

Quantum Measurement Theory from Renormalization Group perspective

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- 1 Measurement in Quantum Mechanics
- 2 Measurement from field theory point of view
- 3 Spontaneous Symmetry Breaking
- 4 The RG interpretation of Quantum Measurement Theory
- 5 The role of the quantum state
- 6 Interpretation of experiments
- 7 Conclusions

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Quantum Mechanics is a consistent, robust theory with few assumptions/axioms

- states are normalized elements $\in H_{ph} \subset H$ Hilbert space
- physical transformations are Hilbert-space homomorphisms:
 $H_{ph} \rightarrow H_{ph} \Rightarrow$ (anti) unitary linear transformations
- trf. of states and operators: $|\psi'\rangle = U|\psi\rangle$, $A' = U^\dagger A U$
- continuous unitary groups (Lie-groups): $U = e^{-i\omega_a T_a}$
 \Rightarrow generators T_a hermitian

Special 1-parameter/commutative Lie-groups

- *time translation*, its generator (def.) Hamiltonian
$$e^{-i\hat{H}t} |\psi\rangle = |\psi, t\rangle \Rightarrow i\partial_t |\psi\rangle = \hat{H} |\psi\rangle$$
- *space translation*, its generator (def.) momentum
$$\delta\hat{q} = i\delta a[\hat{p}, \hat{q}] = \delta a \Rightarrow [\hat{q}, \hat{p}] = i$$

Perform a transformation which influences the system the least (infinitesimal trf.), and detect the change of the state:

$i\delta|\psi\rangle = \varepsilon T|\psi\rangle \Rightarrow$ generator represents a measurement.

- If $i\delta|\psi\rangle = \lambda\varepsilon|\psi\rangle$ (eigenstate) then the transformation changes only the phase of the system
 - \Rightarrow result of measurement can be represented by a number
 - \Rightarrow value of the measurement: λ

But what happens if $i\delta|\psi\rangle \not\propto |\psi\rangle$? In a real experiment we still measure a number! How can we obtain it?

Measurement postulate

Measurement postulate:

- the possible **measurement values are the eigenvalues** of the infinitesimal generator $T |n\rangle = \lambda_n |n\rangle \Rightarrow$ usually quantized
- the quadratic norm of the eigenvectors $|\langle\psi|n\rangle|^2$ provides the **probability** to measure λ_n .
- If we measured λ_n , then the system continues time evolution from $|n\rangle$ (**wave function reduction**).

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Challenge

Measurement is non-deterministic, non-causal! How can one build a consistent theory?



Interpretation

A QM interpretation should give an account to the questions like:

- **causal vs. probabilistic**: could it be possible to predict the result of a QM measurement?
- **classicality vs. quantum**: how local/macroscopic realism appears in a measurement (cf. EPR paradox, Bell-inequalities, Leggett-Garg inequalities, hidden parameters)

(A. Leggett and A. Garg, PRL 54 (1985), M. Giustina et. al., PRL 115, 250401 (2015))

- **what is a measurement device?** Schrödinger's cat, conscious observer, detectors, or even **spont. symmetry breaking (SSB)**?
- **time scale** and **mechanism** of wave function reduction?
- **QM measurements**: spin (Stern-Gerlach experiment), position, decay of unstable nuclei, etc.

Copenhagen interpretation

- measurement (observation) is not causal, inherently random.
- **throw away deterministic time evolution!**
- wave function reduction is instant, and it happens at once in the whole space
- what is a measurement device?
Neumann-Wigner interpretation: consciousness causes measurement.

Other interpretations

(cf. A.J. Leggett, J. Phys.: Condens. Matter 14 (2002), 415)

- **statistical interpretations** \Rightarrow improved versions of the Copenhagen interpretations
- **many-worlds interpretation**: many worlds, in each of them wave function reduction, but in a collection of them all possibility occurs
(H. Everett H, Rev. Mod. Phys. 29 (1957) 454)
- **objective wave function reduction**: nonlinear/non-unitary time evolution
 - due to gravity effects (**Diosi-Penrose-interpretation**)
(L. Diosi, J.Phys.Conf.Ser. 701 (2016) 012019, [arXiv:1602.03772])
 - effective approaches: Caldeira-Leggett model
(O. Caldeira, A.J. Leggett, Ann.Phys. 149, 374 (1983))
Lindblad/Gross-Pitaevski approach
(P. Vecsernyes, J.Math.Phys. 58 (2017) 10, 102109, arXiv:1707.09821)

Corollary

Within strict QM the explanation of **decoherence phenomenon** requires **external influence/new physics**.

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Quantum Field Theory (QFT) point of view

- **QFT**: ambition to explain the whole world from strings to stars
- everything should come from TOE (or at from least Standard Model), no independent physics should appear at nano scales
- **linear theory** \Rightarrow **Path Integral**
- **QM** is an approximation, where **one particle propagation** does not mix with **multiparticle propagation**.
One particle propagator equation is not linear!
(Dyson-Schwinger equations)

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Educated guess

Exact solution of QFT for the measurement device would provide decoherence / “wave function reduction”

Why measurement theory is much harder than QCD?

- both require the exact solution of a field theory
- both are complicated many-body problems that can only be treated numerically
- prediction of proton mass is possible, because we know microscopically what a proton is
- a measurement device shows properties that is completely irrelevant from the microscopic point of view (what is the difference between a metal tube and a Geiger-Müller counter?)

Lesson

Direct microscopical simulation is not an option.

A pragmatic approach

- Start from a **complete quantum description** of the measurement device $\Rightarrow H_{tot}$
- The measurement device contains a lot of **unimportant details** (screws, geometry, type of matter we use, etc.)
- Leave out (integrate out) these details! $\Rightarrow H_{eff}$ (renormalization group philosophy)

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- QCD at low energy \Rightarrow hadron physics
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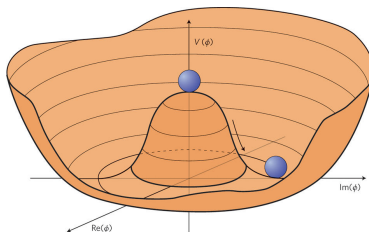
Question

What is the effective theory of Quantum Measurement?

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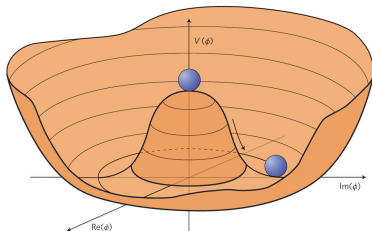
Spontaneous Symmetry Breaking (SSB)

- **SSB**: the microscopic theory possesses a symmetry which is not manifested in the IR observables
- **usual interpretation**: the **ground state** does not respect the symmetry \Rightarrow minima of $\Gamma[\Phi]$



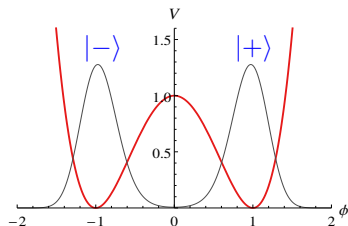
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- **consistency question**: ground state in QM is **unique**
(L. Gross, J. of Func.Anal. 10 (1972) 52)
why we do not see the **ground state**?

Example 1: 2-state system with a double-well potential



- States corresponding to classical minima are $|+\rangle$ and $|-\rangle$
- Ground state is $|0\rangle = \frac{|+\rangle + |-\rangle}{\sqrt{2}}$, symmetric, entangled.
- $E_0 < E_{\pm}$, but the difference can be small \Rightarrow for $|\Psi\rangle = \alpha|+\rangle + \beta|-\rangle \Rightarrow E_0 \approx E_{\Psi}$
- **Experiments:** local spins, domains $|++---+\dots\rangle$ instead of $\alpha|++++\dots\rangle + \beta|----\dots\rangle$.

Breaking a continuous symmetry

Example 2: QFT with continuous symmetry, e.g. $O(2)$ model:

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \Phi_n)^2 - \frac{m^2}{2}\Phi_n^2 + \frac{\lambda}{24}(\Phi_n^2)^2$$

- quantum state corresponding to a classical minimum

$$|SSB\rangle = |\eta\rangle_{k=0} \otimes |0\rangle_{k_1} \otimes |0\rangle_{k_2} \otimes \dots$$

coherent state \otimes vacuum states.

- Goldstone-theorem: continuous spectrum around $|SSB\rangle$
- We cannot single out a state from a continuum!
(convolution of creation function and density of state, locality)
 $\Rightarrow |SSB\rangle$ will spread/decay!
- Therefore Goldstone-theorem \leftrightarrow stable SSB
we observe both because continuous local measurements.

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Consequence

SSB is a classical phenomenon with quantum origin \Rightarrow serves as an effective model for Quantum Measurement!

Describe SSB with purely quantum tools, no classical fields

- Usual approach: first determine $\Gamma[\Phi]$, later the ground state
- **Quantum treatment:** Φ is a bookkeeping variable, $\Gamma[\Phi]$ is the 1PI action **around the vacuum state**.
- \Rightarrow symmetry breaking explicitly appears in the action.
- Remnant of the symmetry: **Ward identities**.

In Φ^4 theory

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \Phi)^2 - \frac{M^2}{2}\Phi^2 - \frac{g}{6}\Phi^3 - \frac{\lambda}{24}\Phi^4,$$

and the Ward identity requires

$$g^2 = 3\lambda M^2 \quad \Rightarrow \quad R^2 = \frac{g^2}{3\lambda M^2} = 1.$$

Evolution equations of the couplings

- Treatment technique: **functional renormalization group**
- LPA approximation \Rightarrow evolution equation for the potential

$$\partial_k U = \frac{1}{2} \hat{\partial}_k \int \frac{d^d p}{(2\pi)^d} \ln(p_k^2 + \partial_\Phi^2 U), \quad p_k = \max(|p|, k)$$

where U effective potential

- Expand left and right hand side using the Ansatz
- Match the coefficients; take into account Ward identity

Result $\omega^2 = k^2 + M^2$

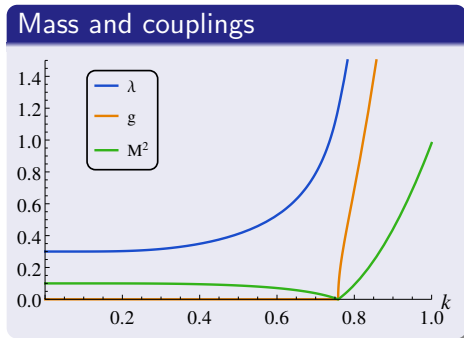
$$\partial_k M^2 = \frac{k^{d+1}}{\omega^4} \left(-\lambda + \frac{g^2}{M^2} \left(1 + \frac{M^2}{\omega^2} \right) \right)$$

$$\partial_k \lambda = \frac{6k^{d+1}\lambda^2}{\omega^6}$$

$$\partial_k g = \frac{gk^{d+1}}{\omega^6} \left[\frac{9\lambda}{2} + \frac{g^2\omega^2}{3M^4} \right]$$

Results of the scalar model

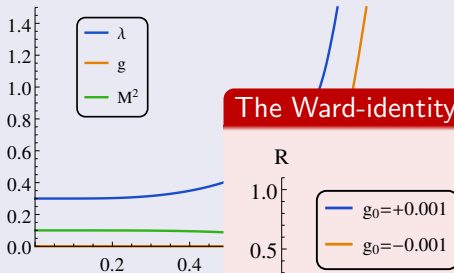
Renormalized parameters: $\lambda_0 = 0.3$, $\frac{M_0^2}{\Lambda^2} = 0.1$, $g_0 = \pm 0.001$



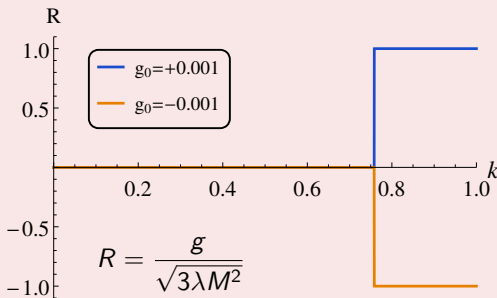
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Mass and couplings



The Ward-identity ratio



Lessons to be generalized

- fully deterministic
- phase transition at a certain scale (at $k_{ph} = 0.7581430242$)
- described SSB **through couplings**, without any reference to (classical) fields
- “**order parameter**” is also a coupling: g , or R
- initiation of phase transition: $m^2 \rightarrow 0$.
- **partial fixed points in R** : near phase transition point

$$\partial_t R^2 = \frac{C}{m^2} R^2 (1 - R^2), \quad \partial_t m^2 = C(1 - 3R^2),$$

($t = \ln k$) $\Rightarrow R = 0, \pm 1$ partial fixed points.

- instead of **inequivalent vacua** \rightarrow **multiple fixed points**
- symmetry is represented on the set of fixed points
- changing between fixed points is very fast ($R'(k_{ph}) = 1.1 \cdot 10^8!$)

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Elements of quantum measurement effective theory

- (generalized) coupling R (not necessarily 1D real) corresponding to the measurement operator
- A “timer” coupling m^2 initiating the measurement
 - geometric process, e.g. flying towards the device
 - internal process, e.g. in a particle decay
- Measurement process: R reaches partial fixed point
 - ⇒ in later times their value is fixed, R is “measured”
 - ⇒ only a part of the system will be measured

Corollary

Each classically distinguishable state corresponds to a **separate partial fixed point** of the general effective action, these can be characterized by the fixpoint value of R .

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- interpretation of **time**
 - **pure FRG**: start from an UV scale, build up correlations, arrive at IR (partial) fixed point; assignment $t = \ln k$
(D. Boyanovsky, *Annals Phys.* 307 (2003) 335-371)
 - **practical approach**: time can be an adiabatic variable, modifying the stability of fixed points.

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 - can be a good approximation in the UV fixed point
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 - can be a good approximation in the UV fixed point
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 - instead **wave function reduction** abrupt change from one fixed point to another
- **causality**
 - fully deterministic process
 - $R \ll 1$ near the UV fixed point \Rightarrow initially unmeasurable
 - for all practical purposes it is random.

analogies: pencil placed on its tip, coin flipping, chaos/bifurcation



pencil tumbles deterministically, but still unpredictably
⇒ this happens in FRG in the **coupling constant space**

Comparison to other approaches

- **Copenhagen/statistical interpretation**: the value of the irrelevant couplings in the QM state decide which fixed point is chosen \Rightarrow **practically statistical**
- **Quantum multiverse**: instead of multiple universes: **multiple fixed points**
- **Objective wave function reduction**: the process is fully deterministic (**but wave function is not a relevant quantity**)
- **Decoherence picture**: there is a point where the QM fixed point becomes unstable \Rightarrow different “UV” and “IR” behavior.

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Incorporate state into FRG

- $|\Psi\rangle$ state of the system, and we associate $|\eta_n\rangle$ states to the measurement operator
- $\mathcal{A}_n = \langle \eta_n | \Psi \rangle$: influence interaction with the device
(play no dynamical role in QM fixed point!)
- they change the probability distribution of measurement
⇒ **explicit symmetry breaking**

Let us consider a simple model:

- each possible measured output has an own timer $m_n \in \mathbb{C}$
- m_n is irrelevant in the UV fixed point
 \Rightarrow its distribution is **symmetric Gaussian**
- near the phase transition, where $\mathcal{A}_n \approx \text{constant}$, we consider the timer evolution

$$\partial_t m_n^2 = -C|\mathcal{A}_n|^2$$

\Rightarrow explicit breaking due to the overlap

Which fixed point is chosen?

Measurement selection

The mode whose timer runs down the first will be measured!

- $t_n \sim \left| \frac{m_n}{\mathcal{A}_n} \right|^2 \Rightarrow$ the probability that t_1 is the minimal value:

$$P \left(\left| \frac{m_1}{\mathcal{A}_1} \right|^2 < \left| \frac{m_n}{\mathcal{A}_n} \right|^2_{n>1} \right) = \frac{|\mathcal{A}_1|^2}{\sum_{n=1}^N |\mathcal{A}_n|^2}$$

it is just the expected result!

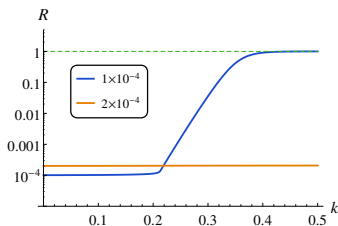
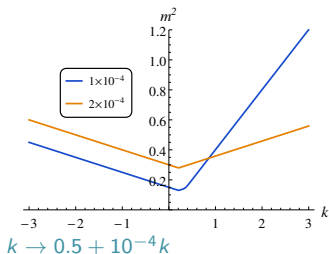
- distribution of the lifetime:

$$\mathcal{P}(t) \sim e^{-|\mathcal{A}|^2 t}$$

Poissonian distribution.

Which fixed point is chosen?

Result of a toy model with backreaction from the measured mode

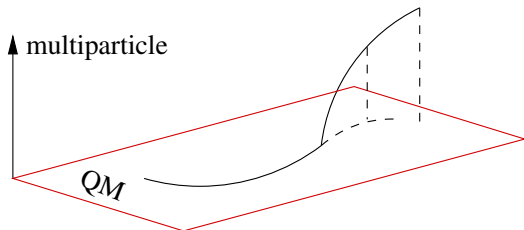


Proofs:

$$\begin{aligned} P\left(\frac{|x_1|^2}{P_1} < \frac{|x_n|^2}{P_n}\right) &\sim \int d^2x_1 \dots d^2x_n e^{-\frac{1}{2\sigma^2}(|x_1|^2 + \dots + |x_n|^2)} \prod_{n=2} \Theta\left(\frac{|x_n|^2}{P_n} - \frac{|x_1|^2}{P_1}\right) \\ &= \int_0^\infty dr_1 e^{-r_1} \prod_{n=2} \int_{\frac{P_n r_1}{P_1}}^\infty dr_n e^{-r_n} = \int_0^\infty dr_1 e^{-r_1(1 + \sum_{n=2} \frac{P_n}{P_1})} = \frac{P_1}{\sum_{n=1} P_n} \\ \mathcal{P}(t) &\sim \int d^2x e^{-\frac{1}{2\sigma^2}|x|^2} \delta\left(t - \frac{|x|^2}{P}\right) \sim e^{-Pt}. \end{aligned}$$

Wave function reduction

- QM from the point of view of QFT: **Gaussian/free theory**
initial state is marginal:
 $\partial_t |\Psi\rangle = -iH |\Psi\rangle$ neither grows nor decreases
- Near the device: we leave the space of QM operators; but we can **project back** the running theory to QM:
describes how the system would evolve if we interrupted the measurement process
 \Rightarrow not QM-unitary time evolution



- heuristically: $(1 - R^2) |\Psi\rangle + R_n^2 |\eta_n\rangle \Rightarrow$ very fast process!

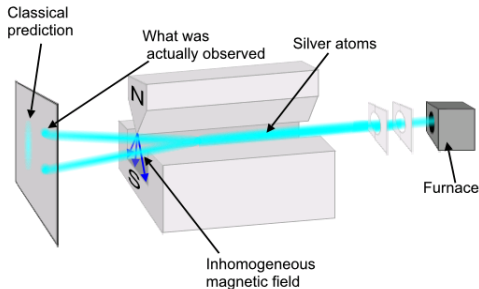
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The Stern-Gerlach experiment

Experiment: e^- in x -polarized spin state, eg. $|\psi\rangle = \frac{|\uparrow\rangle + |\downarrow\rangle}{\sqrt{2}}$,

z -inhomogeneous magnetic field separates the $|\uparrow\rangle$ and $|\downarrow\rangle$ components, detect the incoming particles.

Result: only one of 2 detectors will detect particle, the chance to detect is 50%.



Interpretation of the experiment

Interpretation: time evolution is slow \Rightarrow adiabatic approach

- **Creation of e^- :** e.g. by photoeffect.
- **Flying single e^- :** only one fixed point, where the $1-e^-$ propagation is a good appr. $\Rightarrow \exists e^-$ wave function state of environment is **irrelevant** for the e^- .
- **e^- near/in the device:** complicated system with
 - one unstable fixed point of the incoming e^- (UV)
 - two stable fixed points of the measured e^- (IR1, IR2) $1-e^-$ propagation (QM) is bad appr. $\Rightarrow \nexists$ wave function
- **RG trajectory:** starts from UV fp., fast approaches one of the IR fp.s, depending on the **state of the complete system** system-wide “hidden variables” \Rightarrow no macroscopic realism!
- **if e^- goes on:** the RG flow continues from just one of the fixed points, with definite spin.

Schrödinger's cat

Proposition: take a cat, put it into a box with a bomb coupled to unstable U-atoms; if the U-atom decays, the bomb explodes, the cat dies

Challenge: the U-atom is in a mixture of stable and decayed states
 \Rightarrow is the cat also in a mixture of living and dead state? What does the cat perceive?

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Interpretation of Schrödinger's cat thought experiment

Interpretation: there are **two fixed points** in the system:

- living cat with U-atom and intact bomb (UV)
has one relevant direction! the initial condition decide how long we stay here
- dead cat with decay products and exploded bomb (IR)
IR stable fixed point
- the crossover is explosively fast

Consequences

- we are always around **one** fixed point
- **no cat wave function** (bad approximation of QFT), no living dead quantum state

Remark: no $|U\rangle + |\text{decayed } U\rangle$ mixed state either
 \Rightarrow elements of different fixed point Hilbert spaces!

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- Effective model for Quantum Measurement: **SSB**
 - “timer” $m_n \in \mathbb{C}$ initiates measurement
 - “measurement coupling” $R_n \in \mathbb{C}$ chooses one IR end state
- UV fixed point \Rightarrow to QM approximation
- IR partial fixed points \Rightarrow measured/classical states
- **quantum state**: explicit symmetry breaking in timer
 - timer counts down \Rightarrow measurement
 - $\sim |\langle \eta_n | \Psi \rangle|^2$ measurement probability
 - Poissonian distribution for lifetimes
- many-world \rightarrow many fixed points
- the scale/time dependence is **deterministic**
- global “hidden variables” \Rightarrow no macroscopic realism!
- measurement coupling is very small in the UV fixed point
 \Rightarrow for all practical purposes it is random

In nonlinear systems (non-quadratic Hamiltonian) radiative corrections result in

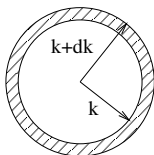
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- introduce new interactions

Functional Renormalization Group (FRG)

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Take into account radiative corrections down to a certain scale!



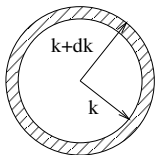
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Consequence: the operators (“phenomena”) that are important to describe physics, change with scale.



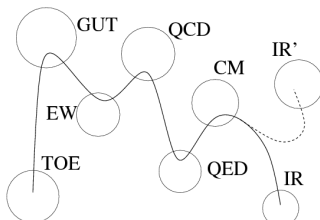
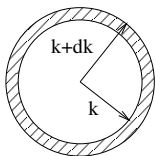
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- introduce new interactions

Take into account radiative corrections down to a certain scale!

Consequence: the operators (“phenomena”) that are important to describe physics, change with scale.



(J. Polonyi, Central Eur.J.Phys. 1 (2003) 1)

Functional Renormalization Group (FRG)

- **Exact evolution equation**

for the scale dependence of the effective action (Wetterich-eq.)

$$\partial_k \Gamma_k = \frac{i}{2} \hat{\partial}_k \text{Tr} \ln(\Gamma_k^{(1,1)} + R_k)$$

Γ_k effective action, k scale parameter, R_k regularization $\hat{\partial}_k = R'_k \frac{\partial}{\partial R_k}$

- fixed points: $\partial_k \Gamma_k = 0$

- around fixed points the effective action can be represented by the **relevant operators only**

⇒ **FRG Ansatz/effective theory**

- scale evolution connects the fixed point regimes

Most important message

The physics should be represented by the relevant operators of the actual fixed point describing the phenomena under investigation.