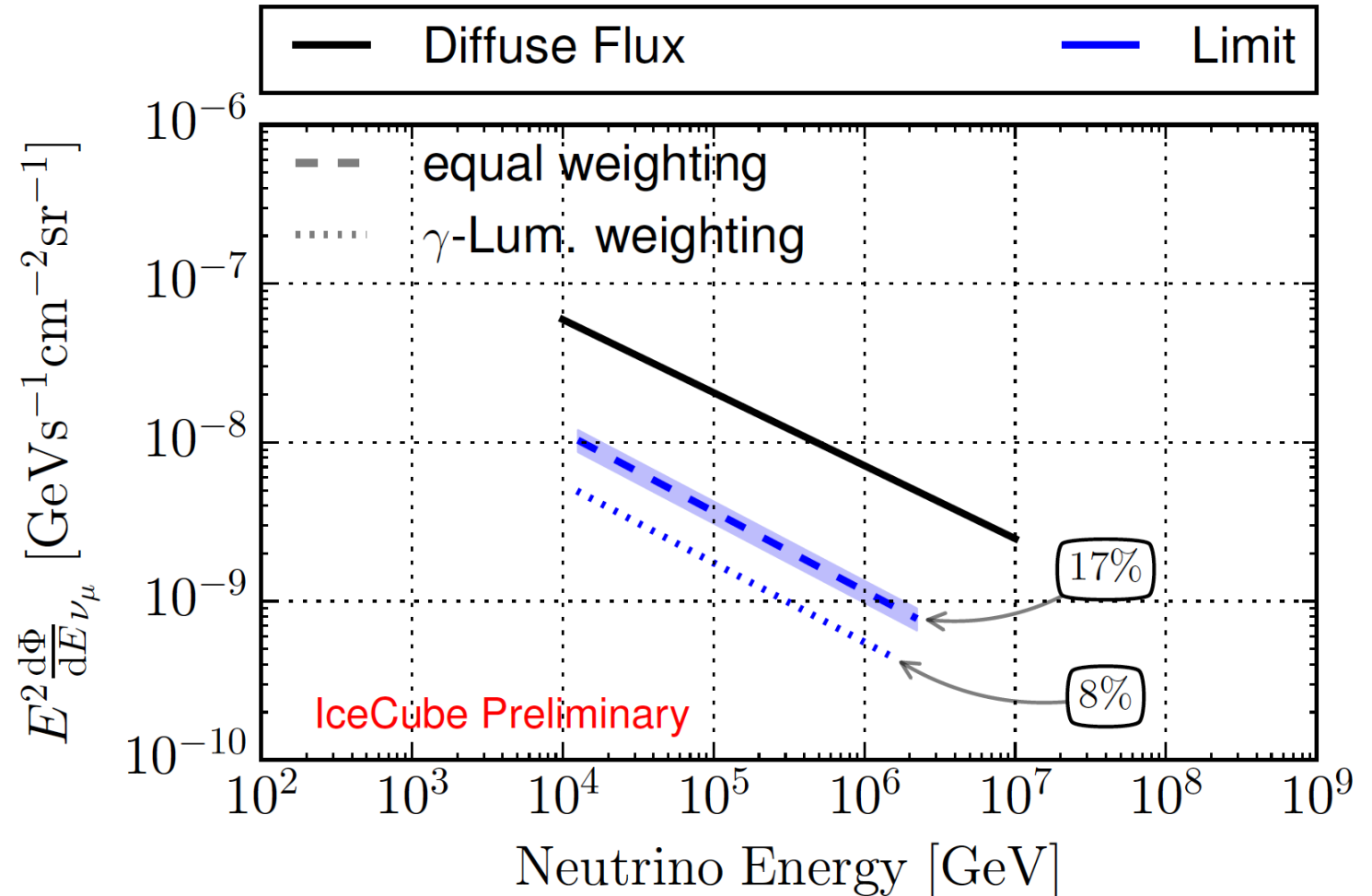
- > For pp interactions:  $\pi^+$ ,  $\pi^-$ , and  $\pi^0$  produced together; secondary spectra follow primary cosmic ray spectrum
- > Constraints from Fermi diffuse extragalactic background flux  
[Murase, Ahlers, Lacki, PRD88 \(2013\) 121301](#)
- > A large fraction of that can be attributed to AGN blazars; pp sources challenged



# Source candidates: So, how about AGN blazars?

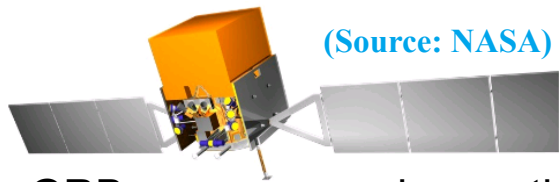
- > AGN blazar search with 2<sup>nd</sup> Fermi-LAT catalogue
- > Contribution to diffuse flux small

(Thorsten Glüsenkamp, arXiv:1502.03104; IceCube paper to appear)



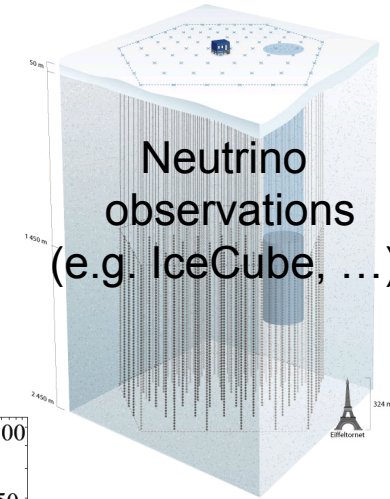
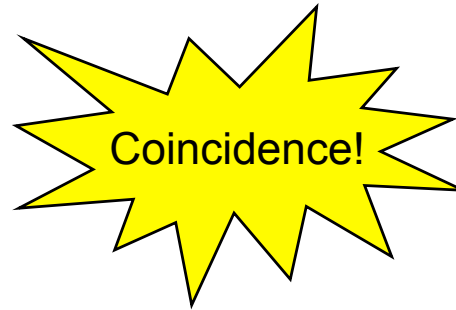
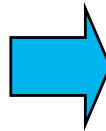
# Source candidates: Gamma-Ray Bursts?

- Idea: Use timing and directional information to suppress atm. BGs



(Source: NASA)

GRB gamma-ray observations  
(e.g. Fermi, Swift, etc)

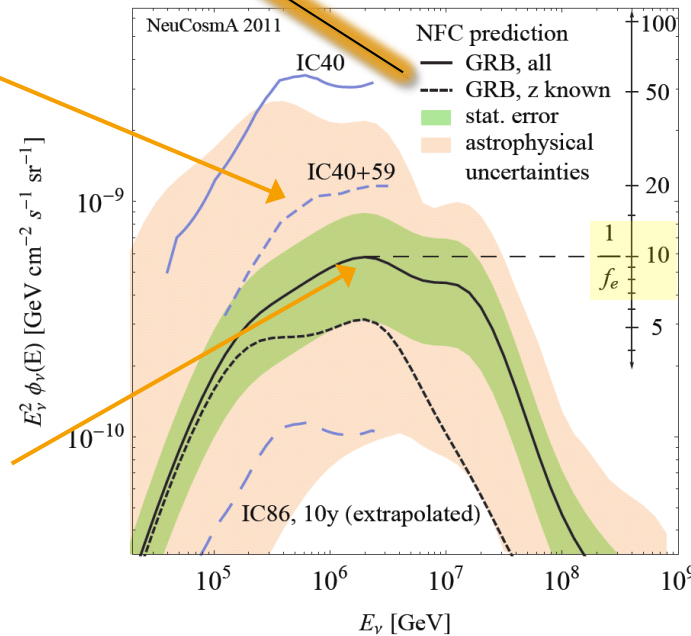


(Source: IceCube)

Neutrino observations  
(e.g. IceCube, ...)

- Strong constraints from GRB stacking  
[IceCube, Nature 484 \(2012\) 351](#);  
see [arXiv:1412.6510](#) for update
- Not the dominant source of observed diffuse  $\nu$  flux!
- Current limit close to prediction from gamma-rays; however: many assumptions (e.g. baryonic loading  $f_e^{-1}$ ,  $\Gamma$ ,  $z$ )

Observed

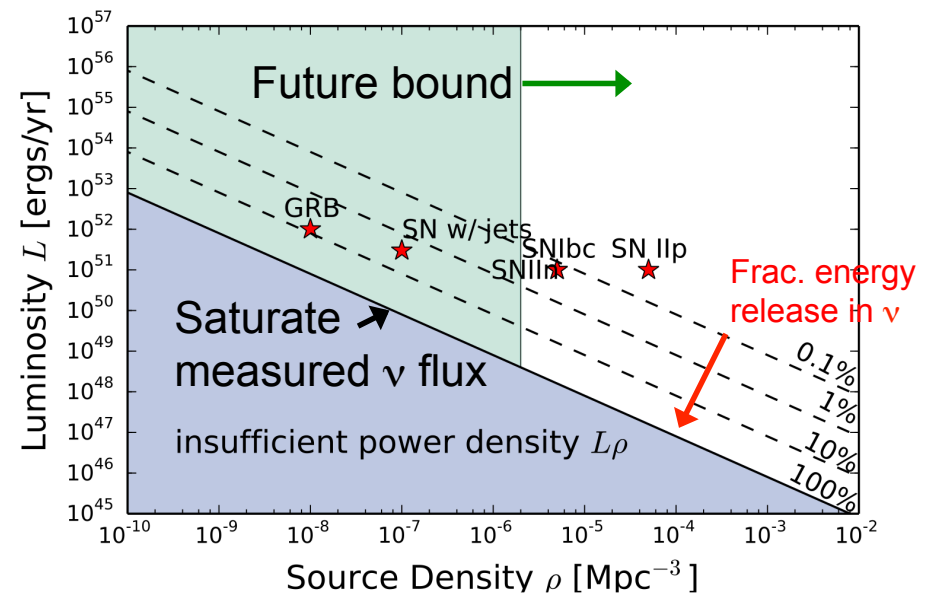
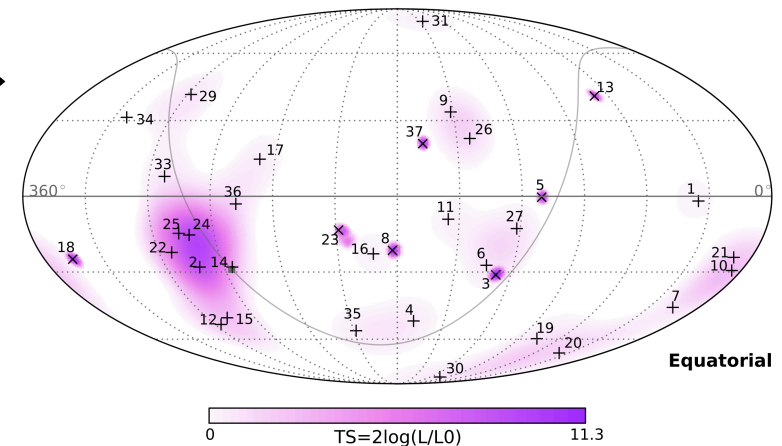


(from: Hümmer,  
Baerwald,  
Winter,  
PRL 108 (2012)  
231101)



# Observational search strategies (examples)

- > Clustering of signal events?  
(e.g. in IceCube, [Phys. Rev. Lett. 113 \(2014\) 101101](#))
- > Anisotropies, point source searches?  
(e.g. IceCube, [arXiv:1408.0634](#);  
ANTARES, [arXiv:1402.6183](#))
- > Correlations with known objects/  
events in gamma-ray catalogues?  
(e.g. [Padovani, Resconi, arXiv:1406.0376](#)  
for BL Lacs and Pulsar Wind Nebulae)
- > Use constraints on event  
multiplets to constrain source density  
(from [Kowalski, arXiv:1411.4385](#);  
see also [Ahlers, Halzen,](#)  
[arXiv:1406.2160](#))
- > Multi-messenger triggers and  
offline analyses for transients  
(e.g. supernova  
explosions, GRBs, etc)

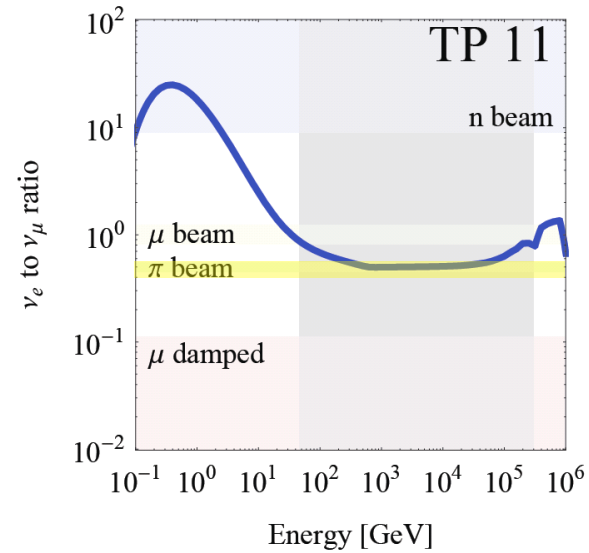


# Flavor composition for tests of fundamental physics

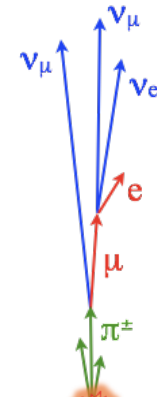


# Flavor composition at source from numerical simulations

Example:  $p_\gamma$ , target photons from synchrotron emission of co-accelerated electrons



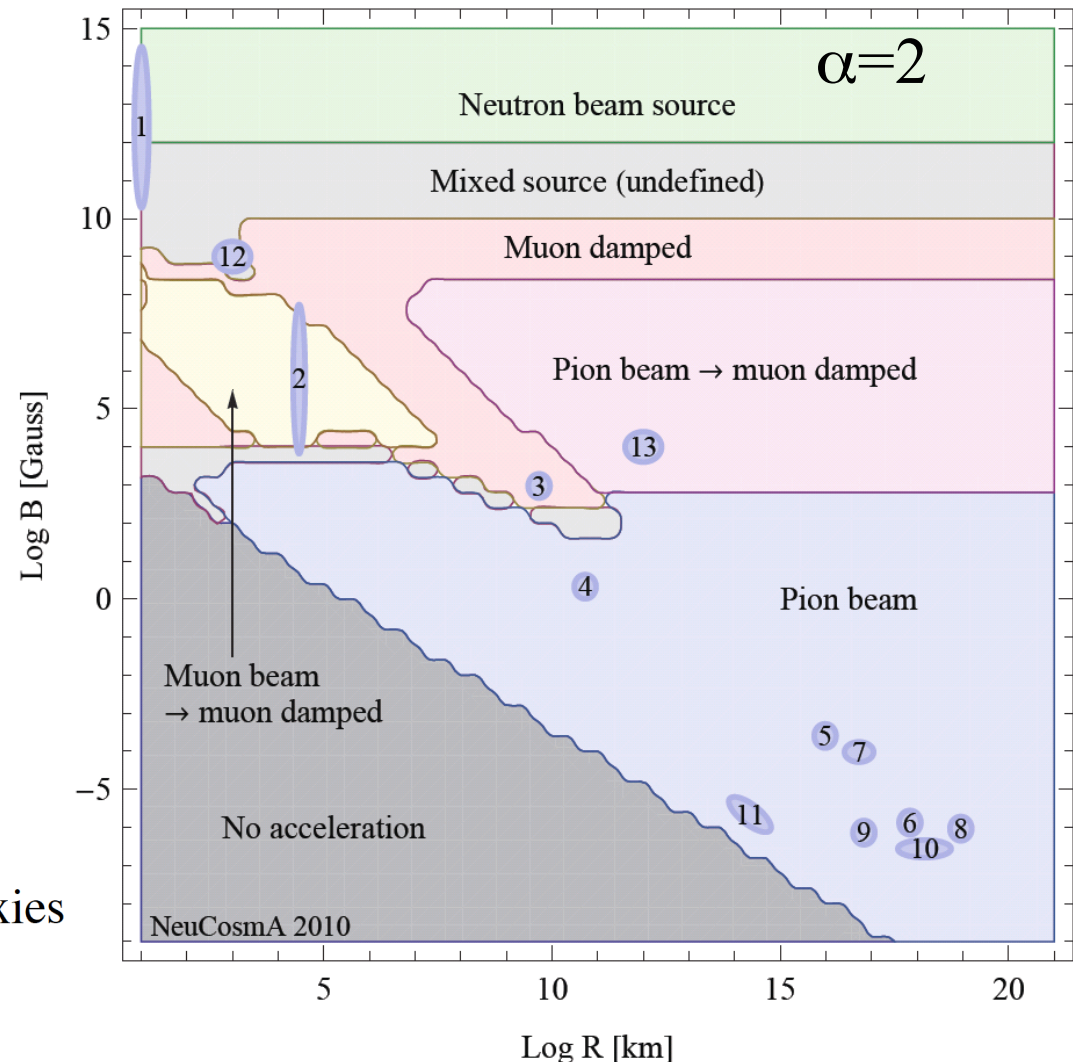
Pion beam  
 $(\nu_e:\nu_\mu:\nu_\tau)=(1:2:0)$



# Parameter space scan of Hillas plot

- > All relevant regions recovered
- > Some dependence on injection index
- > Flavor composition is, in all realistic cases, a function of energy!

- |                  |                      |
|------------------|----------------------|
| 1 Neutron stars  | 7 Colliding galaxies |
| 2 White dwarfs   | 8 Clusters           |
| Active galaxies: | 9 Galactic disk      |
| 3 nuclei         | 10 Galactic halo     |
| 4 jets           | 11 SNRs              |
| 5 hot-spots      |                      |
| 6 lobes          |                      |



Hümmer, Maltoni, Winter, Yaguna,  
Astropart. Phys. 34 (2010) 205



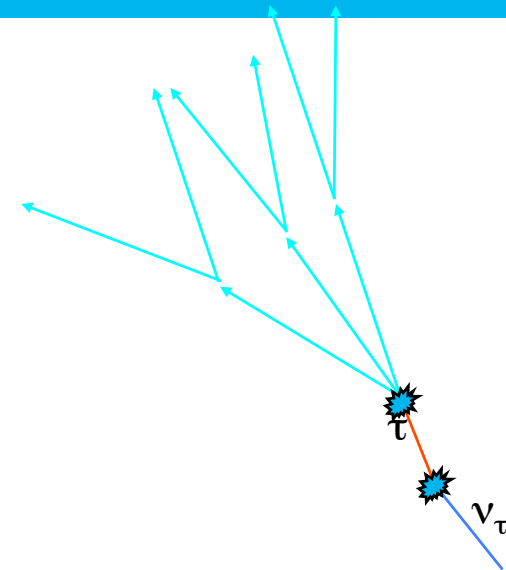


# Measuring flavor? (experimental viewpoint)

> In principle, flavor information can be obtained from different event topologies:

- Muon tracks -  $\nu_\mu$
- Cascades (showers) – CC:  $\nu_e, \nu_\tau$ , NC: all flavors
- Glashow resonance (6.3 PeV):  $\bar{\nu}_e$
- Double bang/lollipop:  $\nu_\tau$  (sep. tau track)

(Learned, Pakvasa, 1995; Beacom et al, 2003)



> Early theoretical approaches:

Use flux ratios which take into account detector properties and unknown flux normalization, e.g. muon tracks/cascades:

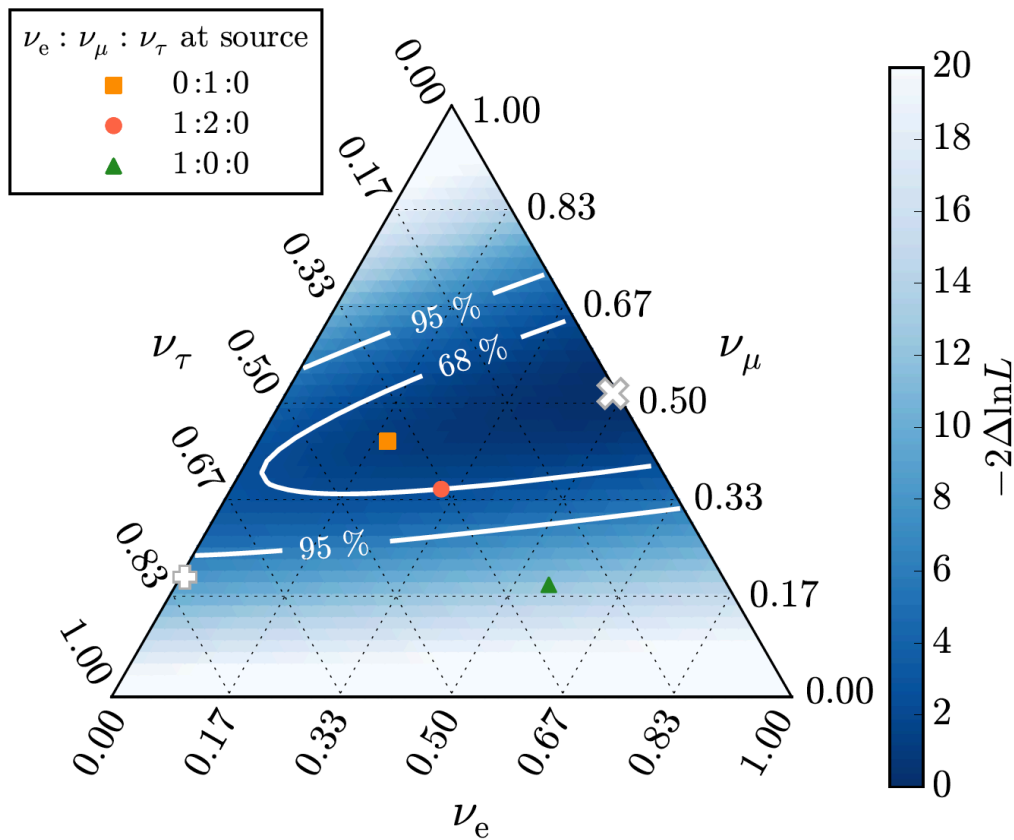
$$\hat{R} = \frac{\phi_\mu^{\text{Det}}}{\phi_e^{\text{Det}} + \phi_\tau^{\text{Det}}}$$

(for flavor mixing and decay only until about 2011: Beacom et al 2002+2003; Farzan and Smirnov, 2002; Kachelriess, Serpico, 2005; Bhattacharjee, Gupta, 2005; Serpico, 2006; Winter, 2006; Majumar and Ghosal, 2006; Rodejohann, 2006; Xing, 2006; Meloni, Ohlsson, 2006; Blum, Nir, Waxman, 2007; Majumar, 2007; Awasthi, Choubey, 2007; Hwang, Siyeon, 2007; Lipari, Lusignoli, Meloni, 2007; Pakvasa, Rodejohann, Weiler, 2007; Quigg, 2008; Maltoni, Winter, 2008; Donini, Yasuda, 2008; Choubey, Niro, Rodejohann, 2008; Xing, Zhou, 2008; Choubey, Rodejohann, 2009; Esmaili, Farzan, 2009; Bustamante, Gago, Pena-Garay, 2010; Mehta, Winter, 2011; many others ...)

> IceCube results actually contain more information [IceCube, Astrophys. J. 809 \(2015\) 1, 98](#)  
Needs ways to represent all information simultaneously:  
Concept of „flavor triangles“ [Barenboim, Quigg, 2003](#)

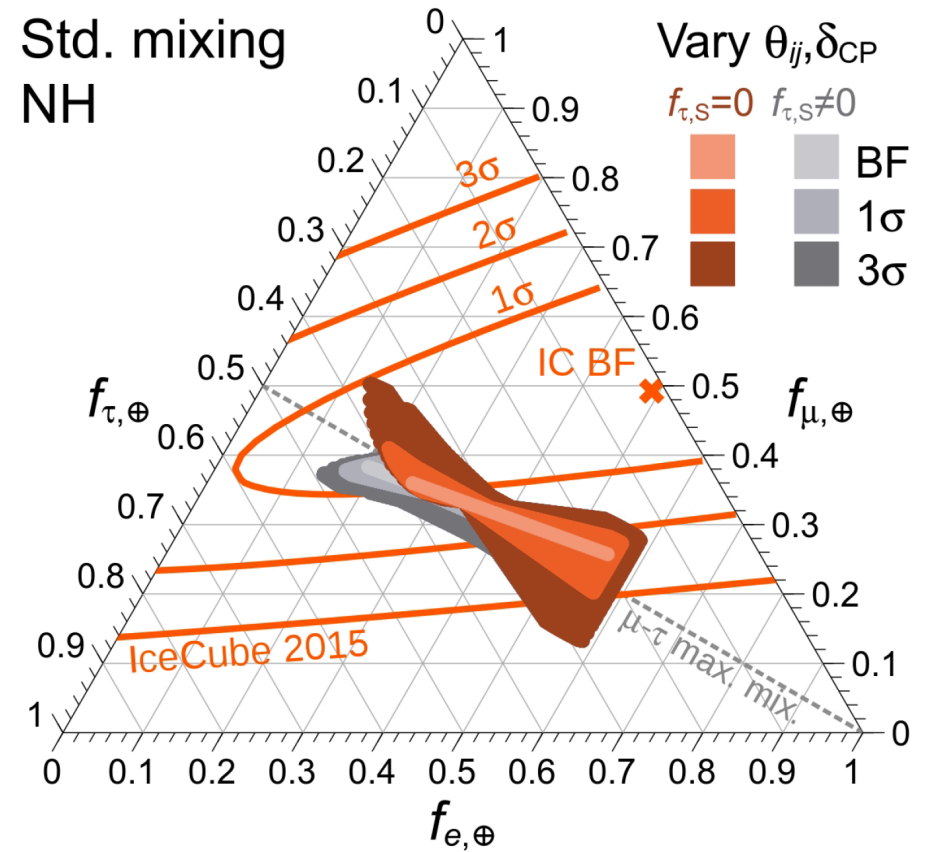
# Flavor triangles

## > Measurement



IceCube measurement  
Astrophys. J. 809 (2015) 1, 98

## > Standard Model expectation



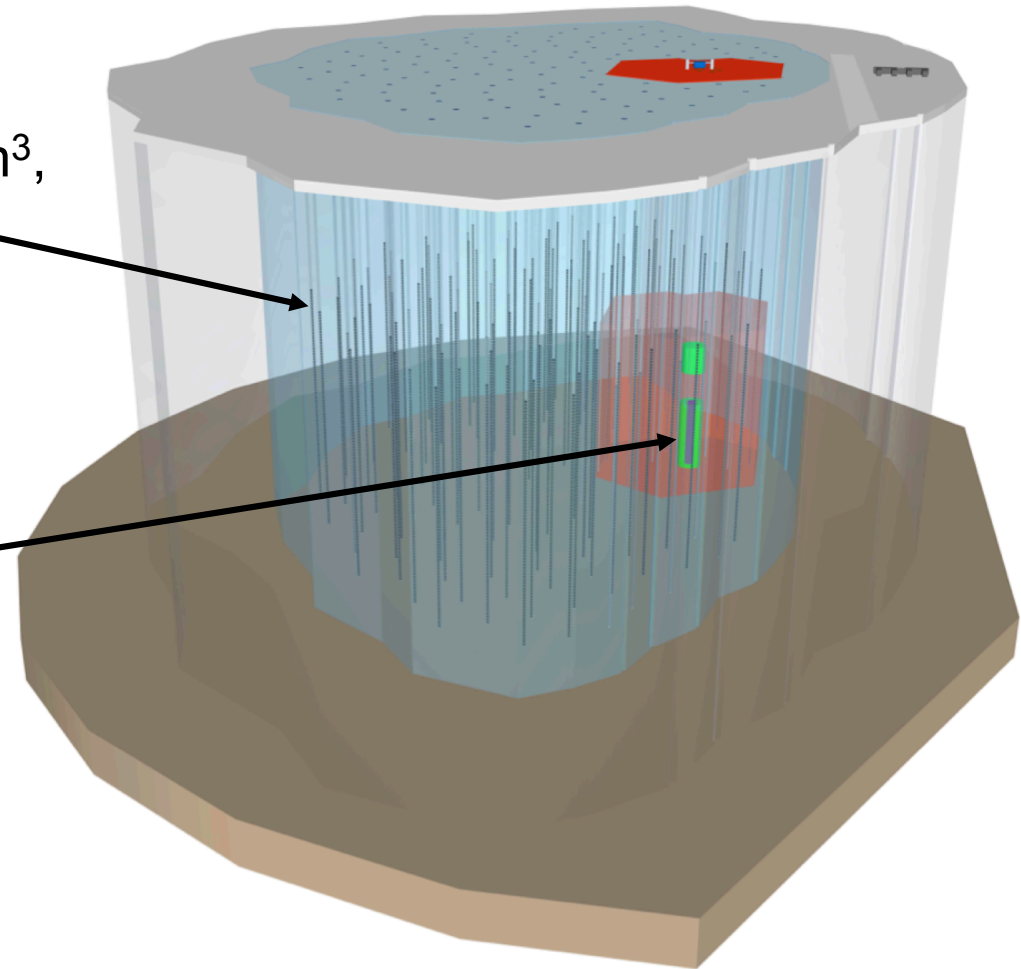
Bustamante, Beacom, Winter,  
PRL 115 (2015) 16, 161302

(there is a marginal tension ...)



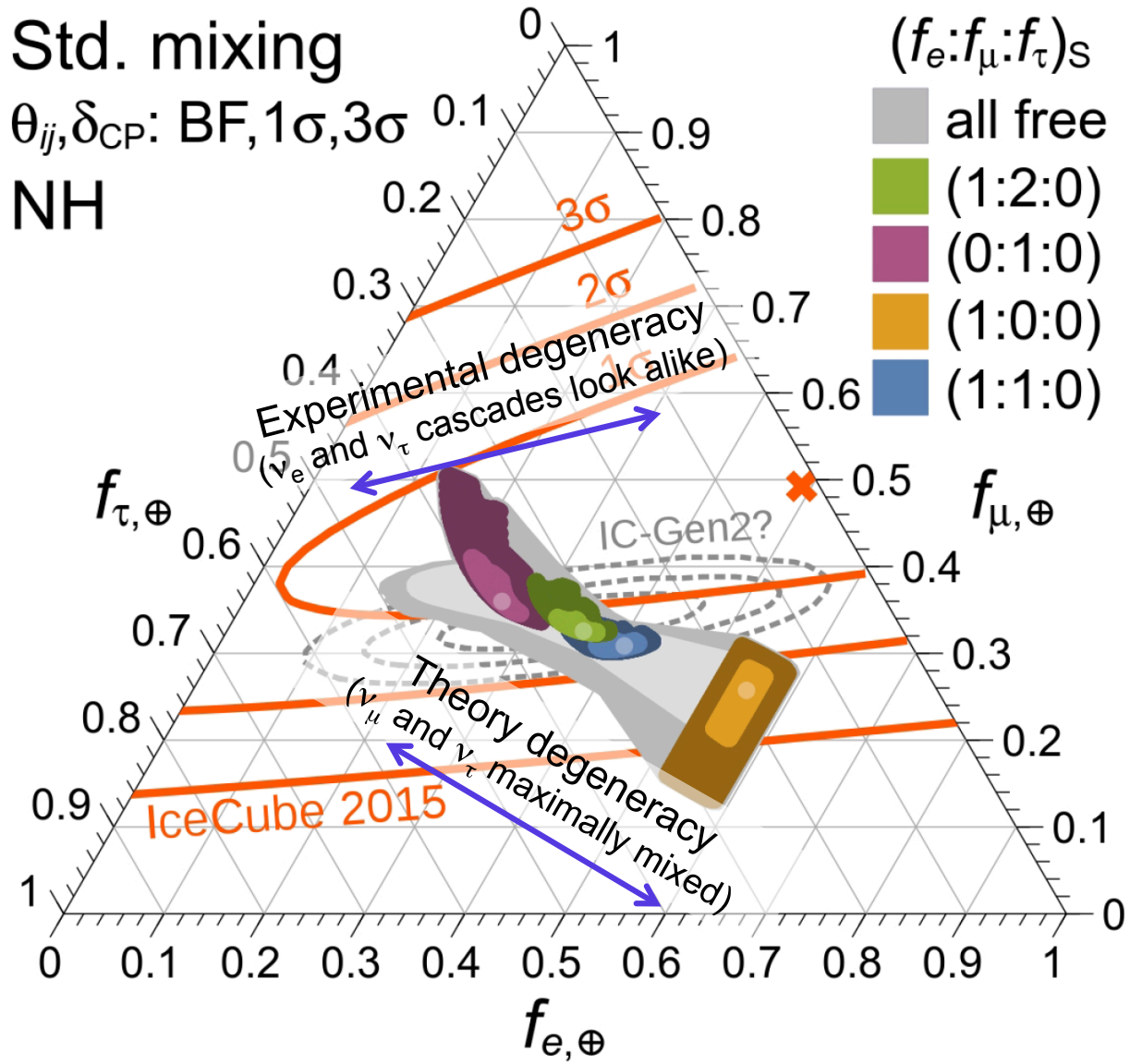
# Higher precision from IceCube – Generation Two?

- > Plans for upgrade of IceCube experiment
- > Instrumented volume  $O(10) \text{ km}^3$ , string spacing 240-300m
- > Purpose: “deliver substantial increases in the astrophysical neutrino sample for all flavors”
- > PINGU-infill for oscillation physics (about 40 strings for lower threshold in DeepCore region).  
Neutrino mass ordering!
- > Similar ideas in sea water (KM3NeT, ORCA)



([arXiv:1401.2046](https://arxiv.org/abs/1401.2046), [arXiv:1412.5106](https://arxiv.org/abs/1412.5106))

# The future: SM expectations vs. measurement?



(shaded regions: current  $3\sigma$  range for mixing params)

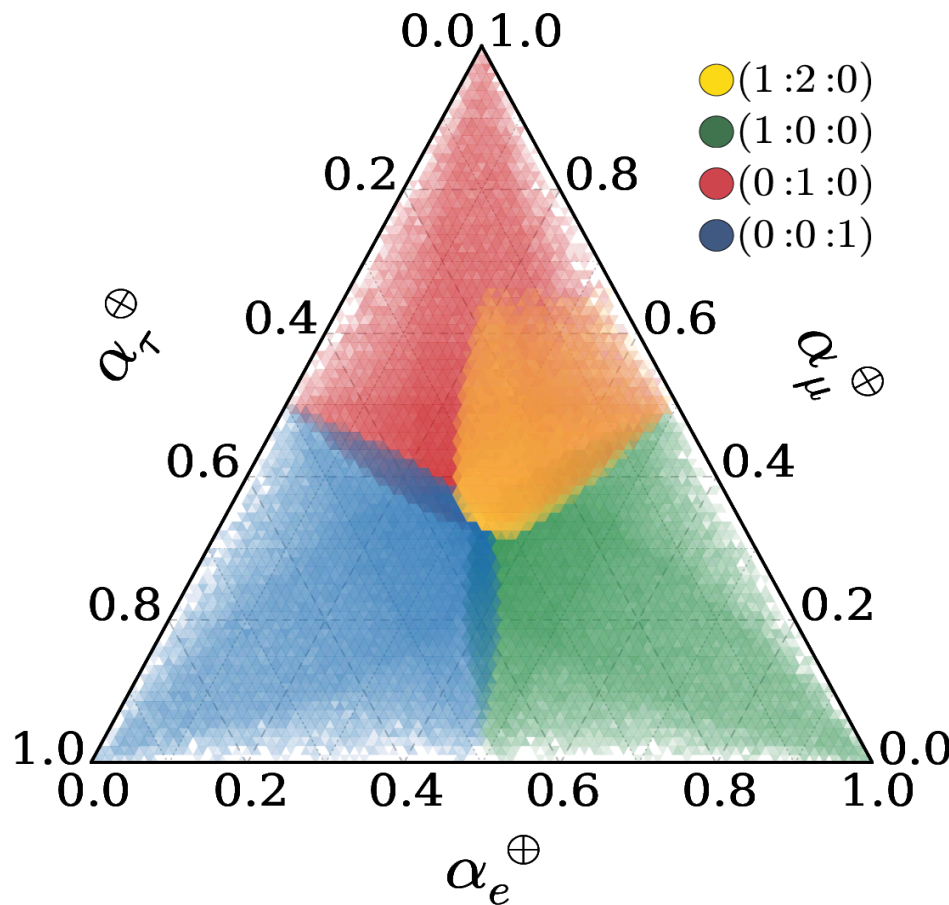
[Bustamante, Beacom, Winter, PRL 115 \(2015\) 16, 161302](#)

- > IceCube-Gen2 could exclude the current best-fit point
- > If best-fit moves, flavor composition at source can be constrained



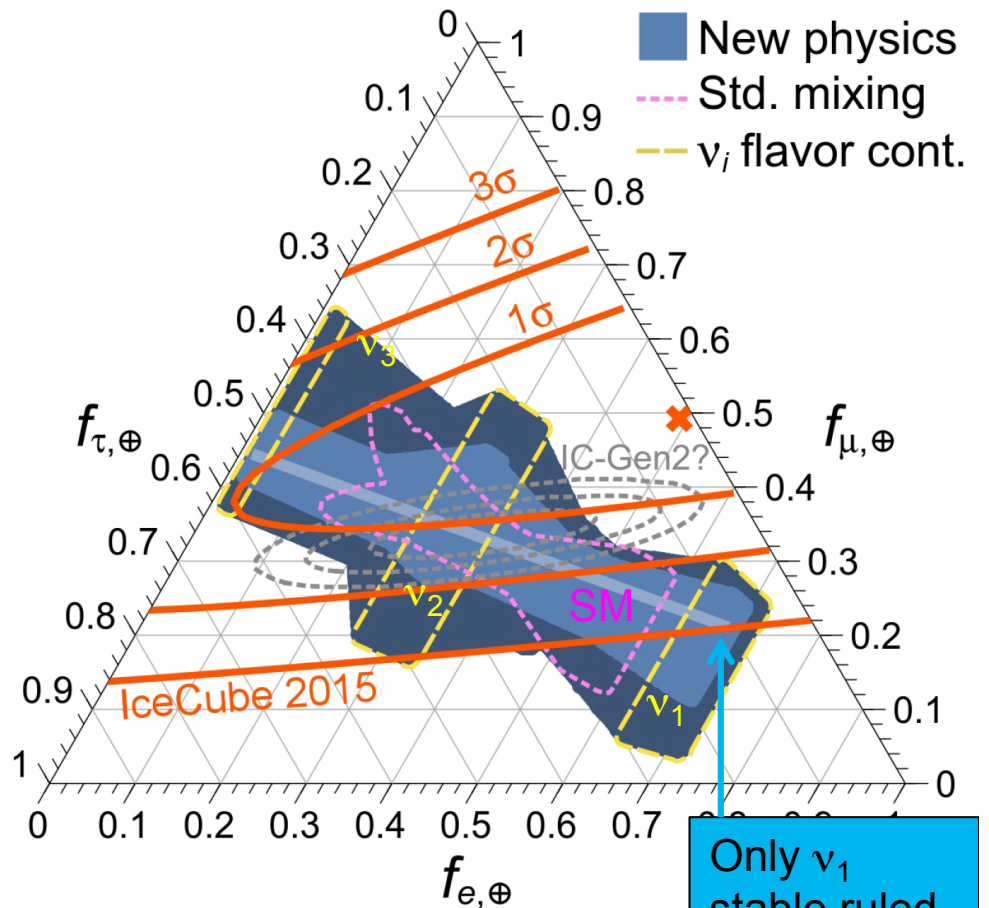
# What if there is physics beyond the Standard Model?

Effective operators (CPT violation) changing Hamiltonian at high E



Arguelles, Katori, Salvado,  
PRL 115 (2015) 161303

“Known models” (e.g. neutrino decays)



Bustamante, Beacom, Winter,  
PRL 115 (2015) 161302

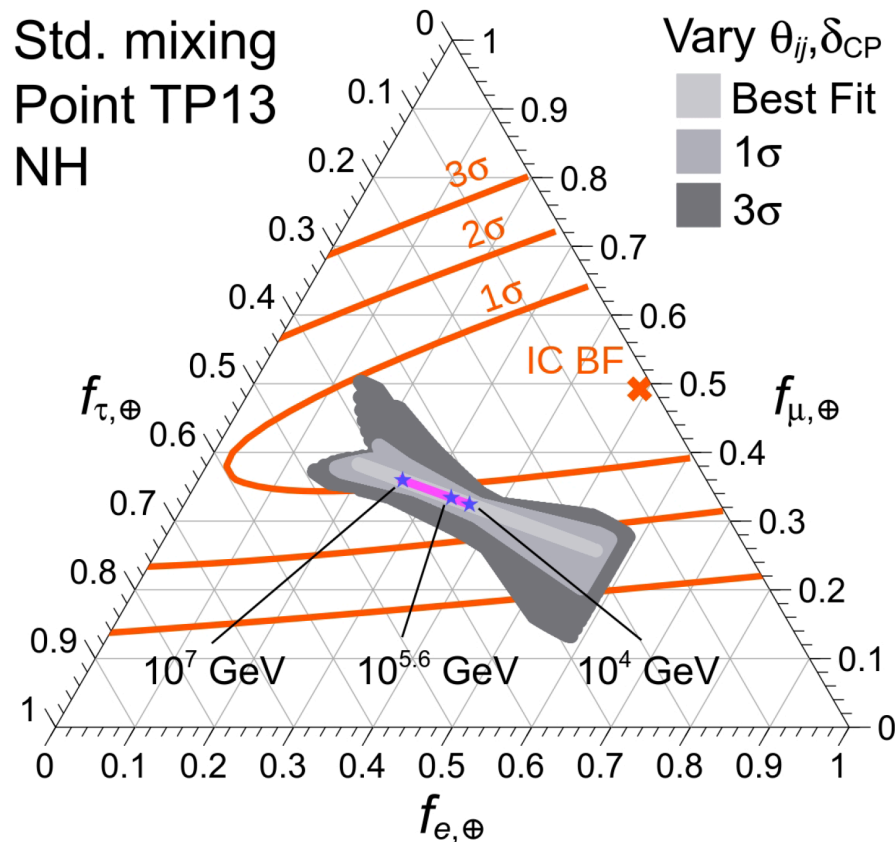
Only  $\nu_1$   
stable ruled  
out at  $2\sigma$

Same issue!

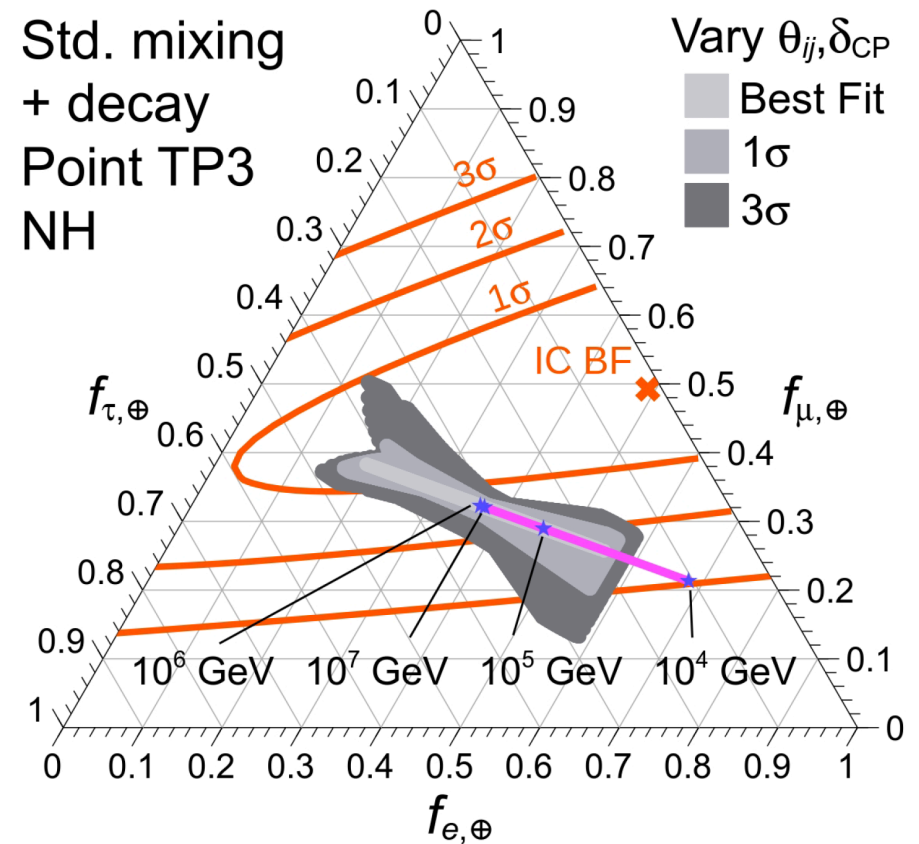


# Recall that flavor ratios are energy-dependent!

> Example: Pion beam to muon damped source



> Example: Decays of  $\nu_2$  and  $\nu_3$ , competing with energy-dep. flavor composition at source



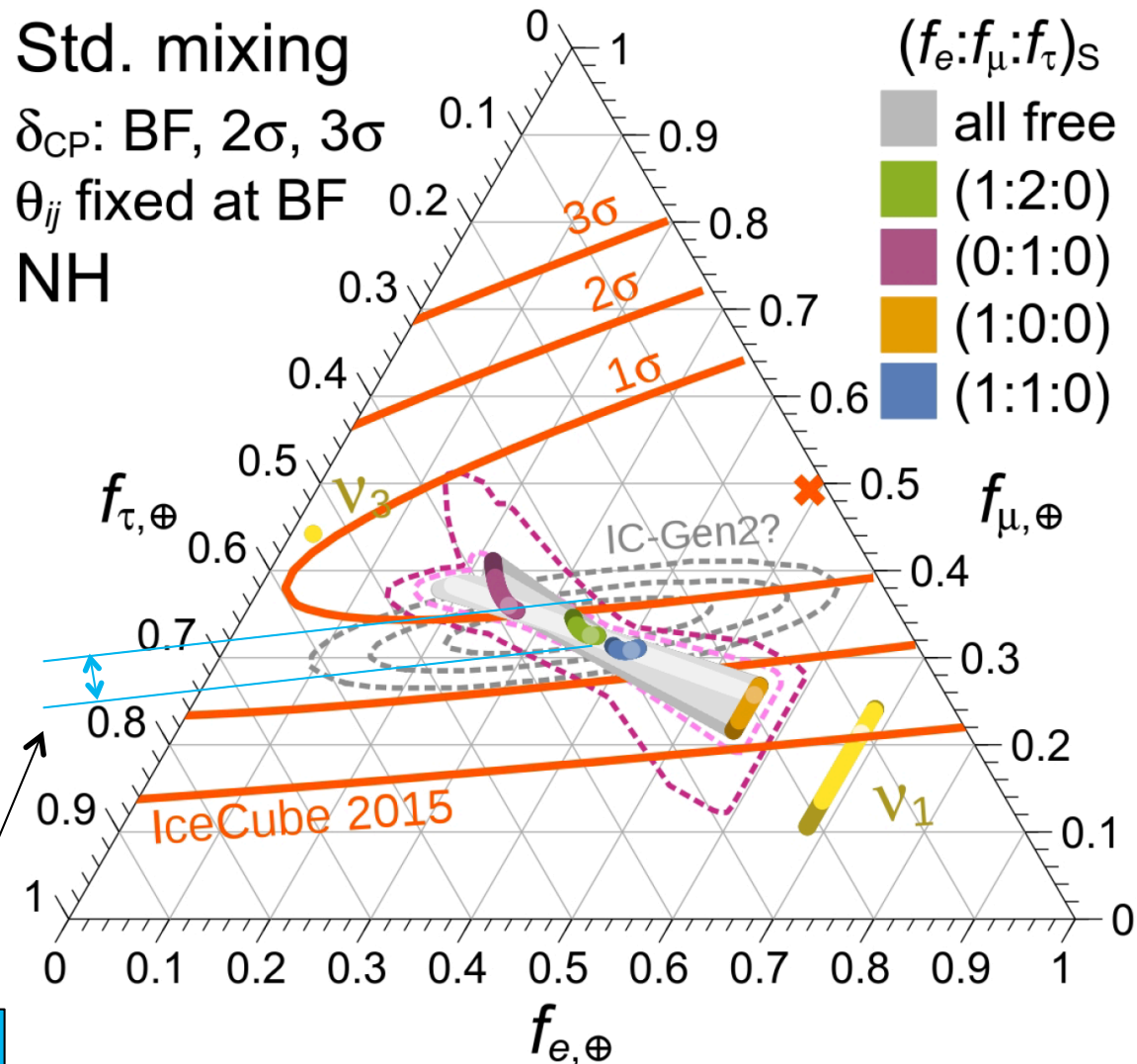
Bustamante, Beacom, Winter, PRL 115 (2015) 161302;  
right example from Mehta, Winter, JCAP 03 (2011) 041



# Can astrophysical measurements constrain $\delta_{CP}$ ?

- > Assume that all other oscillation parameters were perfectly known  $\rightarrow$
- > Need to assume that type of source known
- > For pion beam sources, and sources within SM, in general, challenging
- > Best if only  $\nu_1$  stable (but this is new physics, and in tension with data already ...)   
[Beacom, Bell, Hooper, Pakvasa, Weiler, 2004](#)

But: expect composition for muon tracks/showers for IC-Gen2 better than  $\sim 10\%$



[Bustamante, Beacom, Winter, PRL 115 \(2015\) 161302](#)

# Propagation effects over cosmological distances

Example: Neutrino lifetime  
... but generic thoughts apply to other classes  
of new physics as well ...





# Neutrino lifetime: Basics

- > If neutrino mass eigenstates decay: Decay rate  $\lambda_i = 1/(\tau_{0,i} \gamma) = m_i/(\tau_{0,i} E)$
- > Rest frame lifetime  $\tau_0$  cannot be measured. Describe by

$$\kappa^{-1} \left[ \frac{\text{s}}{\text{eV}} \right] \equiv \frac{\tau [\text{s}]}{m [\text{eV}]} \simeq 10^2 \frac{L [\text{Mpc}]}{E [\text{TeV}]}$$

(last term: estimate for sensitive L/E-range)

- > Naively: need long distances and low energies to test decay!
- > Best bounds from SN 1987A neutrinos:  $\tau/m > 10^5 \text{ s/eV}$
- > Caveat: large uncertainty in neutrino flux normalization and only electron flavor measured; bound must apply to either  $m_1$  or  $m_2$  (or both)
- > Can one obtain better bounds over cosmological distances, such as from high-z gamma-ray burst neutrinos (GRBs)?
- > Have to face subtleties of new physics over cosmological distances!



# Propagation effects over cosmological distances

- > What is the “clock” for the decay of the neutrinos? **Light-travel distance**

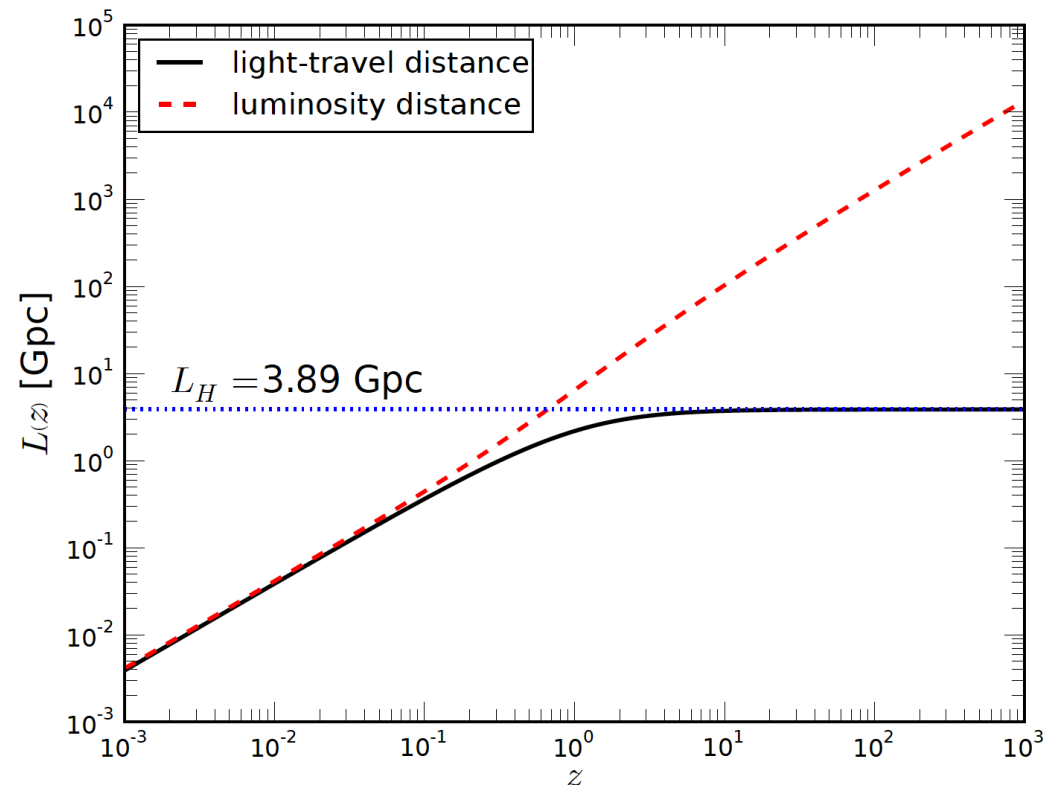
$$L(z) = L_H \int_0^z \frac{dz'}{(1+z')h(z')}$$

$$h(z) \equiv H(z)/H_0$$

$$H(z) \equiv H_0 \sqrt{\Omega_m (1+z)^3 + \Omega_\Lambda}$$

- > The light-travel distance is limited by the Hubble length
- > Consequence: Time/distance dependent new physics effects in the propagation (including oscillations) cannot be tested for arbitrarily large distances!

e. g. [Weiler, Simmons, Pakvasa, Learned, 1994](#);  
[Wagner, Weiler, 1997](#); [Beacom et al., 2004](#);  
[Esmaili, Farzan, 2012](#);  
[Baerwald, Bustamante, Winter, 2012](#)



> Invisible decays

$$P_{\alpha\beta}(E_0, z) = \sum_i |U_{\alpha i}|^2 |U_{\beta i}|^2 \frac{N_i(E_0, z)}{\hat{N}_i(E_0)} = \sum_i |U_{\alpha i}|^2 |U_{\beta i}|^2 D_i(E_0, z),$$

Damping factor

> Ansatz for decays:  $N_i(z) = \hat{N}_i e^{-\lambda_i L(z)}$

> Correct decay rate for redshift:  $\lambda_i = \lambda_i(z) = \frac{\kappa_i}{E_0(1+z)}$

> Damping factor  $D_i(E_0, z) = \exp\left(-\frac{\kappa_i}{E_0} \frac{L(z)}{(1+z)}\right)$

> Re-write as  $D_i(E_0, z) = [\mathcal{Z}_1(z)]^{-\frac{\kappa_i L_H}{E_0}}$

$$\mathcal{Z}_1(z) \equiv \exp\left(\frac{L(z)}{L_H \cdot (1+z)}\right)$$

For  $z \rightarrow \infty$ :  $L \rightarrow L_H$  and  $Z_1 \rightarrow 1$   
 Thus:  $D \rightarrow 1$  and neutrinos  
 from extremely high  $z$  are  
 stable! Stability paradox!  
 What is wrong?



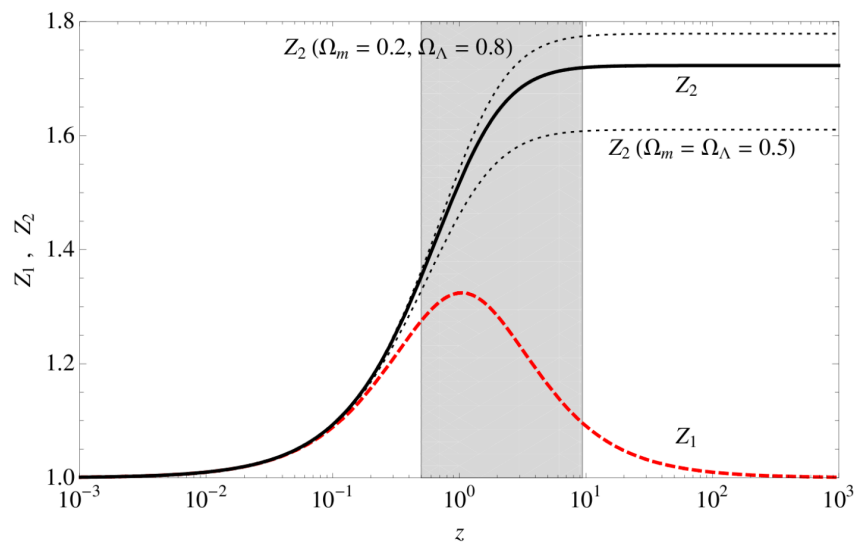
# Proper solution

- Start with differential equation, re-written in terms of redshift

$$\frac{dN_i(E_0, z)}{dz} = -\frac{\kappa_i}{E_0} \frac{dL}{dz} \frac{N_i(E_0, z)}{1+z}$$

- Result

$$D_i(E_0, z) = \exp\left(-\frac{\kappa L_H}{E_0} \int_0^z \frac{dz'}{(1+z')^2 h(z')}\right) = [\mathcal{Z}_2(z)]^{-\frac{\kappa L_H}{E_0}}$$



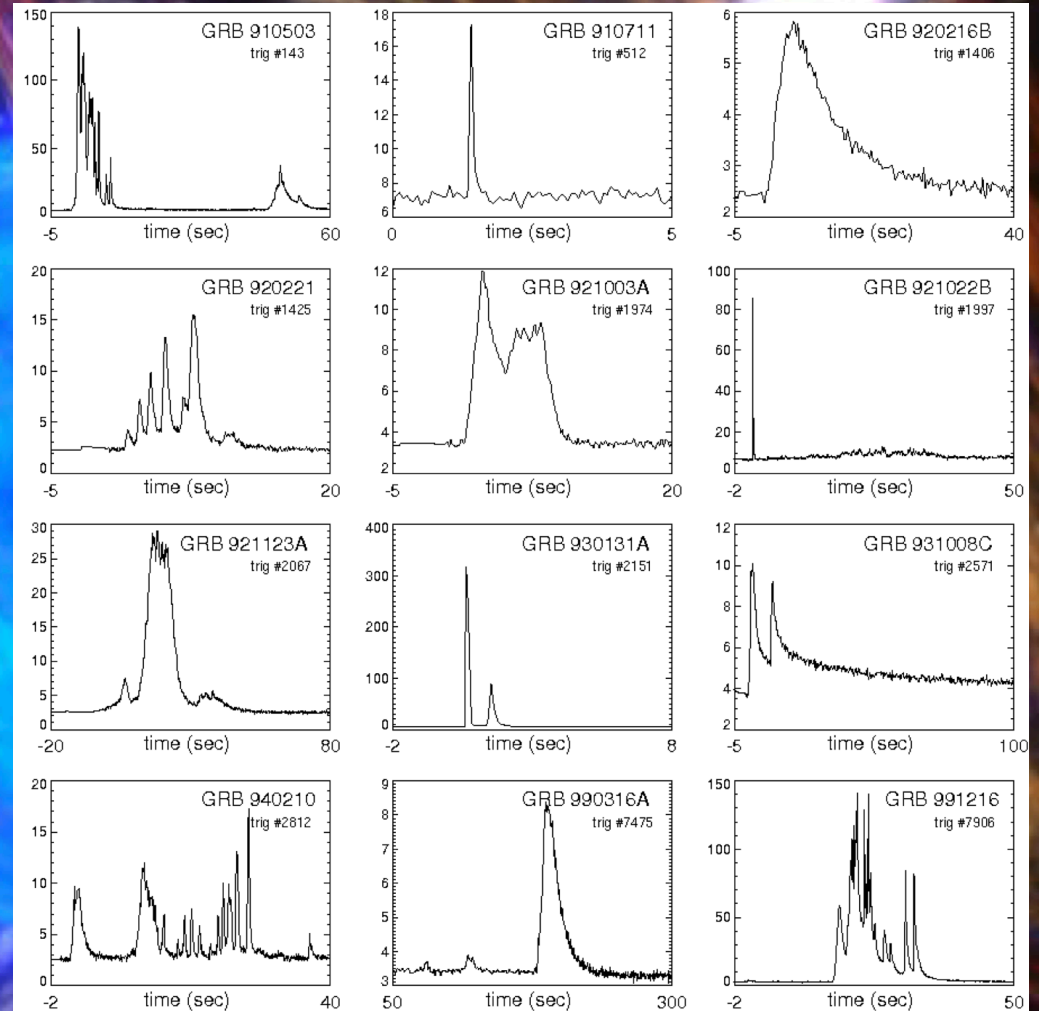
Neutrinos from high z decay now!

NB: Complete decays are a matter of energy, not distance! In general, the statement “the further away the better” does not apply here!

# Test case: Gamma-ray bursts (GRBs)

Daniel Perley

- Most energetic electromagnetic (gamma-ray) outburst class
- Several populations, such as
  - Long-duration bursts ( $\sim 10 - 100$ s), from collapses of massive stars?
  - Short-duration bursts ( $\sim 0.1 - 1$  s), from neutron star mergers?
- Observed in light curves come in large variety  $\longrightarrow$
- Long GRBs mostly from redshifts  $z \sim 1-2$

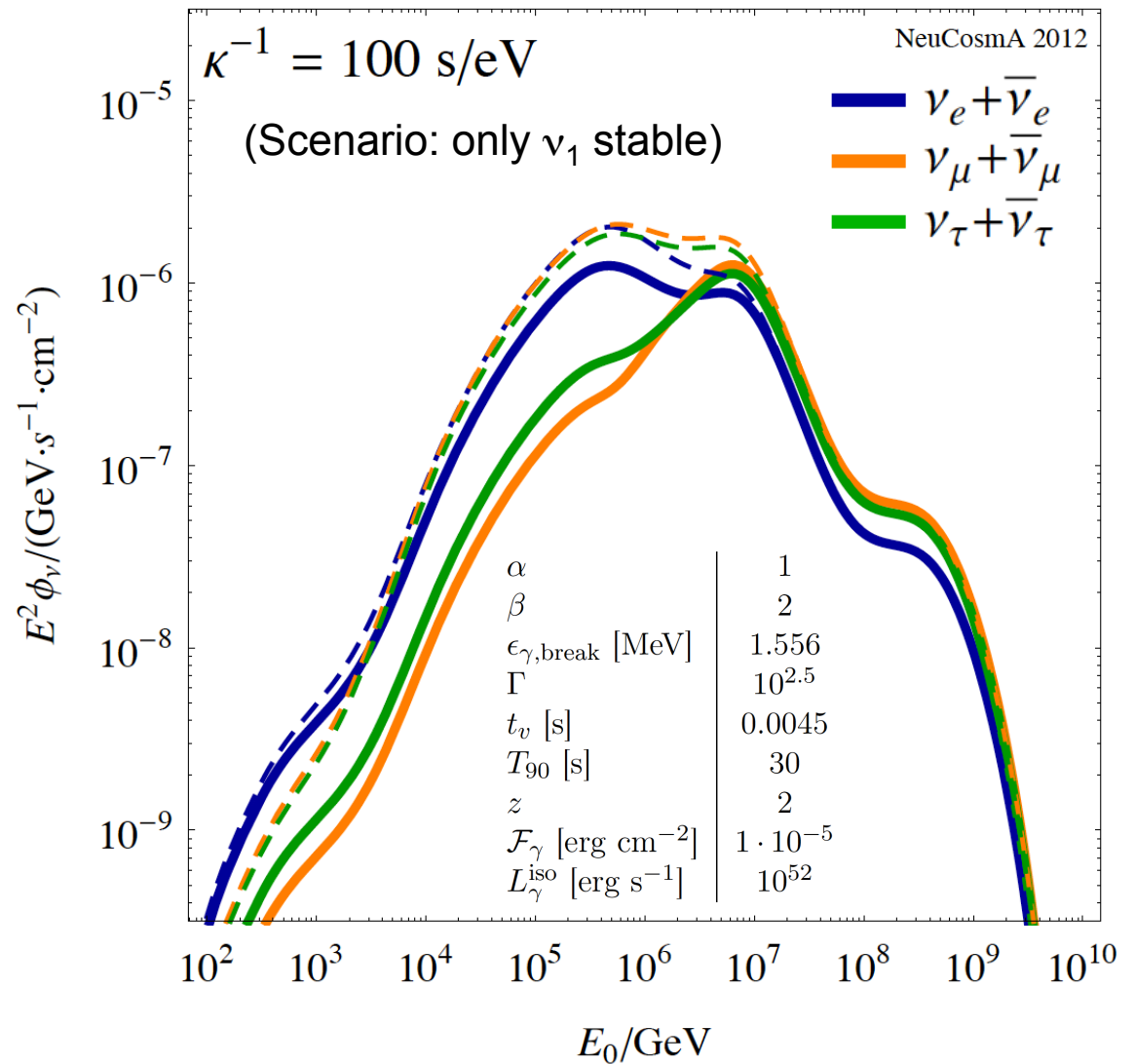
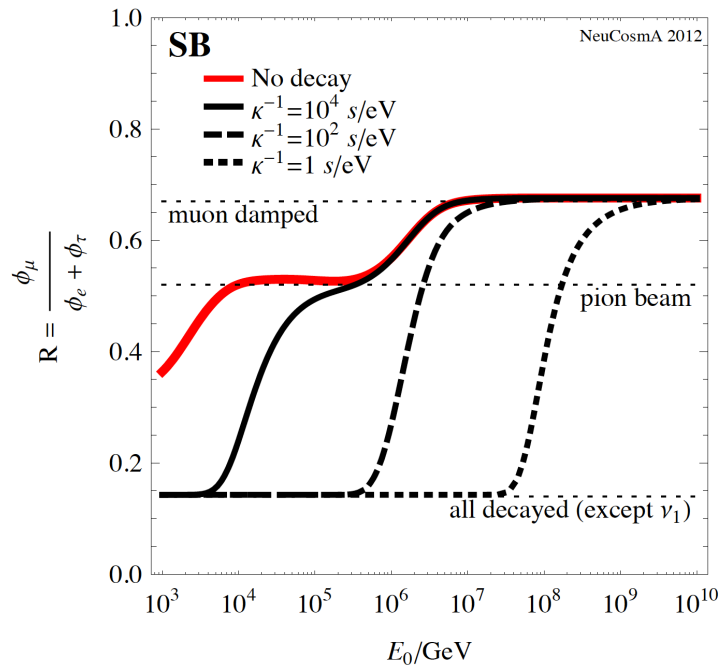


Source: NASA

# Decays of GRB neutrinos?

Interesting implications:

- $\nu_\mu$  from GRBs may be suppressed (current stacking analyses based on  $\nu_\mu$ !). Need GRB-cascade searches
- Flavor composition depends on energy



Baerwald, Bustamante, Winter,  
 JCAP 1210 (2012) 020



# Summary and conclusions

- > Important clues on the neutrino signal will come from multi-messenger interpretations. This is a challenge for **theory**, as basically any multi-messenger relationship has to rely on a source model
- > Origin of cosmic neutrinos yet unclear; possibly different components; partially contradictory information (also in data, not touched).  
Need more statistics: IceCube-Gen2?
- > The observation of high-E cosmic neutrinos opens new possibilities for tests of SM and BSM fundamental physics
- > The flavor composition of astrophysical neutrinos is relatively sensitive to physics beyond the Standard Model
- > Propagation effects over cosmological distances require a dedicated treatment; naïve assumptions do not apply

