# Non-perturbative renormalization of field dependent couplings

Gergely Fejős

Eötvös University, Institute of Physics Department of Atomic Physics

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Introduction of field dependent couplings

Functional Renormalization Group

Application I: Yukawa coupling

Application II:  $U_A(1)$  't Hooft coupling

Summary

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- What is a field dependent coupling?
- Classical theory:

$$S[\phi] = \int \mathcal{L} = \int \left[\frac{1}{2}(\partial_{\mu}\phi)^2 + \frac{1}{2}m^2\phi^2 + g\phi^4 + \eta\phi^6 + \ldots\right]$$

• Quantum effective action:

$$\Gamma[\phi] = \sum_{n} \int \Gamma^{(n)}(\{p_i\};\mu) \phi(p_1)...\phi(p_n)$$
  
 $\longrightarrow \text{ promote } \Gamma^{(n)}(\{p_i\};\mu) \to \Gamma^{(n)}(\{p_i\};\mu,\phi) ?$ 

 $\longrightarrow$  does not make sense ( $\Gamma$  is perturbative in  $\phi$ )

- What is a field dependent coupling?
- Classical theory:

$$S[\phi] = \int \mathcal{L} = \int \left[\frac{1}{2}(\partial_{\mu}\phi)^2 + \frac{1}{2}m^2\phi^2 + g\phi^4 + \eta\phi^6 + \dots\right]$$

• Quantum effective action:

$$\Gamma[\phi] = \sum_{n} \int \Gamma^{(n)}(\{p_i\}; \mu) \phi(p_1)...\phi(p_n)$$

- $\longrightarrow$  promote  $\Gamma^{(n)}(\{p_i\};\mu) \rightarrow \Gamma^{(n)}(\{p_i\};\mu,\phi)$ ?
- $\longrightarrow$  does not make sense ( $\Gamma$  is perturbative in  $\phi$ )
- Multicomponent  $\phi^a$  + internal symmetries  $\Rightarrow$  reorganize  $\Gamma$ !

 $\longrightarrow$  linear symmetries of  ${\color{black}{S}}$  are inherited by  ${\color{black}{\Gamma}}$ 

- $\rightarrow$  only certain (invariant) combinations appear:  $l_1, l_2...l_N$
- Reorganized expansion:

$$\Gamma[\phi] = \Gamma[I_1, I_2...] = \sum_{\{\alpha\}} \int \Gamma^{(\alpha)}(\{p_i\}; \mu, I_1) I_2^{\alpha_2} I_3^{\alpha_3} ... I_N^{\alpha_N}$$

#### Motivation

Why does it make sense to consider field dependent couplings?
 → one can still expand them ⇒ non-renormalizable terms

$$\Gamma^{(\alpha)}(l_1) = \sum_n \Gamma^{(\alpha)}_n l_1^n$$

- Continuum limit: non-renormalizable operators disappear (renormalizability!)
  - $\longrightarrow$  BUT: they are important in the IR

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• Continuum limit: non-renormalizable operators disappear (renormalizability!)

 $\longrightarrow$  BUT: they are important in the IR

- Confusion: in the Wilsonian renormalization group irrelevance ↔ non-renormalizablilty
- Perturbatively non-renormalizable operators are not important only on a critical surface
  - $\longrightarrow$  corresponding fixed point needs to be "close" to Gaussian
- $\Gamma_n^{(\alpha)}$  does have importance in the IR!
  - $\rightarrow$  resumming  $\Gamma_n^{(\alpha)}$  can (actually) be a necessity

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- The Functional Renormalization Group is designed to resum field dependence
- Scale dependent partition function:

$$Z_{k}[J] = \int \mathcal{D}\phi e^{-(\mathcal{S}[\phi] + \int J\phi)} \times e^{-\frac{1}{2}\int \phi \mathbf{R}_{k}\phi}$$

• Scale dependent effective action:

$$\Gamma_{k}[\phi] = -\log Z_{k}[J] - \int J\phi - \frac{1}{2} \int \phi R_{k}\phi$$
  
 $\longrightarrow k \approx \Lambda$ : no fluctuations included  
 $\Rightarrow \Gamma_{k}[\phi]|_{k=\Lambda} = S[\phi]$ 

 $\longrightarrow k = 0: \text{ all fluctuations included} \\ \Rightarrow \Gamma_k[\phi]|_{k=0} = \Gamma[\phi]$ 





• Flow equation of the effective action:

$$\partial_k \Gamma_k = \frac{1}{2} \int_{q,p} \operatorname{Tr} \left[ \partial_k R_k(q,p) (\Gamma_{k,2} + R_k)^{-1}(p,q) \right] = \frac{1}{2}$$

The rhs is indeed one-loop:
 (\tilde{\Delta}\_k acts only on R\_k)

$$\partial_k \Gamma_k = \frac{1}{2} \int \tilde{\partial}_k \operatorname{Tr} \log(\Gamma_{k,2} + R_k)$$

- $\longrightarrow$  one-loop but with fully dressed propagators
- $\longrightarrow$  not a full derivative, cannot be integrated
- $\rightarrow$  one always needs to work in approximations

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• If one is interested in <u>zero momentum</u> couplings: (Local Potential Approximation - LPA)

$$\Gamma_k[\phi^a] = \int \left[ \frac{1}{2} (\partial_i \phi^a)^2 + V_k(\phi^a) \right]$$

 $\longrightarrow$  no expansion in  $\phi^a \Rightarrow$  non-perturbativity

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- $\bullet$  Simplification: assume that  $\phi^{a}$  is spacetime independent
- Flow equation for the effective potential  $V_k$ :

$$\partial_k V_k[I_1, I_2, \ldots] = \frac{1}{2} \int_{\rho} \operatorname{Tr} \left[ \partial_k R_k(\rho) (\rho^2 + V_{k,2} + R_k(\rho))^{-1} \right]$$

• Field dependent couplings:

$$V_{k}[I_{1}, I_{2}, ...] = \sum_{\{\alpha\}} \underbrace{V_{k}^{(\alpha)}(I_{1})}_{I_{2}^{\alpha}} I_{2}^{\alpha_{3}} ... I_{N}^{\alpha_{N}}$$

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- How to choose the regulator function?  $\rightarrow$  no approximation  $\iff$  no regulator  $(R_k)$  dependence  $\rightarrow$  in practical applications results do depend on  $R_k$
- Wilsonian regulator:

$$R_k^W(q) = \lim_{M \to \infty} M^2 \Theta(k^2 - q^2)$$

 $\longrightarrow$  explicitly reproduces the Wilsonian RG

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$$R_k^{\exp}(q) = q^2/(\exp(q^2/k^2) - 1)$$

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• optimal regulator (Litim)

$$R_k^{\rm opt}(q) = (k^2 - q^2)\Theta(k^2 - q^2)$$

 $\rightarrow$  largest radius of conv. in an amplitude expansion (LPA)  $\rightarrow$  loop integral can be performed explicitly

• Identification of the field dependent couplings:

$$\sum_{\{\alpha\}} \partial_k V_k^{(\alpha)}(I_1) I_2^{\alpha_2} I_3^{\alpha_3} \dots I_N^{\alpha_N} = \frac{1}{2} \int_p \tilde{\partial}_k \operatorname{Tr} \log(p^2 + V_{k,2} + R_k(p))$$

- $\longrightarrow \underbrace{\text{problem: entries of } V_{k,2} \text{ are not functions of the } I_i \\ invariants \text{ but are expressed in terms of background } \phi^a$
- $\rightarrow$  symmetry ensures that the above expansion must contain invariant combinations

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- $\longrightarrow$  symmetry ensures that the above expansion must contain invariant combinations
- Task: find a suitable background field through which the above expansion is naturally realized
- Remember: reorganized expansion is physically motivated
  - $\rightarrow$  one is interested in non-perturbativity/resummation in one particular invariant ( $I_1$ )
  - $\longrightarrow$  e.g. in the vacuum  $\mathit{I}_1 \neq 0$  but  $\mathit{I}_i \approx 0$  for  $2 \leq i \leq \mathit{N}$

• Three flavor quark-meson model: [M - mesons,  $\psi$  - quarks]

$$\mathcal{L} = \frac{1}{2} \operatorname{Tr} \left[ \partial_i M^{\dagger} \partial_i M \right] + \bar{\psi} (\partial + g_Y M_5) \psi + V[M]$$
$$V[M] = \frac{1}{2} m^2 \operatorname{Tr} [M^{\dagger} M] + g_1 (\operatorname{Tr} [M^{\dagger} M])^2 + g_2 \operatorname{Tr} (M^{\dagger} M M^{\dagger} M)$$

- Eff. action ( $\Gamma$ ) depends on chirally invariant combinations!
- Symmetry breaking:  $U_L(3) \times U_R(3) \longrightarrow U_V(3)$ <u>Pure meson:</u>

$$I_1 = \operatorname{Tr} (M^{\dagger}M) \longrightarrow \text{nonzero}$$
  

$$I_2 = \operatorname{Tr} (M^{\dagger}M - \operatorname{Tr} (M^{\dagger}M)/3)^2 \longrightarrow 0$$
  

$$I_3 = \operatorname{Tr} (M^{\dagger}M - \operatorname{Tr} (M^{\dagger}M)/3)^3 \longrightarrow 0$$

Quark-meson:

$$\begin{split} \tilde{I}_1 &= \bar{\psi} M_5 \psi \longrightarrow 0 \\ \tilde{I}_2 &= \bar{\psi} M_5 (M_5^{\dagger} M_5 - \, \mathrm{Tr} \, (M_5^{\dagger} M_5)/3) \psi \longrightarrow 0 \end{split}$$

. . .

• Based on symmetry breaking, pure mesonic part is:

$$V_m = \sum_{\{\alpha\}} V^{(\alpha)}(I_1) I_2^{\alpha_2} I_3^{\alpha_3} \approx \underline{U(I_1)} + \underline{\underline{C(I_1)}} \operatorname{Tr} (M^{\dagger}M - \frac{1}{3} \operatorname{Tr} (M^{\dagger}M))^2 \dots$$

• Similarly, the fermion-meson interaction is approximated as

$$V_{fm} \approx \underline{\underline{g_Y(l_1)}} \, \overline{\psi} M_5 \psi + \underline{\underline{g_{Y,2}(l_1)}} \, \overline{\psi} M_5 (M_5^{\dagger} M_5 - \frac{1}{3} \operatorname{Tr} (M_5^{\dagger} M_5)) \psi + \dots$$

 $\rightarrow$  task: identify invariant operators in the rhs of the flow eq.  $\rightarrow$  problem: how to distinguish each term from each other?  $\rightarrow$  working with a general background field is hopeless

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 $\rightarrow$  task: identify invariant operators in the rhs of the flow eq.  $\rightarrow$  problem: how to distinguish each term from each other?  $\rightarrow$  working with a general background field is hopeless

- One needs an expansion in terms of M generating  $I_2$ ,  $\tilde{I}_2$ , ... but keeps  $I_1$  non-perturbative!
- Solution:  $M = (s_a + i\pi_a)T_a \equiv s_0 T_0 + s_8 T_8$ ,  $s_8 \ll s_0!$

• In the background  $M = (s_a + i\pi_a)T_a \equiv s_0 T_0 + s_8 T_8$ :

$$\begin{split} I_1 &= (s_0^2 + s_8^2)/2 \\ I_2 &\sim s_0^2 s_8^2 + \mathcal{O}(s_8^4) \\ I_3 &\sim s_0^3 s_8^3 + \mathcal{O}(s_8^6) \\ \tilde{I}_1 &= \psi(s_0 T_0 + s_8 T_8) \bar{\psi} \\ \tilde{I}_2 &\sim \psi s_0^2 s_8 T_8 \bar{\psi} + \mathcal{O}(s_8^3) \end{split}$$

• An expansion in terms of  $s_8$  and  $\psi$  realizes the invariant expansion that keeps  $l_1$  non-perturbative!

...

- $\bullet$  Recipe: 1.) Calculate one-loop diagrams in a background of  ${\it s}_{0}, {\it s}_{8}$  and  $\psi$ 
  - 2.) Expand the RG flow equation in terms of  $s_8$  and  $\psi$
  - 3.) Identify all invariants using the above expressions
  - 4.) The coefficients give the non-perturbative flows of the field dependent couplings

- Two diagrams contribute at  $\mathcal{O}(\bar{\psi}\psi)$
- Commonly known triangle diagram:



• Tadpole diagram from mass

correction of the mesons:



- $\rightarrow$  propagators are dressed and thus field dependent
- $\longrightarrow$  without field dependence the tadpoles are nonexistent and the triangle is identically zero
- $\longrightarrow$  perturbative Yukawa flow at the one-loop level vanishes!

- Common mistake: δ<sup>3</sup>V/δψδψδM<sub>5</sub> is the Yukawa coupling
   → this includes contributions from higher order couplings
   (e.g. g<sub>Y,2</sub>)
   to identify graph and to project out contaminations
  - $\longrightarrow$  to identify  $g_Y$  one needs to project out "contaminations"

- Common mistake:  $\frac{\delta^3 V}{\delta \psi \delta \psi \delta M_5}$  is the Yukawa coupling  $\longrightarrow$  this includes contributions from higher order couplings (e.g.  $g_{Y,2}$ )
  - $\longrightarrow$  to identify  $g_Y$  one needs to project out "contaminations"
- Yukawa term in the RG eq:  $(p_R^2 = p^2 + R_k, \tilde{\partial}_k \text{ acts on } R_k)$

$$\begin{split} \int_{\rho} \tilde{\partial}_{k} \Biggl[ \frac{3g_{Y,k}^{3}/2}{(p_{R}^{2} + g_{Y,k}^{2}\frac{1}{3}l_{1})(p_{R}^{2} + U_{k}')} &- \frac{\frac{4}{3}g_{Y,k}^{3}}{(p_{R}^{2} + \frac{1}{3}g_{Y,k}^{2}l_{1})(p_{R}^{2} + U_{k}' + \frac{4}{3}C_{k}l_{1})} \\ &- \frac{\frac{1}{6}g_{Y,k}^{3} + \frac{2}{3}l_{1}g_{Y,k}^{2}g_{Y,k}' + \frac{2}{3}l_{1}^{2}g_{Y,k}g_{Y,k}'^{2}}{(p_{R}^{2} + \frac{1}{3}g_{Y,k}^{2}l_{1})(p_{R}^{2} + U_{k}' + 2l_{1}U_{k}'')} + \frac{9g_{Y,k}'/2}{p_{R}^{2} + U_{k}'} \\ &+ \frac{4g_{Y,k}'}{p_{R}^{2} + U_{k}' + \frac{4}{3}C_{k}l_{1}} + \frac{3g_{Y,k}'/2 + \rho g_{Y,k}''}{p_{R}^{2} + U_{k}' + 2l_{1}U_{k}''} \Biggr] \bar{\psi} M_{5} \psi \end{split}$$

 $\longrightarrow$  non-perturbative in  $I_1$ 

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Is it really necessary to make couplings depend on the field?
 → expansion in *l*<sub>1</sub> would lead to ordinary flowing couplings
 Problem! A typical contribution contains a propagator

$$\frac{1}{p_R^2 + U_k'} = \frac{1}{p_R^2 + m_k^2 + g_{1,k}I_1 + \dots} = \frac{1}{p_R^2 + m_k^2} + \mathcal{O}(I_1)$$

- IF the potential is symmetry breaking ( $m^2 < 0$ ), this blows up!  $\longrightarrow$  singular RG flow
  - $\longrightarrow$  resummation in  $I_1$  is a necessity

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- IF the potential is symmetry breaking ( $m^2 < 0$ ), this blows up!  $\longrightarrow$  singular RG flow
  - $\longrightarrow$  resummation in  $I_1$  is a necessity
- Why do not such problems occur in the field theoretical RG? (e.g. in MS or  $\overline{MS}$  schemes)
  - $\longrightarrow$  field theoretical RG provides a massless scheme
  - $\rightarrow$  running couplings are determined via UV divergences  $\Rightarrow$  mass parameters never appear in denominators!
- Wilsonian RG and the Functional RG are more general

• Parametrization:

$$\mathcal{L} = \frac{1}{2} \operatorname{Tr} \left[ \partial_i M^{\dagger} \partial_i M \right] + \bar{\psi} (\partial \!\!\!/ + g_Y M_5) \psi + V[M] - h_0 s_0 - h_8 s_8$$
$$V[M] = U(I_1) + g_2 \operatorname{Tr} (M^{\dagger} M M^{\dagger} M); \quad U(I_1) = \frac{1}{2} m^2 \operatorname{Tr} [M^{\dagger} M] + g_1 (\operatorname{Tr} [M^{\dagger} M])^2$$

• PCAC relations: 
$$h_{\rm ns} = m_\pi^2 f_\pi$$
,  $h_{\rm s} = \frac{1}{\sqrt{2}} (2m_K^2 f_K - m_\pi^2 f_\pi)$ 

- Ward identities:  $s_{
  m ns}=f_{\pi}$ ,  $s_{
  m s}=\sqrt{2}(f_{\cal K}-f_{\pi}/2)$
- $m_{\pi}$  and  $m_K$  determines U' and  $g_2$ 
  - $\longrightarrow$  changing U'' allows for tuning  $m_\sigma$
  - $\longrightarrow$  we choose 450 MeV  $\lesssim m_{\sigma} \lesssim 600$  MeV

 $(\Rightarrow 10 \lesssim U'' \lesssim 20)$ 

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- Choice for the regulator:  $R_k(q) = (k^2 q^2)\Theta(k^2 q^2)$  $\longrightarrow$  "optimal" for the LPA
- Dressed Yukawa coupling as a function of the bare one (the UV scale was set to  $\Lambda=1\,{\rm GeV}\,)$

$U^{\prime\prime}$	$g_{Y,k=\Lambda}$	$g_{Y,k=0}$	$\Delta g$	$U^{\prime\prime}$	$g_{Y,k=\Lambda}$	$g_{Y,k=0}$	$\Delta g$
10	5	6.0	16%	20	5	6.0	16%
10	10	14.4	31%	20	10	14.1	29%
10	15	22.7	34%	20	15	22.0	32%
10	20	30.3	34%	20	20	29.2	32%

- Note: one-loop Yukawa  $\beta$ -function is zero
  - $\longrightarrow$  no flow without field dependence

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• Meson model with anomaly: [M - mesons]

$$\mathcal{L} = \frac{1}{2} \operatorname{Tr} \left[ \partial_i M^{\dagger} \partial_i M \right] + \underline{a(\det M^{\dagger} + \det M)} + V[M]$$
$$V[M] = \frac{1}{2} m^2 \operatorname{Tr} \left[ M^{\dagger} M \right] + g_1 (\operatorname{Tr} \left[ M^{\dagger} M \right])^2 + g_2 \operatorname{Tr} \left( M^{\dagger} M M^{\dagger} M \right)$$

• Ansatz for the effective action:

$$\Gamma_{k} = \int \left[\frac{1}{2} \operatorname{Tr}\left[\partial_{i} M^{\dagger} \partial_{i} M\right] + \underline{\underline{A}_{k}[I_{1}]} (\det M^{\dagger} + \det M) + V_{k}[M]\right]$$
$$V_{k}[M] = \underline{\underline{U}_{k}[I_{1}]} + \underline{\underline{C}_{k}[I_{1}]} I_{2}$$

• Invariant identification:

$$I_{1} = \text{Tr}[M^{\dagger}M], \quad I_{2} = \text{Tr}[(M^{\dagger}M - \text{Tr}[M^{\dagger}M]/3)^{2}]$$
$$I_{det} = (\det M^{\dagger} + \det M)|_{s_{0},s_{8}} \sim s_{0}^{3} + 3s_{8}^{2}s_{0}/2$$

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• Identification of the field dependent couplings:

$$\partial_k U_k + \partial_k C_k \cdot I_2 + \partial_k A_k \cdot I_{det} = \frac{1}{2} \int_{\rho} \tilde{\partial}_k \operatorname{Tr} \log(\rho^2 + V_{k,2} + R_k(\rho))$$

- Expanding the rhs in terms of  $s_8$  will generate invariants:  $\implies \partial_k U_k, \partial_k C_k, \underline{\partial_k A_k}$
- Anomaly coefficient A < 0 but |A| decreases with I<sub>1</sub>!
- As the chiral condensate evaporates, the anomaly wants to go up!



• Coupling the nucleon field to the linear sigma model

$$\mathcal{L}_{\text{int}} = g_Y \bar{\psi} M_5 \psi, \quad \psi^T = (p, n)$$

• Normal nuclear density,  $n_N \approx 0.17 \text{ fm}^{-3} \approx (109.131 \text{ MeV})^3$  determines the Fermi momentum:

 $\longrightarrow$   $p_F pprox 267.9\,{
m MeV}$   $pprox 1.36\,{
m fm}^{-1}$  (mean field value)

- The quasiparticle mass in the medium (Landau mass) is  $M_L \approx 0.8 m_N \Rightarrow s_{ns,N} \approx 69.52 \,\mathrm{MeV}$
- As a result, the anomaly strengthens at the nuclear liquid-gas transition:

$$\frac{|A(s_{\rm ns} = s_{\rm ns}, N)| - |A(s_{\rm ns} = f_{\pi})|}{|A(s_{\rm ns} = f_{\pi})|} \approx 20\%$$

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- No Yukawa flow is taken into account
  - $\longrightarrow$  interplay between the anomaly and the Yukawa coupling could be important (work in progress)

- Several  $U_A(1)$  breaking operators:
  - $\longrightarrow A_k(I_1) (\det M + \det M^{\dagger})$
  - $\longrightarrow B_k(I_1) (\det M + \det M^{\dagger})^2$

 $\longrightarrow \dots$ 

- [Note:  $(\det M^{\dagger} \det M)$  is pseudoscalar and  $(\det M^{\dagger} - \det M)^2$  is not independent]
- Higher dimension  $\Leftrightarrow$  less importance at large scale ( $\sim 1 \, \mathrm{GeV}$  is not so large after all)

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- [Note:  $(\det M^{\dagger} \det M)$  is pseudoscalar and  $(\det M^{\dagger} \det M)^2$  is not independent]
- Higher dimension  $\Leftrightarrow$  less importance at large scale ( $\sim 1 \, {\rm GeV}$  is not so large after all)
- New  $U_A(1)$  breaking operators with fermions:  $\longrightarrow \tilde{A}_k(I_1)(\epsilon_{abc}(\bar{q} \circ q)_{1a}M_{2b}M_{3b} + h.c.)$  $\longrightarrow \dots$
- $\tilde{A}_k$  has never been investigated before [NLO in terms on operator dimensionality  $\Rightarrow$  comes before  $\sim (\det M + \det M^{\dagger})^2$ ]

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- Renormalization group flows of field dependent couplings
  - $\longrightarrow \mathsf{multicomponent}\ \mathsf{fields}$
  - $\rightarrow$  resummation of invariant operator(s)
  - $\longrightarrow$  realized naturally by the FRG framework
- Application I.: flowing Yukawa coupling (3-flavor QM model)
  - $\longrightarrow$  the naive  $\delta^3 \Gamma / \delta \bar{\psi} \delta \psi \delta M_5$  definition is invalid
  - $\longrightarrow$  one needs to carefully project out "contaminations"
  - $\longrightarrow \sim 30\%$  difference is obtained compared to bare value
- Application II.: 't Hooft coupling (3-flavor meson model)
  - $\longrightarrow$  field dependent anomaly function decreases with  $\chi\text{-cond}.$
  - $\longrightarrow$  as the condensate  $\ensuremath{\mathsf{evaporates}}$  , the anomaly  $\ensuremath{\mathsf{increases}}$
  - $\longrightarrow$  nuclear liquid-gas transition:  $\sim 20\%$  jump in the anomaly

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